

# Digital X-ray Processor User's Manual

Models Mercury and Mercury-4

With  
Prospect Software  
version 1.0.x

XIA LLC

31057 Genstar Road  
Hayward, CA 94544 USA  
Tel: (510) 401-5760; Fax: (510) 401-5761  
<http://www.xia.com/>

Information furnished by XIA LLC is believed to be accurate and reliable. However, no responsibility is assumed by XIA LLC for its use, nor for any infringements of patents or other rights of third parties which may result from its use. No license is granted by implication or otherwise under any patent or patent rights of XIA LLC. XIA LLC reserves the right to change specifications at any time without notice. Patents have been applied for to cover various aspects of the design of the DXP Digital X-ray Processor (DXP).

<b>Safety .....</b>	<b>v</b>
Specific Precautions .....	v
Power Source .....	v
User Adjustments/Disassembly .....	v
Servicing and Cleaning .....	v
Manual Conventions .....	vi
<b>End Users Agreement .....</b>	<b>vii</b>
Contact Information: .....	vii
<b>1 Introduction.....</b>	<b>1</b>
1.1 Mercury Features .....	1
1.2 Data Acquisition Modes .....	2
1.2.1 MCA Mode .....	2
1.2.2 MCA Mapping Mode .....	2
1.2.3 SCA Mapping Mode.....	3
1.3 System Requirements:.....	4
1.3.1 Host Computer .....	4
1.3.2 Detector/Preamplifier .....	4
1.3.3 DXP Mercury Power Supplies .....	5
1.3.4 Cabling.....	5
1.4 Software and Firmware Overview.....	5
1.4.1 User Interface: ProSpect .....	6
1.4.2 Device Driver: Handel.....	6
1.4.3 Firmware and FDD Files.....	6
1.4.4 Initialization File .....	6
1.5 Support .....	7
1.5.1 Software and Firmware Updates .....	7
1.5.2 Related Documentation .....	7
1.5.3 Technical Support .....	7
1.5.4 Feedback .....	8
<b>2 Installation.....</b>	<b>10</b>
2.1 Software Installation.....	10
2.1.1 Running the Installer .....	10
2.1.2 File Locations.....	10
2.1.3 Support .....	11
2.2 Configuring the Analog Signal Conditioner.....	11
2.2.1 Input Attenuation: JP100 .....	11
2.3 Making Connections .....	12
2.3.1 Signal Connections .....	12
2.3.2 GATE/SYNC Connection.....	13
2.4 Starting the System.....	13
2.4.1 DXP Mercury Driver Selection .....	13
<b>3 System Configuration .....</b>	<b>14</b>
3.1 Initialization Files.....	14
3.1.1 Starting ProSpect Without an INI File .....	14
3.2 The Configuration Wizard .....	15
3.2.1 General Settings .....	15
3.2.2 Hardware Synchronization Settings.....	18
3.2.3 Mapping Mode Settings .....	19
3.2.4 Completing the Configuration .....	20
3.3 Loading and Saving Initialization Files.....	20

3.3.1	Loading an INI file .....	20
3.3.2	Saving an INI file .....	20
<b>4</b>	<b>Using ProSpect with the Mercury .....</b>	<b>22</b>
4.1	A Quick Tour of ProSpect .....	22
4.1.1	Channel Selection .....	22
4.1.2	Settings Sidebar .....	23
4.1.3	Main Window .....	23
4.2	Detector and Preamplifier Settings .....	24
4.2.1	Pre-Amplifier Polarity .....	24
4.2.2	Reset Interval .....	25
4.2.3	Preamp Gain .....	25
4.2.4	Preamp Risetime .....	25
4.2.5	Saving the Configuration File .....	25
4.3	Normal Spectrum Mode Data Acquisition .....	26
4.3.1	Starting a Run .....	26
4.3.2	Skipping Channels .....	27
4.3.3	Spectrometer Settings .....	27
4.3.4	Setting Regions of Interest (ROIs) .....	30
4.3.5	Gain Calibration .....	31
4.3.6	Saving and Loading INI Files .....	34
4.3.7	Output Statistics .....	35
4.3.8	Single Channel Analyzer (SCA) .....	36
4.3.9	Saving and Loading Data .....	37
4.4	Run Control .....	38
4.4.1	Run Presets (Automatic Run Termination) .....	38
4.4.2	The GATE Function .....	39
4.4.3	Resume Run: Clear or Retain MCA Data .....	39
4.5	Display Controls .....	40
4.5.1	MCA Auto Update / Refresh Rate .....	40
4.5.2	Graphical Display Tools .....	40
4.6	Optimizations .....	43
4.6.1	Throughput (OCR) .....	43
4.6.2	Pileup Rejection .....	45
4.6.3	Energy Resolution .....	46
4.7	Diagnostics .....	48
4.7.1	The ADC Panel (Oscilloscope) .....	48
4.7.2	The Baseline Panel .....	55
4.7.3	DSP Parameters .....	57
4.7.4	Submitting a problem report: .....	58
<b>5</b>	<b>Mapping Mode .....</b>	<b>60</b>
5.1	Pixel Advance Settings .....	60
5.1.1	Pixel Advance on GATE Edge .....	60
5.1.2	Pixel Advance using SYNC Clock .....	61
5.1.3	Pixel Advance under Host Control .....	62
5.2	Mapping Mode Data Acquisition .....	62
5.2.1	The Mapping Panel .....	62
5.2.2	Mapping Mode: MCA or SCA .....	62
5.2.3	Total Number of Pixels .....	63
5.2.4	Buffer Control .....	63
5.2.5	Mapping Mode Data Acquisition .....	63
5.3	Mapping Mode Data .....	64
5.3.1	Mapping Data Options .....	64
5.3.2	Mapping Data Format .....	64

5.3.3	Single Buffer Format.....	65
<b>6</b>	<b>Digital Filtering: Theory of Operation and Implementation Methods .....</b>	<b>66</b>
6.1	X-ray Detection and Preamplifier Operation: .....	66
6.1.1	Reset-Type Preamplifiers .....	66
6.1.2	RC-Type Preamplifiers .....	67
6.2	X-ray Energy Measurement & Noise Filtering: .....	68
6.2.1	Digital Filtering Theory.....	68
6.2.2	Trapezoidal Filtering .....	70
6.3	Trapezoidal Filtering in the DXP: .....	71
6.3.1	Comparing DXP Performance .....	71
6.3.2	Decimation and Peaking Time Ranges .....	71
6.3.3	Time Domain Benefits of Trapezoids.....	72
6.4	Baseline Issues:.....	73
6.4.1	The Need for Baseline Averaging.....	73
6.4.2	Raw Baseline Measurement.....	75
6.4.3	Baseline Average Settings and Recommendations .....	75
6.4.4	Why Use a Finite Averaging Length? .....	76
6.5	X-ray Detection & Threshold Setting: .....	76
6.6	Peak Capture Methods .....	77
6.6.1	Setting the Gap Length.....	78
6.6.2	Peak Sampling vs. Peak Finding.....	78
6.7	Energy Measurement with Resistive Feedback Preamplifiers .....	80
6.8	Pile-up Inspection: .....	83
6.9	Input Count Rate (ICR) and Output Count Rate (OCR): .....	85
6.10	Throughput: .....	86
6.11	Dead Time Corrections: .....	88
<b>7</b>	<b>DXP Mercury Hardware Description.....</b>	<b>89</b>
7.1	DXP Mercury Overview.....	89
7.1.1	The Digital X-ray Processor (DXP) .....	89
7.1.2	Rapid Data Readout .....	90
7.2	Timing and Synchronization Logic.....	91
7.2.1	GATE Function: MCA Mode .....	91
7.2.2	GATE Function: Mapping Mode .....	91
7.2.3	SYNC Function: Mapping Mode .....	93
7.3	The Analog Signal Conditioner (ASC): .....	94
7.4	Analog to Digital Converter .....	95
7.5	The Filter, Pulse Detector, & Pile-up Inspector (FiPPI): .....	95
7.5.1	FiPPI Configuration.....	96
7.5.2	FiPPI Version and Variants.....	96
7.5.3	FiPPI Decimation .....	96
7.5.4	Digital Trapezoidal Filtering .....	96
7.5.5	Statistics.....	97
7.6	The Digital Signal Processor (DSP):.....	98
7.6.1	Event Processing.....	98
7.6.2	Statistics.....	98
7.7	System FPGA .....	98
7.7.1	Basic 32-bit MCA Data Acquisition .....	99
7.7.2	Full Spectrum 16-bit MCA Mapping/Scanning Mode.....	100
7.7.3	Other Data Acquisition Modes .....	100
	<b>Appendices.....</b>	<b>101</b>

Appendix A. Accessing the Circuit Board in Bench-Top Models .....	101
Appendix B. Mercury Revision C Circuit BoardDescription .....	102
B.1. Jumper Settings.....	103
B.2. LED Indicators .....	103
B.3. Connectors .....	105
Appendix C. Mercury-4 Revision A Circuit Board Description .....	107
C.1 Jumper Settings .....	108
C.2. LED Indicators.....	108
C.3 Connectors .....	110
Appendix D. Specification for ROI outputs on the Mercury and Mercury4 Auxiliary Port.....	113
D.1 Signal Assignment.....	113
D.2 Signal Descriptions.....	115
D.2.1. ROI Outputs .....	115
D.2.2. Trigger and Live Time Outputs.....	116
D.3. Register Definitions .....	117
D.3.1. FiPPI Registers .....	117
D.3.2. SysFPGA Registers .....	117
Appendix E. Mapping Buffer Specification .....	118
E.1. Buffer Header .....	118
E.2. Pixel Data Block .....	119
E.2.1. Mapping Mode 1: Full Spectrum Mapping .....	120
E.2.2. Mapping Mode 2: Multiple SCA Mapping.....	121
E.2.3. Mapping Mode 3: List Mode Mapping .....	122

# Safety

Please take a moment to review these safety precautions. They are provided both for your protection and to prevent damage to the digital x-ray processor (DXP) and connected equipment. This safety information applies to all operators and service personnel.

---

## Specific Precautions

Observe all of these precautions to ensure your personal safety and to prevent damage to either the DXP Mercury or equipment connected to it.

### Power Source

The DXP Mercury is intended to operate from a set of DC voltage supplies specified in section 1.3.3. To avoid damage to the DXP Mercury ensure that the power supply meets these specifications before attempting to power on. For the DXP Mercury bench-top models, all DC voltages necessary for the operation of the signal processor are generated internally, and AC voltage is supplied to the rear panel, as specified in section 1.3.3.1.

### User Adjustments/Disassembly

To avoid personal injury, and/or damage, always turn off power before accessing the Mercury.

### Servicing and Cleaning

The DXP hardware is warranted against all defects for 1 year. Please contact the factory or your distributor before returning items for service. To avoid personal injury, and/or damage to the DXP Mercury, do not attempt to repair or clean the unit.

## Manual Conventions

Through out this manual we will use the following conventions:

Convention	Description	Example
»	The » symbol leads you through nested menu items and dialog box options.	The sequence <b>File»Page Setup»Options</b> directs you to pull down the <b>File</b> menu, select the <b>Page Setup</b> item, and choose <b>Options</b> from the sub menu.
<b>Bold</b>	Bold text denotes items that you must select or click on in the software, such as menu items, and dialog box options.	...click on the <b>MCA</b> tab.
<b>[Bold]</b>	Bold text within [ ] denotes a command button.	<b>[Start Run]</b> indicates the command button labeled Start Run.
monospace	Items in this font denote text or characters that you enter from the keyboard, sections of code, file contents, and syntax examples.	Setup .exe refers to a file called "setup.exe" on the host computer.
"window"	Text in quotation refers to window titles, and quotations from other sources	"Options" indicates the window accessed via <b>Tools»Options</b> .
<i>Italics</i>	Italic text denotes a new term being introduced , or simply emphasis	<i>peaking time</i> refers to the length of the slow filter.  ...it is important first to set the energy filter Gap so that <b>SLOWGAP</b> to <i>at least one unit greater than the preamplifier risetime...</i>
<Key> <Shift-Alt-Delete> or <Ctrl+D>	Angle brackets denote a key on the keyboard (not case sensitive). A hyphen or plus between two or more key names denotes that the keys should be pressed simultaneously (not case sensitive).	<W> indicates the W key <Ctrl+W> represents holding the control key while pressing the W key on the keyboard
<b><i>Bold italic</i></b>	Warnings and cautionary text.	<b><i>CAUTION: Improper connections or settings can result in damage to system components.</i></b>
CAPITALS	CAPITALS denote DSP parameter names	SLOWLEN is the length of the slow energy filter

# End Users Agreement

XIA LLC warrants that this product will be free from defects in materials and workmanship for a period of one (1) year from the date of shipment. If any such product proves defective during this warranty period, XIA LLC, at its option, will either repair the defective products without charge for parts and labor, or will provide a replacement in exchange for the defective product.

In order to obtain service under this warranty, Customer must notify XIA LLC of the defect before the expiration of the warranty period and make suitable arrangements for the performance of the service.

This warranty shall not apply to any defect, failure or damage caused by improper uses or inadequate care. XIA LLC shall not be obligated to furnish service under this warranty a) to repair damage resulting from attempts by personnel other than XIA LLC representatives to repair or service the product; or b) to repair damage resulting from improper use or connection to incompatible equipment.

THIS WARRANTY IS GIVEN BY XIA LLC WITH RESPECT TO THIS PRODUCT IN LIEU OF ANY OTHER WARRANTIES, EXPRESSED OR IMPLIED. XIA LLC AND ITS VENDORS DISCLAIM ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. XIA'S RESPONSIBILITY TO REPAIR OR REPLACE DEFECTIVE PRODUCTS IS THE SOLE AND EXCLUSIVE REMEDY PROVIDED TO THE CUSTOMER FOR BREACH OF THIS WARRANTY. XIA LLC AND ITS VENDORS WILL NOT BE LIABLE FOR ANY INDIRECT, SPECIAL, INCIDENTAL, OR CONSEQUENTIAL DAMAGES IRRESPECTIVE OF WHETHER XIA LLC OR THE VENDOR HAS ADVANCE NOTICE OF THE POSSIBILITY OF SUCH DAMAGES.

---

## Contact Information:

XIA LLC  
31057 Genstar Rd.  
Hayward, CA 94544 USA

Telephone: (510) 401-5760  
Downloads: [http://xia.com/DXP\\_Mercury\\_Download.html](http://xia.com/DXP_Mercury_Download.html)  
Hardware Support: [support@xia.com](mailto:support@xia.com)  
Software Support: [software\\_support@xia.com](mailto:software_support@xia.com)

# 1 Introduction

The Mercury Digital X-ray Processor (DXP) is a high rate, digitally-based, multi-channel analysis spectrometer designed for energy dispersive x-ray or  $\gamma$ -ray measurements and is available as a single or four-channel board, (the Mercury-4). Amplifier and spectrometer controls including gain, filter peaking time, and pileup inspection criteria are under computer control. Features include a high-speed USB 2.0 interface, a customizable auxiliary bus and digital logic controls, and support for x-ray timing and scanning applications. The Mercury and Mercury-4 may be purchased as a high performance OEM printed circuit board for emerging embedded applications, or as an enclosed bench-top box with built-in power supply. In the following sections of the operating manual the term “Mercury” refers to all variants of the Mercury and Mercury-4, unless specifically stated otherwise.

---

## 1.1 Mercury Features

- 4 MB of high-speed memory allows ample storage for timing applications such as mapping with full spectra or multiple ROI's.
- Peak USB 2.0 transfer rates exceed 15 MB/sec.
- Auxiliary bus with 24 customizable digital I/O lines.
- Peaking time range: 0.1 to 164 microseconds
- Maximum throughput up to 1,000,000 counts/sec per channel.
- Digitization: 14 bits at 50 MHz
- Low noise front end offers excellent resolution, and provides excellent performance in the soft x-ray region (110 - 1500 eV).
- Operates with virtually any x-ray detector. Preamplifier interface is computer controlled.
- 16 bit gain DAC and input offset are computer controlled.
- Pileup inspection criteria are computer selectable.
- Accurate ICR and livetime for precise deadtime correction and count rate linearity.
- Multi-channel analysis allows optimal use of data.
- Facilitates automated gain setting and calibration to simplify tuning array detectors.
- External GATE and SYNC inputs allow data acquisition on all channels to be synchronized.
- Normal MCA mode allows for simultaneous full spectrum and multiple SCA acquisition.
- Mapping modes provide for time-resolved data acquisition, i.e. one spectrum or set of SCA windows per pixel or scan point.

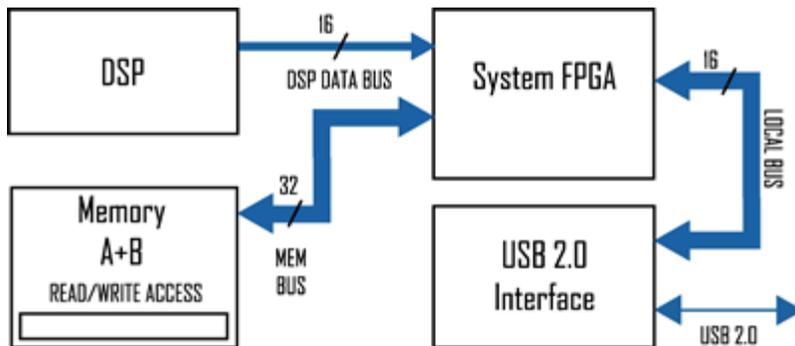
## 1.2 Data Acquisition Modes

The Mercury currently supports three data acquisition modes: static single-spectrum 'Normal' acquisition and two time-resolved 'Mapping' acquisition modes: Full spectrum MCA mapping, and fast SCA mapping. Note: Normal and Mapping acquisition modes use different memory architectures and thus require different firmware code to be downloaded.

### 1.2.1 MCA Mode

In Multi-Channel Analyzer (MCA) mode a data acquisition run produces a single energy spectrum and associated run statistics. Data acquisition runs can be started and stopped manually, or can be stopped automatically according to a preset real time, live time or number of input or output events.

Spectrum size ranges from 256 bins to 16384 bins. Each spectral bin is stored as a 32-bit value, allowing for up to 4,294,967,295 events per bin per run. Data is stored in on-board memory, and can be read by the host at any time during or after the run. The memory is normally cleared at the beginning of a run, but can instead be preserved, allowing for 'pause and resume' functionality. Data acquisition can be halted system-wide according to a user provided TTL/CMOS GATE signal, e.g. to achieve a synchronous run start.



**Figure 1.1:** Data flow diagram for MCA mode.

#### 1.2.1.1 SCA Feature in MCA Mode

The Single-Channel Analyzer (SCA) feature allows for up to 32 regions of the spectrum (SCA windows) to be defined and for which output counts are individually summed. The sums are organized into a table stored in memory, in addition to the MCA data and statistics. The SCA table can be accessed directly for fast readout of critical data.

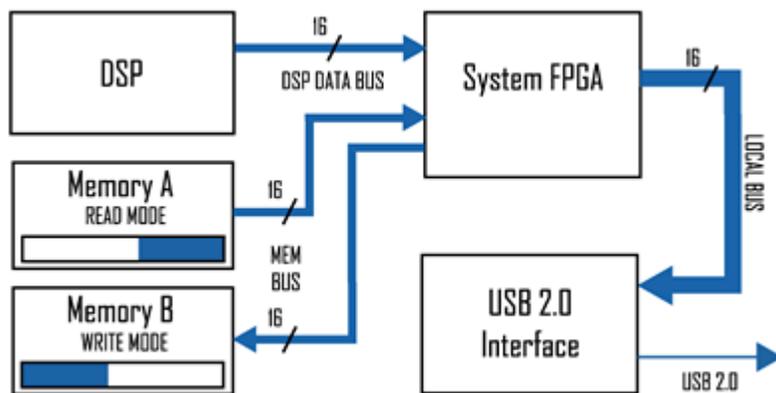
### 1.2.2 MCA Mapping Mode

This mode supports x-ray scanning applications where multiple spectra are generated as an x-ray beam is scanned across a sample; each spectrum corresponds to a scan point, or pixel. This mode also supports XAFS spectroscopy, where each spectrum corresponds to the beam energy, or monochromator setting.

A data acquisition run produces multiple energy spectra, each with associated run statistics, for each DXP processing channel. Typically a user-provided TTL/CMOS timing signal is used to advance from one spectrum to the next during the run. Data acquisition runs can be started and stopped manually, or can be stopped automatically according to a preset number of spectra.

Spectrum size ranges from 256 bins to 16384 bins. Each spectral bin is stored as a 16-bit value, allowing for up to 65,535 events per bin. On-board memory is configured as two devices, memory A and memory B, each accessible to *either* the host *or* the on-board DSP. Continuous operation is achieved by reading memory A while the DSP writes memory B, and vice-versa. The data readout speed and spectrum size place a limit on the minimum pixel, or dwell, time.

The external logic (LEMO) input can be configured to control the pixel advance function, which creates a new spectrum corresponding to a new pixel.



**Figure 1.2:** Data flow diagram for mapping modes.

### 1.2.3 SCA Mapping Mode

The Single-Channel Analyzer (SCA) mapping mode allows for up to 64 regions of the spectrum (SCA windows) to be defined and for which output counts are individually summed. Instead of entire spectra, only the tables of SCA sums are stored in memory. Compressing the data in this way allows for faster readout times, or, conversely shorter dwell times.

## 1.3 System Requirements:

The digital spectroscopy system considered here consists of a remote host computer, a DXP Mercury, and an x-ray detector/preamplifier with appropriate power supplies.

### 1.3.1 Host Computer

The DXP Mercury communicates with a host computer via the USB 2.0 interface. The host computer that runs XIA's Handel and/or ProSpect software must have the following minimum capabilities:

- ✓ 300 MHz or greater processor speed running most Microsoft Windows Operating systems (2000, XP, Vista).
- ✓ At least one available USB 2.0 port.

*Preamplifier signal specifications must be verified.*

### 1.3.2 Detector/Preamplifier

The DXP Mercury accommodates nearly all preamplifier signals. The two primary capacitor-discharge topologies, pulsed-reset and resistive-feedback, are both supported. The input voltage range of the DXP analog circuitry results in the following constraints:

<i>Parameter</i>	<i>Minimum</i>	<i>Maximum</i>	<i>Typical</i>
X-ray pulse-height (w/ input attenuator)	250 $\mu$ V (1 mV)	375 mV (1.50 V)	25 mV -
Input voltage range (w/ input attenuator)	- -	+/-4 V (+/-8V)	+/-3 V -

**Table 1.1:** Analog input signal constraints for pulsed-reset preamplifiers.

<i>Parameter</i>	<i>Minimum</i>	<i>Maximum</i>	<i>Typical</i>
X-ray pulse-height (w/ input attenuator)	250 $\mu$ V (1 mV)	625 mV (2.50 V)	100 mV -
Input voltage range (w/ input attenuator)	- -	+/-4 V (+/-8V)	+/-3 V -
Decay time $\tau$	100 ns	infinity	50 $\mu$ s

**Table 1.2:** Analog input signal constraints for resistive-feedback preamplifiers.

#### 1.3.2.1 Preamp Power Supplies

If possible, we recommend using local power to generate DC voltages for the preamplifier.

- ✓ The XPPS, manufactured by XIA, provides linear power for up to 20 NIM-standard preamplifiers.

If you decide to use your own supplies, expect to spend some time experimenting with ground connections. A low-impedance connection between preamplifier and detector supplies chassis' and the DXP Mercury chassis are almost always necessary.

### 1.3.3 DXP Mercury Power Supplies

DC Voltage requirements for single-channel Mercury card:

Nominal voltage	Acceptable range	Current
+ 12 V	+12V to +15V	50 mA
- 12V	-12V to -15V	50 mA
+ 6 V	+5.5V to +6.0V	1.0 A

DC Voltage requirements for Mercury-4 card:

Nominal voltage	Acceptable range	Current
+ 12 V	+12V to +15V	100 mA
- 12V	-12V to -15V	100 mA
+ 6 V	+5.5V to +6.0V	3.0 A

#### 1.3.3.1 AC Power

In the case of the DXP Mercury bench-top models all DC voltages necessary for operation of the signal processor are generated internally. Use the provided IEC certified power cable to connect the line voltage source to the rear panel. AC voltage may be in the range 100-240VAC, 50/60Hz.

AC Line Voltage/Frequency:      115 V/60 Hz      230 V/50 Hz

Maximum Current Draw:            250 mA            250 mA

Supply voltage fluctuations are not to exceed 10% of the nominal value. Use only a 250V/1A, 5x20mm Time-Lag fuse. The fuse is accessed by sliding out the fuse holder below the 3-pin AC connector.

### 1.3.4 Cabling

#### 1.3.4.1 Analog Inputs

The DXP Mercury uses a BNC connector to accept the preamplifier signal.

#### 1.3.4.2 TTL/CMOS Logic Inputs

The DXP Mercury also uses BNC connectors for timing and synchronization logic.

---

## 1.4 Software and Firmware Overview

Two levels of software are employed to operate the DXP Mercury: a user interface for data acquisition and control, and a driver layer that communicates between the host software and the USB 2.0 interface. In addition, separate firmware code is downloaded to and runs on the DXP Mercury itself.

### 1.4.1 User Interface: ProSpect

The user interface communicates with and directs the DXP Mercury via the driver layer, and displays and analyzes data as it is received. As such XIA provides ProSpect as a general-purpose data acquisition application. ProSpect features full control over the DXP Mercury, intuitive data visualization, unlimited ROI's (regions of interest) Gaussian fitting algorithms and the exporting of collected spectra for additional analysis. Please refer to Chapter 4 of this manual for instructions on using ProSpect with the DXP Mercury. Many users will employ ProSpect for configuration and system optimization, but will want to develop their own software to acquire data.

### 1.4.2 Device Driver: Handel

XIA provides source code and documentation for the Handel driver layer to advanced users who wish to develop their own software interface. XIA recommends using Handel for almost all advanced applications. Handel is a high-level device driver that provides an interface to the DXP hardware in spectroscopic units (eV, microseconds, etc...) while still allowing for safe, direct-access to the DSP. ProSpect uses the Handel driver, and thus also serves as a development example. Installation files and user manuals for Handel are available online at

[http://www.xia.com/DXP\\_Software.html](http://www.xia.com/DXP_Software.html).

### 1.4.3 Firmware and FDD Files

Firmware refers to the DSP (digital signal processor) and FPGA (Field Programmable Gate Array) configuration code that is downloaded to the DXP Mercury itself. Typically two System FPGA files (one each for normal and mapping acquisition modes), one DSP file and up to four FiPPI (Filter-Pulse-Pileup-Inspector FPGA) files are necessary to acquire spectra across the full range of peaking times with a given detector/preamplifier. For simplicity XIA provides complete firmware sets in files of the form "firmware\_name.fdd". This file format is supported by Handel, XIA's digital spectrometer device driver, and is the standard firmware format used in ProSpect. Two standard firmware files are available, one for pulsed-reset type preamplifiers and one for RC-feedback type preamplifiers. Updates to the firmware are available online at:

[www.xia.com/DXP\\_Resources.html](http://www.xia.com/DXP_Resources.html).

The System FGPA, DSP and FiPPI are discussed in Chapter 7.

### 1.4.4 Initialization File

Handel (and thus ProSpect) uses an initialization (INI) file to store all necessary configuration information, including the path and filename of the firmware file on the host computer, detector characteristics and spectrometer settings, and timing and synchronization logic functions used.

## 1.5 Support

A unique benefit of dealing with a small company like XIA is that the technical support for our sophisticated instruments is often provided by the same people who designed them. Our customers are thus able to get in-depth technical advice on how to fully utilize our products within the context of their particular applications. Please read through this brief chapter before contacting us.

XIA LLC  
31057 Genstar Rd.  
Hayward, CA 94544 USA

Telephone: (510) 401-5760  
Downloads: [http://xia.com/DXP\\_Mercury\\_Download.html](http://xia.com/DXP_Mercury_Download.html)  
Hardware Support: [support@xia.com](mailto:support@xia.com)  
Software Support: [software\\_support@xia.com](mailto:software_support@xia.com)

*Check for firmware and software updates at:  
[http://www.xia.com/DXP\\_Resources.html](http://www.xia.com/DXP_Resources.html)*

### 1.5.1 Software and Firmware Updates

It is important that your DXP unit is using the most recent software/firmware combination, since most problems are actually solved at the software level. Please check [http://xia.com/DXP\\_Mercury\\_Download.html](http://xia.com/DXP_Mercury_Download.html) for the most up to date standard versions of the DXP software and firmware. Please contact XIA at [support@xia.com](mailto:support@xia.com) if you are running semi-custom or proprietary firmware code. (*Note: It a good practice to make backup copies of your existing software and firmware before you update.*)

### 1.5.2 Related Documentation

As a first step in diagnosing a problem, it is helpful to consult most recent data sheets and user manuals for a given DXP product, available in PDF format from the XIA web site. Since these documents may have been updated since the DXP unit has been purchased, they may contain information that may actually help solving your particular problem. All manuals, datasheets, and application notes, as well as software and firmware downloads can be found at [http://xia.com/DXP\\_Mercury\\_Download.html](http://xia.com/DXP_Mercury_Download.html). In order to request printed copies, please send an e-mail to [support@xia.com](mailto:support@xia.com), or call the company directly. In particular, we recommend that you download the following user manuals:

- ✓ ProSpect User Manual – All users
- ✓ Handel User Manual – Users who wish to develop their own user interface

### 1.5.3 Technical Support

The Mercury comes with one year of e-mail and phone support. Support can be renewed for a nominal fee. Please call XIA if your support agreement has expired.

The XIA Digital Processors (DGF & DXP) are digitally controlled, high performance products for X-ray and gamma-ray spectroscopy. All settings can be changed under computer control, including gains, peaking times, pileup inspection criteria, and ADC conversion gain. The hardware itself is very

reliable. Most problems are not related to hardware failures, but rather to setup procedures and to parameter settings. XIA's DXP software includes several consistency checks to help select the best parameter values. However, due to the large number of possible combinations, the user may occasionally request parameter values which conflict among themselves. This can cause the DXP unit to report data which apparently make no sense (such as bad peak resolution or even empty spectra). Each time a problem is reported to us, we diagnose it and include necessary modifications in the new versions of our DXP control programs, as well as adding the problem description to the FAQ list on our web site.

#### **1.5.3.1 Submitting a problem report:**

XIA encourages customers to report any problems encountered using any of our software via email. In most cases, the XIA engineering team will need to review bug information and run tests on local hardware before being able to respond.

All software-related bug reports should be e-mailed to `software_support@xia.com` and should contain the following information, which will be used by our technical support personnel to diagnose and solve the problem:

- ✓ Your name and organization
- ✓ Brief description of the application (type of detector, relevant experimental conditions...etc.)
- ✓ XIA hardware name and serial number
- ✓ Version of the library (if applicable)
- ✓ OS
- ✓ Description of the problem; steps taken to re-create the bug
- ✓ Full Error Report (see section 4.7.4.1) plus additional data:
  - Saved MCA data, if relevant (see section 4.7.4.2)
  - Saved Baseline data, if relevant (see section 4.7.4.3)
  - Saved Trace data, if relevant (see section 4.7.4.4)

Please compress the Error Report into a ZIP archive and attach the support request email.

#### **1.5.4 Feedback**

XIA strives to keep up with the needs of our users. Please send us your feedback regarding the functionality and usability of the Mercury and ProSpect software. We are also interested in hearing about improvements to the hardware and software. In particular, we are considering the following development issues:

#### **1.5.4.1 Export File Formats**

We would like to directly support as many spectrum file formats as possible. If we do not yet support it, please send your specification to [software\\_support@xia.com](mailto:software_support@xia.com).

#### **1.5.4.2 Calibration**

Currently the hardware gain of the Mercury is modified during energy calibration to produce a spectrum with a user defined bin scale, i.e. an integer electron-volts-per-bin value. The drawback is that the calibration process often takes several iterations. Another approach to calibration is re-interpreting the bins. This is not difficult to do, but may produce confusion for the novice user. We are considering supporting this feature in future ProSpect releases.

## 2 Installation

**CAUTION:** *Improper connections or settings can result in damage to system components. Such damage is not covered under the DXP Mercury warranty.*

Please carefully follow these instructions. It is important that you follow the steps in order: Install ProSpect and drivers, connect Mercury hardware, run ProSpect software.

---

### 2.1 Software Installation

Do not attempt to install the Mercury hardware until after the software and drivers have been installed. ProSpect operates on Windows XP, 2000 and Vista machines. Updates to ProSpect are available online at:

[www.xia.com/DXP\\_Mercury\\_Download.html](http://www.xia.com/DXP_Mercury_Download.html)

The update installation file is a executable, or .EXE file.

#### 2.1.1 Running the Installer

- 1) Please close all applications that are currently running.
- 2) Insert the CD into the CD-ROM drive or, if your copy was delivered electronically, double-click the `setup.exe` program. If the CD installation does not start immediately, follow the instructions in steps (3) and (4).
- 3) Click the Start button and select the Run command.
- 4) Type `X:\Setup.exe` and click **[OK]**, where X is the letter of your CD-ROM drive.
- 5) After setup has completed, shut down your computer and complete the hardware configuration described in sections 2.2 through 2.3 before restarting.
  - The ProSpect 0.1.x installation will create a new directory: "C:\Program Files\xia\ProSpect 0.1".
  - A new Start Menu > Program group will be created.
  - A shortcut to the ProSpect executable is created on your desktop.
  - Necessary drivers will be installed

#### 2.1.2 File Locations

The ProSpect default installation folder is:

`C:\Program Files\XIA\ProSpect 0.1`

This directory contains program files, libraries, log files and configuration, or INI, files. The "firmware" folder is:

`~\ProSpect 0.1\firmware`

This directory contains the normal and mapping firmware, or FDD, files (see section 1.4.3). Updates to the firmware are available online at:

[www.xia.com/DXP\\_Mercury\\_Download.html](http://www.xia.com/DXP_Mercury_Download.html)

### 2.1.3 Support

For the latest documentation, please refer to XIA's website at

[www.xia.com/DXP\\_Mercury\\_Download.html](http://www.xia.com/DXP_Mercury_Download.html)

XIA values all of the feedback it receives from customers. This feedback is an important component of the development cycle and XIA looks to use this feedback to improve the software. All bug fixes and feature suggestions should be directed to [software\\_support@xia.com](mailto:software_support@xia.com). Please be sure to include as much information as possible when submitting a bug report. For further instructions please refer to section 1.5.

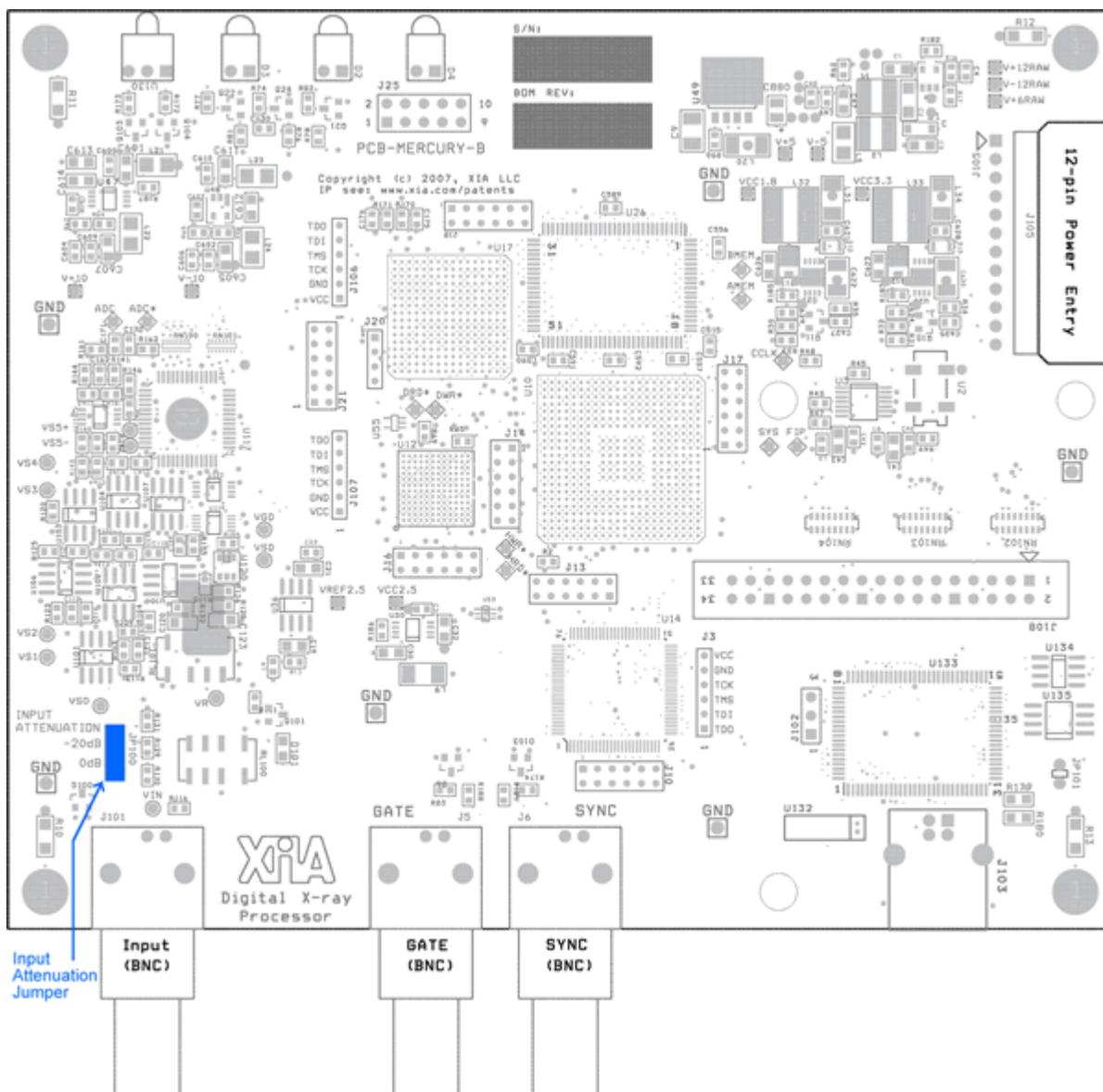
---

## 2.2 Configuring the Analog Signal Conditioner

The term 'jumper' is used in this section. Jumpers are placed on 3-pin headers, connecting the center pin to one or the other peripheral pin, similar to a single-pole-double-throw (SPDT) switch.

### 2.2.1 Input Attenuation: JP100

Attenuation may be necessary if the preamplifier gain or output voltage range is excessive and/or high-energy x-rays are to be processed. Pulses up to several hundred milliVolts in size and a voltage range of +/- 4 Volts can be accommodated without attenuation. The default position for jumper JP100, labeled '0dB' (see **Figure 2.1**, single channel Mercury), passes the signal directly. If larger signals must be processed, set JP100 to the '-6dB' position to reduce the input signal by a factor of two. The equivalent jumpers are also provided on the Mercury-4 board.



**Figure 2.1:** The DXP Mercury printed circuit board. The input attenuation jumper JP100 is highlighted in blue.

## 2.3 Making Connections

It is possible to damage the DXP Mercury and/or connected equipment if the instructions below are not followed. All electronic connections are made at the front panel of the Mercury. We recommend using cables under three meters in length for signal connections to the preamplifier.

### 2.3.1 Signal Connections

The DXP Mercury uses BNC connectors for convenience, reliability and signal quality. Fasten a BNC cable to the signal input and connect the other end to the detector/preamplifier output.

### 2.3.2 GATE/SYNC Connection

Each Mercury includes BNC GATE or SYNC timing inputs for synchronization and time-resolved spectroscopy applications.

For now, do not make GATE or SYNC connections. The Configuration Wizard utility will help you make decisions about which function(s) to use, and how to make the proper connections. See sections 3.2 and 3) for more details.

---

## 2.4 Starting the System

Make sure of the following before proceeding:

- ✓ Your system satisfies the requirements outlined in section 1.3 above.
- ✓ The ProSpect software and drivers have been installed.
- ✓ DXP Mercury module has been installed.
- ✓ Detector and preamplifier are connected and powered.
- ✓ A low-to-moderate intensity x-ray source is available for calibration and system verification.

Turn on the DXP Mercury...

### 2.4.1 DXP Mercury Driver Selection

Windows should automatically find the new hardware and start the Found New Hardware Wizard. The driver file 'xia\_usb2.inf' is located in the 'drivers' sub-folder within the Prospect install folder.

- 1) The first screen asks whether Windows can connect to Windows Update to search for the driver. Select "No, not this time" and press **[Next]** to proceed to the "Install Hardware Device Drivers" page.
- 2) Select "Install from a list or specific location (Advanced)" option and press **[Next]** to proceed to the "Locate Driver Files" page.
- 3) Select "Search for the best driver in these locations", check "Include this location in the search:", and enter "C:\Program Files\XIA\ProSpect 0.1\drivers". Then press **[Next]**.
- 4) Windows should find the suitable driver. Press **[Next]** to complete the driver installation..

Note: Driver selection can be changed at any time via the Windows Device Manager. To open the Device Manager, right-click on the "My Computer" icon and select "Manage". Now click on "Device Manager" in the left-pane of the Computer Management window. Mercury cards can be found under "Other Devices".

## 3 System Configuration

At this point the ProSpect software and drivers should have been installed, and the Mercury hardware should be powered on and identified by Windows. This chapter will guide you in using the ProSpect Configuration Wizard utility.

---

### 3.1 Initialization Files

After power up the Mercury's DSP and programmable logic are in an unknown state. Program code, or firmware, for these devices must first be downloaded via the USB before data can be acquired. After the devices are operational, user settings are downloaded.

Handel (and thus ProSpect) uses an initialization (INI) file to store all necessary configuration information, including the path and filename of the firmware file on the host computer, detector characteristics and spectrometer settings, and timing and synchronization logic functions used. In order to start properly, ProSpect needs to have the following information:

- ✓ The location of the Mercury FDD firmware file (DSP and FPGA code that runs on the board, included in the installation package).
- ✓ Various properties of the detector preamplifier including type, polarity and gain.
- ✓ Which timing and synchronization functions are to be used. Master and slave modules will be designated automatically. Note: section 3) describes timing and synchronization logic.

INI files can be updated at any time, i.e. after the spectrometer settings have been optimized, and existing INI files can be loaded at any time. If you have previously run with ProSpect, your registry settings will point to the most recently used INI file, and ProSpect will automatically run with these settings upon startup.

#### 3.1.1 Starting ProSpect Without an INI File

Start ProSpect via the Start menu: Start > Programs > ProSpect 0.1 > ProSpect. The first time ProSpect starts up, the ProSpect Configuration File Error panel will appear, because a valid configuration file has not been selected. Press the "Generate New File" button to launch the Configuration Wizard, which guides the user step-by-step to create an INI file.

## 3.2 The Configuration Wizard

The Configuration Wizard utility can be launched at any time from the "Tools" menu in ProSpect. First select the appropriate instrument ("mercury" or "mercury4" from the drop-down list and press [OK].

### 3.2.1 General Settings

These basic settings are the bare minimum necessary to run the Mercury in normal single-spectrum mode.

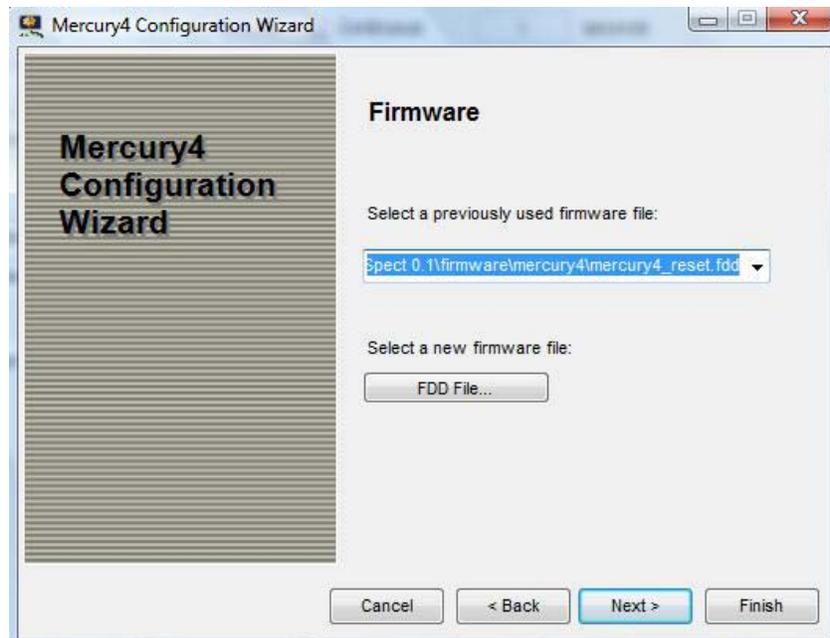
#### 1) Welcome to the Configuration Wizard

The first panel of the Configuration Wizard is simply a welcome screen with some information about the utility. Press [Next] in the Mercury Configuration Panel.

#### 2) Firmware

The firmware file contains all program code for the programmable devices on the Mercury. Press the [FDD File...] button to browse, or type "C:\Program Files\xia\ProSpect 0.1\firmware\mercury\mercury\_reset.fdd" and press [Next]. If you have updated your firmware since ProSpect was installed, be sure to select the new file. Note that different firmware files are required for pulsed-reset and RC-feedback type preamplifiers. Updates to the firmware are available online at:

[http://www.xia.com/DXP\\_Mercury\\_Download.html](http://www.xia.com/DXP_Mercury_Download.html)

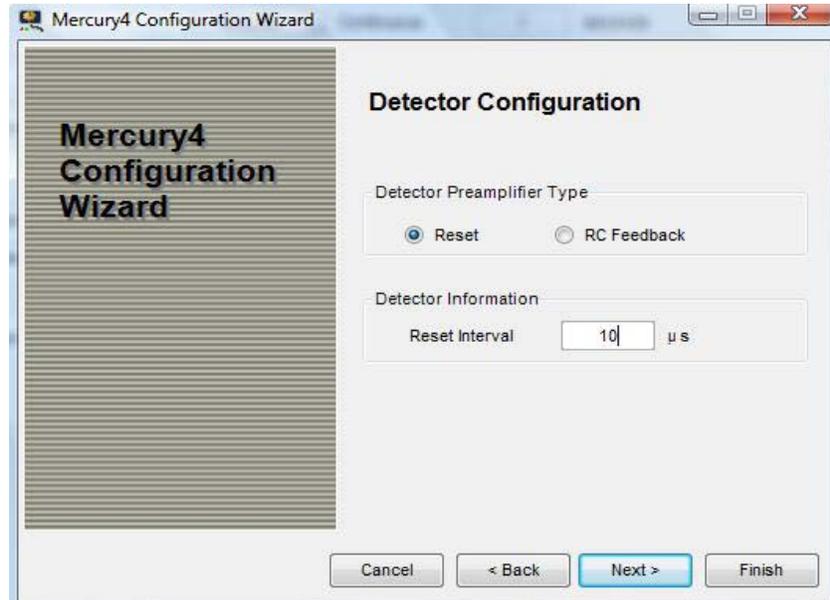


**Figure 3.1** The firmware file contains program code for the Mercury's programmable devices.

#### 3) Detector Configuration

Select the appropriate detector type. For **Reset** type, enter the

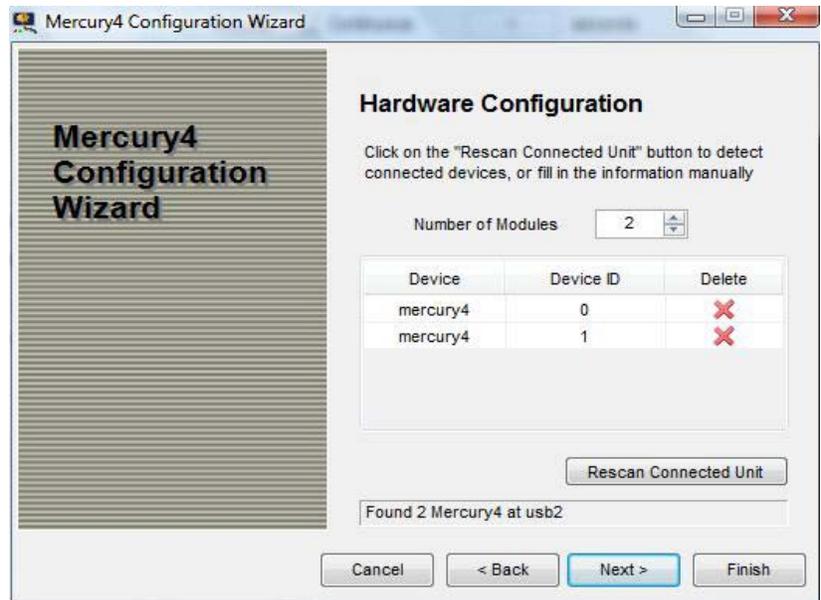
**Reset Interval.** This is the time in microseconds that the preamplifier takes to reset and settle, and should be set conservatively to prevent associated voltage transients from entering the spectrum. If you don't know the reset time enter 10 (microseconds). For **RC Feedback** enter the **RC Decay Time** in microseconds. Press [**Next**].



**Figure 3.2:** The Detector Configuration settings.

4) **Hardware Configuration**

This panel displays all located Mercury modules, At this point it is possible to add or disable modules. Clicking the Rescan button will detect connected devices if changes have been made.

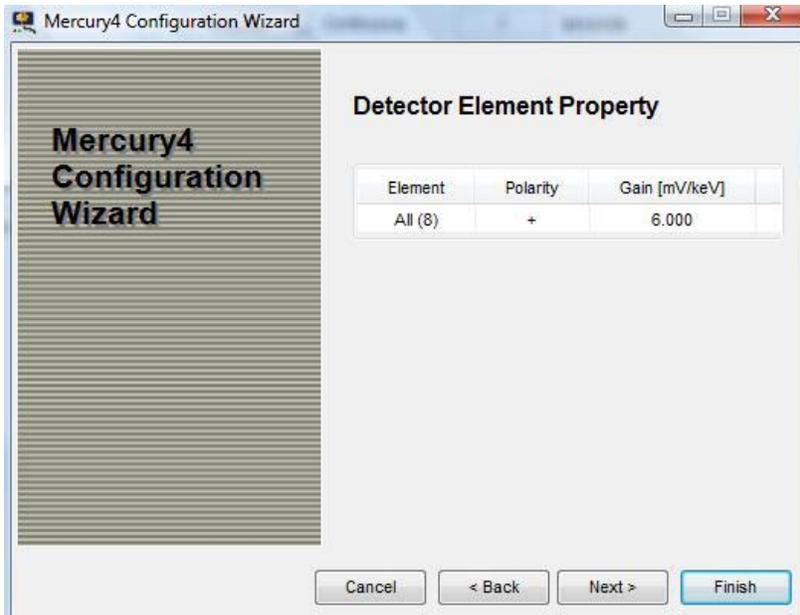


**Figure 3.3:** The Hardware Configuration panel for a two -module Mercury-4 system .

#### 5) Detector Element Property

Each Mercury processing channel includes a programmable gain analog stage to compensate for the detector gain. Initially the same polarity and gain should be used for all channels (Mercury-4). During the calibration process the gain can be fine-tuned for each channel and the INI file updated.

Click in the **Polarity** column: "-" if X-ray steps generate a negative voltage step; "+" if X-ray steps generate a positive voltage step. If you don't know the polarity, keep the default (negative) setting. Enter the gain in [mV/keV]. If you don't know the gain, keep the default of (3 mV/keV). Press [**Next**].



**Figure 3.4:** Detector Element Property panel for an 8-channel system

### 3.2.2 Hardware Synchronization Settings

If you intend only to use the Mercury in single-spectrum mode without the synchronization features, press [Finish] to skip ahead to save the generated configuration file.

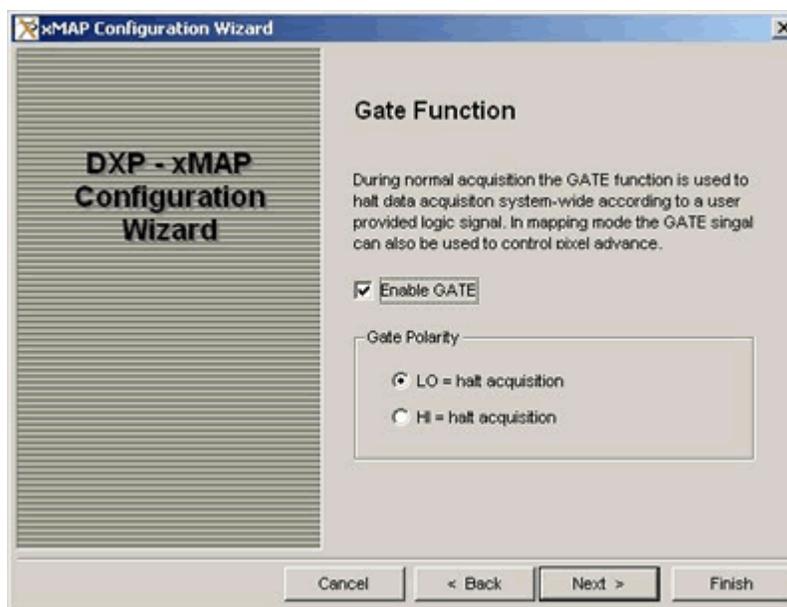
6) **Hardware Timing Synchronization**

If you want to use the synchronization features and/or use the Mercury in mapping mode, select **Configure hardware for synchronized run**, press [Next]. Otherwise press [Finish] to skip ahead to save the generated configuration file. (Proceed to 0.

7) **GATE Function**

The GATE function is used to selectively halt data acquisition during a run according to a user-provided TTL/CMOS logic signal. Please review section 7.2.1 for a complete description of this feature.

The **Enable GATE** setting reserves the left-most available module in each PCI bus segment as the GATE master, i.e. it accepts the front-panel GATE connection. The "GATE Polarity" setting determines whether data is halted when the GATE logic signal is LO or HI. Make your selections and press [Next].



**Figure 3.5:** For this system GATE is enabled, set to halt acquisition when LO.

### 3.2.3 Mapping Mode Settings

The remaining settings relate to the mapping mode wherein multiple spectra are acquired for each processing channel in a single run, e.g. to produce an elemental map of the sample in x-ray scanning applications. Please review section 1.2.1.1 for a description of the mapping modes.

- 8) **Mapping Configuration**  
If you want to use the Mercury in mapping mode, select "Continue with mapping configuration" and press [**N**ext]. Otherwise select "Don't use mapping mode" and press [**N**ext].
- 9) **Pixel Advance Mode**  
The Pixel Advance triggers the change to a new spectrum in multiple-spectrum data acquisition. Typically the Pixel Advance is controlled by a user-provided logic signal. In GATE mode each leading edge transition generates a Pixel Advance instruction. See section 7.2.2 for a description of this mode. In SYNC mode a Pixel Advance instruction is generated every N LO-to-HI transitions. See section 7.2.3 for a description of this mode. In User mode the Pixel Advance is triggered by a command from the host computer.
- 10) The next panel depends on the Pixel Advance Mode selection:
  - a) **GATE Pixel Advance Options**  
As described in section 7.2.2.3, in GATE Pixel Advance mode the GATE signal by default also halts data acquisition. If "Pixel advance only" is selected on this panel, data will be written to the new spectrum immediately after each leading edge transition regardless of the pulse-width.  
*Note:* The polarity selection made in step 7) above is used for both the normal and mapping modes, e.g. if "LO = halt acquisition" was selected, the pixel advance occurs on the HI-to-LO transition.

- b) **SYNC Pixel Advance Options**  
The SYNC pixel advance occurs after the selected "Number of cycles" is detected, on the desired "Trigger Edge".  
*Note:* Selecting SYNC mode reserves the left-most available module in each PCI bus segment as the SYNC master, i.e. it accepts the front-panel SYNC connection.
  - c) **User Pixel Advance Options**  
There are no options for the this mode; the utility skips to next panel.
- 11) **Timing Mode Run Control Options**  
The Mercury can automatically stop the data acquisition run after a prescribed number of pixels. The "Number of Pixels Per Run" setting can easily be modified later in ProSpect. If it is set to minus one (-1) the run continues until the user stops the run. A dual memory architecture is used to achieve continuous operation in mapping mode. Each memory device is 1,048,576 words in size. The "Number of Pixels Per Readout" is slightly less than the total device size divided by the individual spectrum size. If zero, or a number greater than acceptable, is selected the largest number that can be used is automatically calculated. This setting can easily be modified later in ProSpect.

### 3.2.4 Completing the Configuration

#### Save Completed Configuration

The INI file you have created can now be saved. Select a unique name for the file, e.g. "C:\Program Files\xia\ProSpect 0.1\mercury\_myconfig.ini". Press **[Finish]** to save the INI file and exit the **Configuration Wizard**. Note: In Windows Vista and above, the INI file should be saved in a folder outside of "Program Files" to avoid saving and retrieval problems. Note that if you did not start the system above, you must load the INI file to enact your changes.

---

## 3.3 Loading and Saving Initialization Files

INI files can be updated at any time, i.e. after the spectrometer settings have been optimized, and existing INI files can be loaded at any time. If you have previously run with ProSpect, your registry settings will point to the most recently used INI file, and ProSpect will automatically run with these settings upon startup.

### 3.3.1 Loading an INI file

Select "Load Configuration" from the File menu. Browse to and select an INI file that you just created and press "Open". ProSpect will download firmware and initialize the Mercury modules in your system.

### 3.3.2 Saving an INI file

INI files can be updated at any time, i.e. after the spectrometer settings have been optimized, by selecting "Save Configuration", or "Save Configuration As..." from the "File menu. You may find it useful to maintain several INI files, e.g. for operating with different detectors, or with different spectrometer

settings.

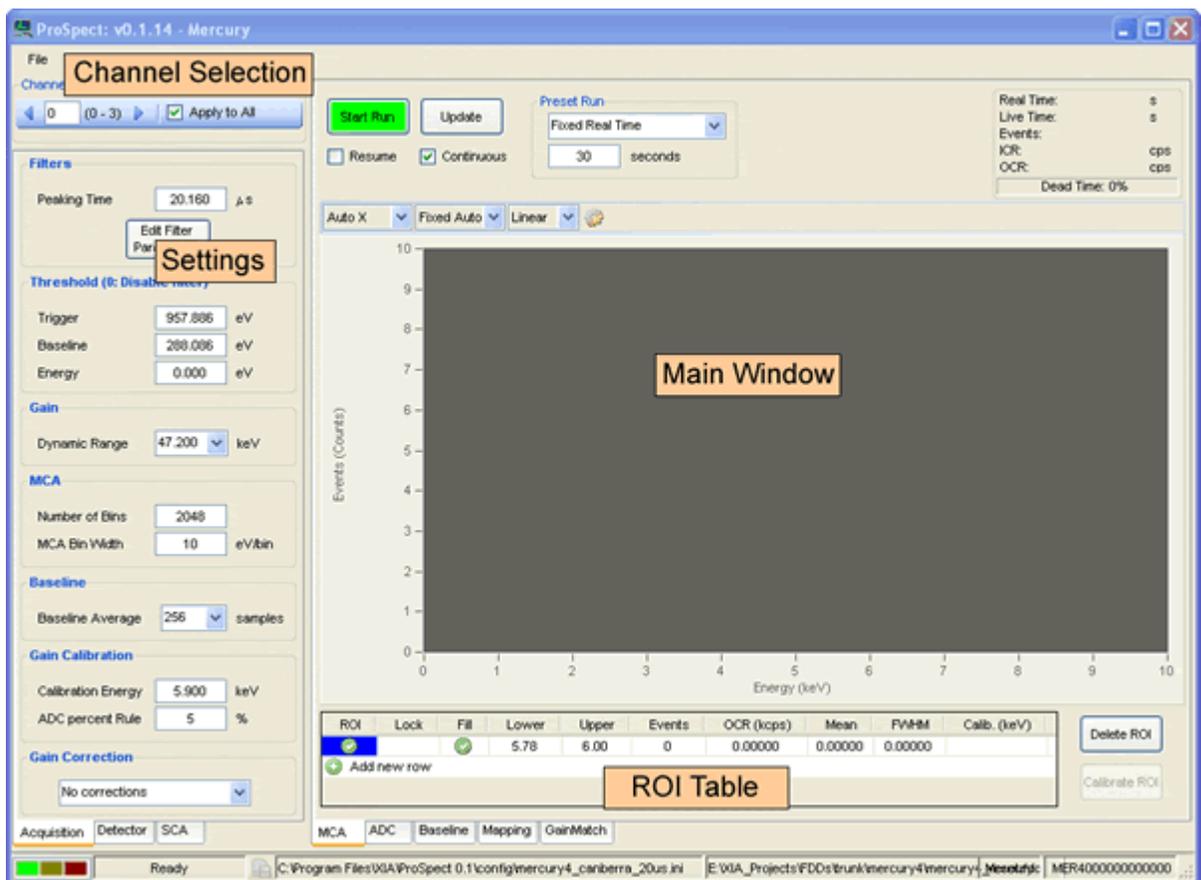
**Note:** In Windows Vista and above, the INI file should be saved in a folder outside of “Program Files” to avoid saving and retrieval problems

## 4 Using ProSpect with the Mercury

At this point the ProSpect software and drivers should be installed, the Mercury hardware should be powered on and identified by Windows, and a valid initialization file should have been created and loaded. This chapter will guide you in using ProSpect with the Mercury module.

### 4.1 A Quick Tour of ProSpect

ProSpect is a PC-based application that provides for the setup, optimization and failure diagnosis of the instrument, and allows for the reading out, displaying, analyzing and exporting of acquired energy spectra. When you start the program and an initialization file has been loaded, the ProSpect main window should be displayed as in Figure 4.1.



**Figure 4.1:** The ProSpect main window upon startup, after hardware initialization.

#### 4.1.1 Channel Selection

This panel is not displayed for the single-channel Mercury. Each Mercury-4 module provides four (4) digital x-ray processing channels. The

**Channel Selection** global control sets the channel for which settings and data are displayed in ProSpect. The "Apply to All" checkbox applies to settings only: If the checkbox is checked, any change to settings will be applied to all channels simultaneously.

#### 4.1.2 Settings Sidebar

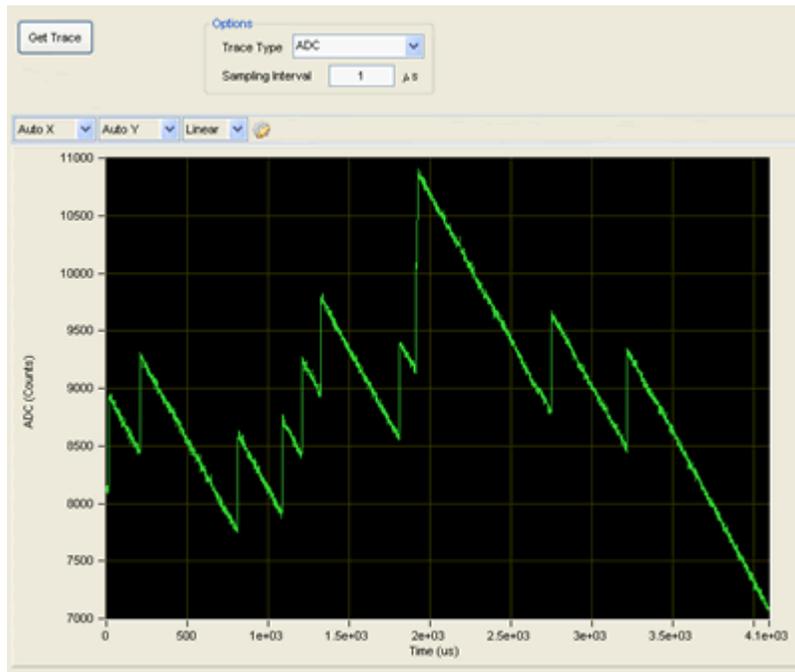
The tabbed **Settings** sidebar provides easy access to all hardware and firmware settings. It is intended to be the primary interface for setup and optimization. The **Acquisition** tab contains spectrometer settings such as peaking time and thresholds. The **Detector** tab contains detector and preamplifier settings such as polarity and gain. The **SCA** tab displays Single Channel Analyzer data, if applicable, based on user-entered regions of interest.

#### 4.1.3 Main Window

The tabbed **Main Window** contains the MCA, Baseline, oscilloscope, and system calibration. The **MCA** tab is used for normal mode spectrum acquisition. The **Baseline** tab displays the baseline histogram. The **ADC** tab contains the oscilloscope tool for displaying ADC, filter output, and baseline data. The **GainMatch** tab contains the system-wide gain calibration tool. The **Mapping** panel is used for time-resolved multi-spectrum and multi-SCA data acquisition.

## 4.2 Detector and Preamplifier Settings

If the Configuration Wizard was followed correctly as described in section 3.2, the system should be nearly ready for data acquisition. Before taking a spectrum, however, we recommend verifying the Detector and Preamplifier settings. After settings have been optimized, the configuration (INI) file should be saved.



**Figure 4.2:** An ADC trace displayed in the **ADC** panel oscilloscope tool. Notice that the displayed x-ray events (11 total) are voltage steps with *rising* edges, thus the polarity is set correctly.

Select the **ADC** tab in the **Main Window** to display the oscilloscope tool (see **Figure 4.2**). Select **ADC** from the drop-down list, set the **Sampling Interval** to "1.000"  $\mu$ s and press the **Get Trace** button to display a 4096-point raw ADC data set.

Select the **Detector** tab of the **Settings** panel. The **Polarity** setting enables or disables a digital inverter depending on the signal polarity of the preamplifier. The **Reset Interval** is the settling time, in microseconds, of the preamplifier reset. The **Preamp Gain** is the gain, in milli-Volts per kilo-electron-Volt of the charge sensitive preamplifier. The **Apply** button downloads the adjusted setting(s) to the Mercury hardware. For a thorough discussion of oscilloscope diagnostic tool, please review section 4.7.1.

*Note: Do not confuse detector bias polarity with the polarity of the preamplifier signal; they are not necessarily related.*

### 4.2.1 Pre-Amplifier Polarity

Preamplifier polarity denotes the polarity of the raw preamplifier signal, NOT the detector bias voltage polarity. A positive polarity preamplifier produces a positive step, defined as a voltage step with a rising edge, response to an incident x-ray. The digital filters in the Mercury expect an input signal with positive steps. An optional input inverter is employed to correct the signal

polarity for negative polarity preamplifiers. If the polarity has been set correctly, the ADC oscilloscope trace should display positive steps.

If the ADC trace displays positive steps (as in **Figure 4.2**), the polarity has been set correctly. If not, change the **Polarity** setting and press the **Apply** button. Acquire a new trace to verify that the polarity setting is correct.

Please read through section 4.7.1 for a thorough description and figures relating to the preamplifier signal polarity.

#### 4.2.2 Reset Interval

The **Reset Interval** is the period of time after each preamplifier reset that the Mercury waits before re-enabling data acquisition. The delay is set based on the settling time of the preamplifier reset transient waveform, typically ranging from hundreds of nanoseconds to hundreds of microseconds. If you are unsure, enter "10"  $\mu$ s. Setting the delay shorter than the transient settling time may introduce 'reset artifact' events into the spectrum. Setting the delay longer than necessary introduces additional processor dead time, which will reduce the data throughput at high count rates.

#### 4.2.3 Preamp Gain

The **Preamp Gain** setting, in combination with the dynamic range setting, controls the Mercury's variable gain amplifier such that the input requirements of the ADC are satisfied, given the gain of the preamplifier. If you know the gain of your preamplifier, enter that value. Otherwise we recommend using the default value of 3mV/keV. This setting is normally adjusted automatically during energy calibration. In cases of extremely low or high preamplifier gain, it may be necessary to adjust the nominal gain before taking a spectrum. If the displayed x-ray steps are less than 50 ADC units in height, reduce the **Preamp Gain** setting. If the displayed x-ray steps are greater than 2,000 ADC units in height, increase the **Preamp Gain** setting.

#### 4.2.4 Preamp Risetime

This is an advanced setting, accessible by pressing the [**Edit Filter Parameters**] in the **Acquisition** settings tab. The preamplifier rise-time should be measured and the **Minimum Gap Time** set accordingly. This setting is described in detail in section 4.6.1.2. See section 4.7.1.2 for details on using ProSpect to measure the rise-time for your system and section 6.3 for a theoretical discussion of the issues involved in trapezoidal filtering.

#### 4.2.5 Saving the Configuration File

This is a good time to save your configuration file. From the File menu, select "Save Configuration" to update the currently-used INI file. Or, select "Save Configuration As..." to create a new INI file.

## 4.3 Normal Spectrum Mode Data Acquisition

*To begin data collection:  
Select the MCA tab and  
press the [Start Run]  
button.*

### No spectrum?

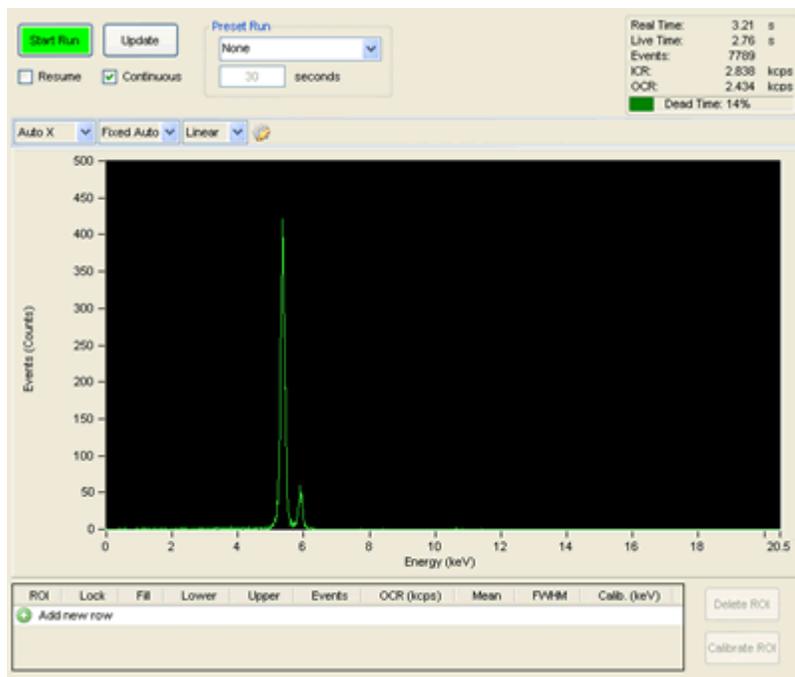
Check your hardware setup, e.g. x-rays present?

- ✓ Check your initialization settings, e.g. preamplifier type and polarity correct?
- ✓ Troubleshoot the signal using the Oscilloscope tool, as described in section 4.7.1.

### 4.3.1 Starting a Run

Once the detector/preamplifier settings have been verified you are ready to collect a sample spectrum. Place a known X-ray source, for example an  $^{55}\text{Fe}$  source that produces Mn  $K_{\alpha}$  line at 5895 eV, such that x-rays strike the detector's active area at a moderate to low rate, i.e. less than 10,000 x-rays absorbed per second.

Select the **MCA** tab and press the **[Start Run]** button in the data display panel to begin data collection. An uncalibrated energy spectrum should appear. Figure 4.3 shows a sample uncalibrated  $^{55}\text{Fe}$  spectrum. Proceed to section 4.3.2 if a spectrum is displayed.



**Figure 4.3:** An uncalibrated  $^{55}\text{Fe}$  spectrum.

Press the **[Update]** button to manually read out the MCA data, or check the **Continuous** checkbox to automatically refresh the spectrum. A horizontal line at zero on the y-axis indicates that no output events have been acquired, although the run is active. This can result from a hardware setup problem, e.g. x-rays not hitting detector; detector not powered, etc. Or it can result from incorrect configuration settings. The most common problem is incorrect detector/preamplifier settings. To troubleshoot these settings please refer to the Diagnostics section 4.7.

### 4.3.2 Skipping Channels

The manual or automatic MCA data readout operates on all *active* processing channels, i.e. though only one spectrum is displayed, data from all channels is locally accessible via the **Channel Selection** control.

Processing channels can be disabled, or skipped, from the readout operation. Select the **System** settings tab to display the **Channel Selection Detail**. Click in the **Skip Session** column to de-select individual channels. *Note:* Channel skipping also applies to system-wide gain matching as described in section 4.3.5.2.

Channel	C	Skip Session	Run Status
0			Ready
1			Ready
2			Ready
3			Ready
4			Ready
5			Ready
6			Ready
7			Ready
8			Ready
9			Ready
10		Skipped	
11		Skipped	

**Figure 4.4:** The **Channel Selection Detail** control in the **System** settings tab. In the system shown, MCA data readout and system-wide gain matching would be skipped for channels 10 and 11.

*To change common acquisition settings select the Acquisition tab of the settings panel.*

### 4.3.3 Spectrometer Settings

The primary spectrometer settings are immediately accessible via the Acquisition tab in the settings panel.

#### 4.3.3.1 Peaking Time (Energy Filter)



**Figure 4.5:** The **Peaking Time** is displayed in the **Acquisition** tab of the **Settings** panel.

*Note: The energy filter peaking time is widely referred to as “**peaking time**”, whereas the fast filter peaking time is referred to as “**fast peaking time**”*

*Making a plot of energy resolution versus peaking time provides a useful future reference.*

The energy filter peaking time is one of the primary user controls. Generally speaking, a longer peaking time produces better energy resolution at the cost of increased dead time and thus lower output count rate. In practice, the user may set the peaking time to a shorter than optimal value in order to increase data throughput, making up for degraded energy resolution with improved statistics. Most detectors also have an upper limit above which the energy resolution gets worse. HPGe detectors typically have optimal peaking times between 16 $\mu$ s and 32 $\mu$ s. Silicon drift detectors often produce the best resolution at 10 $\mu$ s or less. Some SiLi detectors show resolution improvements out to 80 $\mu$ s or longer.

The [**Edit Filter Parameters**] button accesses additional filter parameters, including the energy gap time, the fast, or trigger, filter settings and pileup rejection parameters. The default filter settings reflect a compromise between robustness and performance and typically do not need to be changed. In some cases energy resolution for a given peaking time can be improved significantly if these settings are optimized as described in section 0.

#### **4.3.3.2 Trigger Threshold**

The trigger, or fast, filter threshold sets the low-energy limit for the fast filter, which is used primarily for pileup inspection. If the baseline threshold is employed, the *detection* of x-rays actually extends to energies significantly below the trigger threshold (see section 4.3.3.3). For this reason it is not necessary to set the trigger threshold aggressively, i.e. setting the threshold *as low as possible* will derive little benefit. If set too low, the trigger threshold will introduce a zero energy noise peak into the spectrum. In extreme cases it will halt data throughput entirely.

To optimize the fast filter threshold, set the **Baseline Threshold** to zero (so that output events are generated by fast filter triggers only), edit the **Trigger Threshold** value and press [**Apply**]. Typical values range from 600eV to 1500eV. A good procedure is to initially set the value too high, reduce it until the zero energy noise peak starts to become significant, and then raise it again until the noise peak is eliminated.

The fast filter length is independent of the energy filter length, or peaking time, thus the trigger threshold does NOT need to be optimized every time the peaking time is changed. All thresholds must be readjusted if the gain changes significantly.

#### **4.3.3.3 Baseline Threshold**

Note: The baseline threshold is not available for decimation 0, i.e. peaking times less than or equal to 2.0  $\mu$ s.

The baseline threshold sets the low-energy limit for the intermediate, or baseline, filter, which is used for both baseline acquisition and low-energy x-ray detection. To optimize the baseline filter threshold, first optimize the trigger threshold as described above, then edit the **Baseline Threshold** value and press [**Apply**]. Typical values range from 150 eV to 1000 eV.

The baseline filter length is linked to the energy filter length, or peaking time, thus the baseline threshold should be optimized every time the peaking time is changed. All thresholds must be readjusted if the gain changes significantly.

Please review section 6.4 for a detailed description of baseline acquisition and averaging. Section 4.7.2.1 describes the empirical optimization of the baseline threshold

**CAUTION:** *In almost all cases the Energy Threshold should be set to zero. An error term in the counting statistics is introduced when the Energy Threshold is enabled. For this reason it should only be enabled at low data rates.*

#### 4.3.3.4 Energy Threshold

The energy threshold sets the low-energy limit for the slow, or energy, filter, which is used primarily for measuring the pulse-height, i.e. energy, of x-ray voltage steps. Triggering on the energy (slowest) filter can extend the detection range down to the lowest energies for a given detector, however, in most cases we recommend setting the **Energy Threshold** to zero. This is because the dead time associated with x-rays detected by the energy filter can not be directly measured. It remains available primarily for two special cases:

A non-zero energy threshold is appropriate for ultra-soft x-ray detection at very low input count rates.

A non-zero energy threshold may be used to extend the detection range for decimation 0, i.e. peaking times under 2  $\mu$ s. Dead time and count rate statistics will however be distorted.

#### 4.3.3.5 Dynamic Range

The dynamic range setting combines with the detector gain setting to determine the variable analog gain of the Mercury. The variable gain is set such that an x-ray with energy equal to the dynamic range value produces a voltage step of the maximum allowable amplitude at the ADC input. X-rays with energies exceeding the dynamic range value cannot be processed correctly. The presence of such x-rays can result in a significant reduction in the output count rate. The **Dynamic Range** setting should be set above the largest x-ray energy present in the system. Typical values range from 40keV to 100keV. Edit the **Dynamic Range** value and press [Apply].

#### 4.3.3.6 MCA Number of Bins and MCA Bin Width

The size and granularity of the spectrum can be adjusted. The number of spectrum bins sets the granularity of the acquired spectrum. The eV/Bin setting determines the size of each MCA bin in electron Volts. Together, these settings determine the energy span of the MCA: The spectrum ranges from zero to a maximum energy equal to the number of spectrum bins multiplied by the MCA bin width (e.g. a 40.96keV spectrum results from 2048 bins at 20eV/bin).

Note that these digital spectrum controls are independent of the **Preamp Gain** and **Dynamic Range** settings that control the variable analog gain. If the MCA energy range is less than the dynamic range, the entire spectrum will be free of distortion. If the MCA energy range exceeds the dynamic range setting, the spectrum will be distorted: Higher energy x-ray data will be attenuated or cut off. For this reason the product of **Number of Bins** and **MCA Bin Width**, i.e. the MCA energy range, should be less than the dynamic range. Edit the **Number of Bins** and **MCA Bin Width** values and press [Apply]. Start a new run.

#### 4.3.3.7 **Baseline Average**

The baseline is the output of the energy filter in the absence of x-rays. A running average of baseline samples, acquired between x-ray events, is subtracted from the x-ray peak samples to arrive at the true energy of incident x-rays. A perfect detector and preamplifier would produce a constant baseline, however, in the real world the actual baseline varies. The number of **Baseline Average Samples** can strongly affect performance. More samples improve noise reduction but slow the reaction time to actual changes in the baseline. In most cases a value between 64 and 512 will produce the best results.

Please review section 6.4 for a detailed description of baseline acquisition and averaging. Section 4.7.1.4 describes the empirical optimization of the number of samples in the baseline average.

#### 4.3.4 **Setting Regions of Interest (ROIs)**

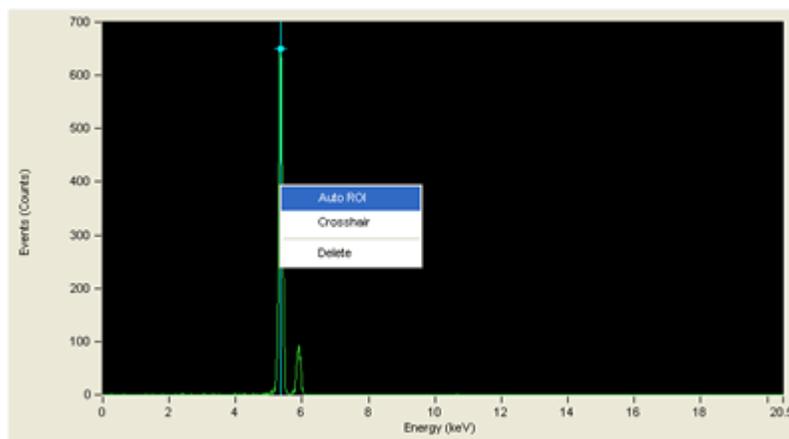
A region of interest (ROI) is a user-defined energy range of the MCA spectrum for which separate statistics are displayed in the ROI Table. Typically an ROI corresponds to an energy peak. ROIs are used for energy calibration and SCA acquisition.

##### 4.3.4.1 **Adding ROIs**

The **Region Of Interest** table is located below the spectrum. A single ROI is displayed by default. If you cannot see the ROI table, slide the panel separator up or press increase the size of the entire Prospect window. Click on **Add New Row** to manually add an ROI. The three leftmost columns in the table control the display of the ROIs. The first column indicates which ROI is active, and its color. Only one ROI is active at a time; click on a row to make it active. The second column locks the ROI. The third column toggles the fill mode. The **Lower** and **Upper** bounds of the ROI can be entered manually in the table, or automatically created for a given peak using the **Auto ROI** function.

##### 4.3.4.2 **Auto ROI**

The **Auto ROI** function generates lower and upper bounds for the active ROI about a selected energy peak. Place the mouse pointer over the spectrum peak of interest. Right-click and select **Place Cursor**. Drag the cursor to the center of the calibration peak, right-click and select **Auto ROI**. A region of interest should automatically appear on the peak. In some cases, where few events have been collected, the Auto ROI feature will not properly enclose the peak. In these cases, the ROI can be adjusted directly in the Spectrum Window.



**Figure 4.6:** The Auto ROI function (found in the cursor context menu) automatically defines a region of interest around the peak selected with the active cursor. The cursor context menu is displayed by right-clicking on a cursor.

### 4.3.5 Gain Calibration

This process modifies an analog variable-gain stage on the Mercury hardware, and correspondingly modifies the **Preamp Gain** software setting. Due to the analog nature of the variable gain amplifier that is used, the precise analog gain following a hardware gain modification is unknown until it is measured. For this reason, calibration is an iterative process that must be executed any time acquisition values are changed that require a hardware gain modification, e.g. if the **Dynamic Range** is increased.

Section 4.3.5.1 describes how to directly calibrate a single processing channel using the active ROI. This approach is appropriate for the single-channel Mercury, but should also be used during initial setup of the Mercury-4, to propagate a nominal calibration to all channels. Section 4.3.5.2 describes how to use the **GainMatch** tool to calibrate multiple processing channels simultaneously.

Once calibration is complete, the modified configuration settings can be saved to the configuration file, each channel with a unique **Preamplifier Gain**, so that calibration is maintained the next time ProSpect is started.

#### 4.3.5.1 ROI-Based Gain Calibration

At this point you should have an energy peak bounded by a region of interest. Please review section 4.3.4 if you have not created an ROI. The ROI table displays the mean energy and width of the peak in the ROI, as well as the ROI upper and lower limits.

Select the **Detector** settings tab and make note of the **Preamp Gain** value. To calibrate: First make sure the ROI containing the selected peak is active, as indicated by a green checkmark in the leftmost column, then enter the peak's known energy into the **Calib. (keV)** field of the ROI (i.e. for an  $^{55}\text{Fe}$   $K_{\alpha}$  line enter 5.895 keV). Then press the **[Calibrate ROI]** button, and start a new run. The spectrum should now appear with the peak properly calibrated. For the best accuracy it is often necessary to run the calibration through a few

iterations. If the initial spectrum was badly out of calibration, the resulting change in gain may cause the peak to jump partially or fully out of its ROI. In this case, readjust the ROI so that it centers on the peak before repeating the calibration.

When you are satisfied with the calibration, note that the **Preamp Gain** value has been modified. For the Mercury-4, before using the **GainMatch** tool it is good practice to propagate this nominal value to all the processing channels. Make sure the **Apply to All** checkbox is checked. Cut-and-paste, or simply re-type the **Preamp Gain** value and press [**Apply**]. Note that all channels have now been set to the new value.

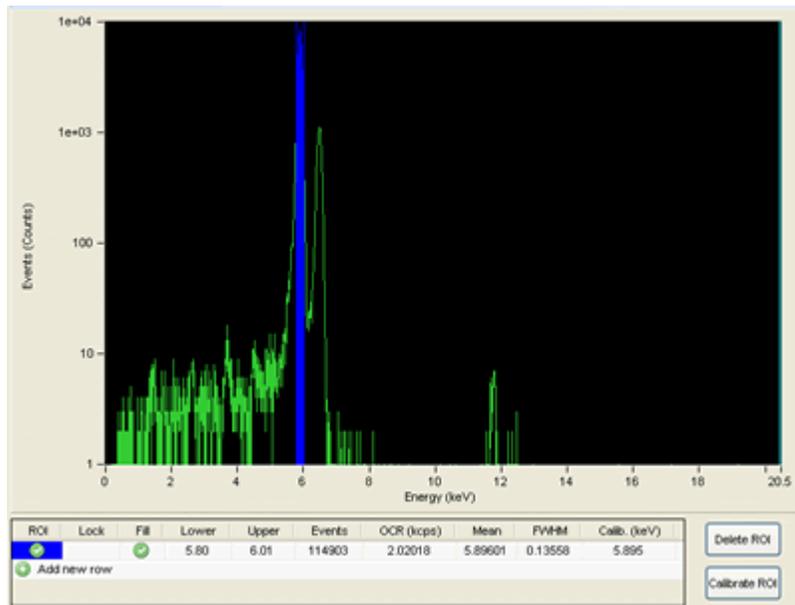


Figure 4.7: A calibrated  $^{55}\text{Fe}$  spectrum.

#### 4.3.5.2 Multi-Channel Gain Calibration

Multi-element detectors often have significant channel-to-channel gain variations. Further, the nominal gain specification provided by the manufacturer can be off by 20% or more. Given the tedium of calibrating one channel at a time as described above, Prospect includes a tool to calibrate multiple channels simultaneously. The **GainMatch** tool automates the gain calibration for all specified processing channels, according to user constraints. The iterative routine acquires data for the user-specified **Acquisition Time**, looks for a peak within the **Calibration Peak Range**, compares the measured peak centroid to the **Calibration Energy** and adjusts the gain as necessary. The process repeats until to the **Number of Iterations** has expired, or the **% of Calibration Energy** has been reached.

#### 4.3.5.3 Skipping Channels

The **GainMatch** tool operates on all *active* processing channels. Individual processing channels can be disabled, or skipped, from the calibration

macro. Select the **System** settings tab to display the **Channel Selection Detail**. Click in the **Skip Session** column to de-select individual channels.

*Note:* Channel skipping also applies to MCA data readout as described in section 4.3.2.

Channel	C	Skip Session	Run Status
0			Ready
1			Ready
2			Ready
3			Ready
4			Ready
5			Ready
6			Ready
7			Ready
8			Ready
9			Ready
10		Skipped	Skipped
11		Skipped	Skipped

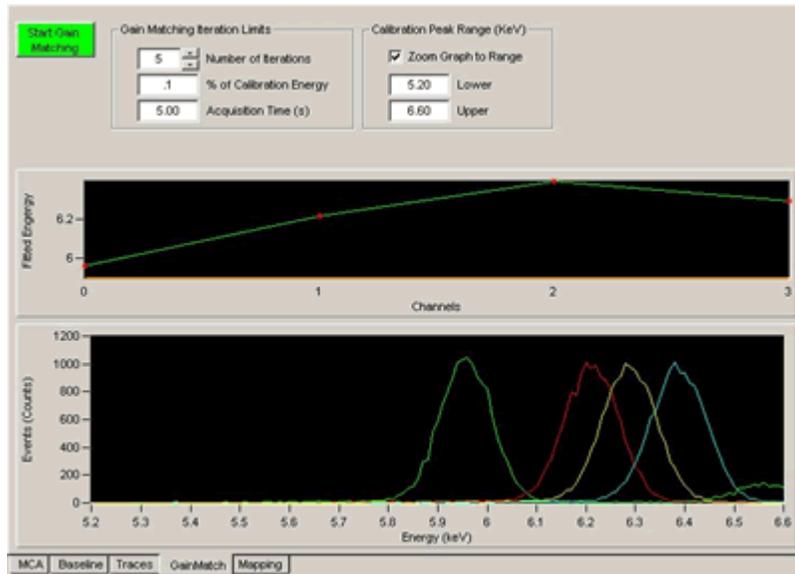
**Figure 4.8:** The **Channel Selection Detail** control in the **System** settings tab. In the system shown, MCA data readout and system-wide gain matching would be skipped for channels 10 and 11.

#### 4.3.5.4 Running the Calibration Macro

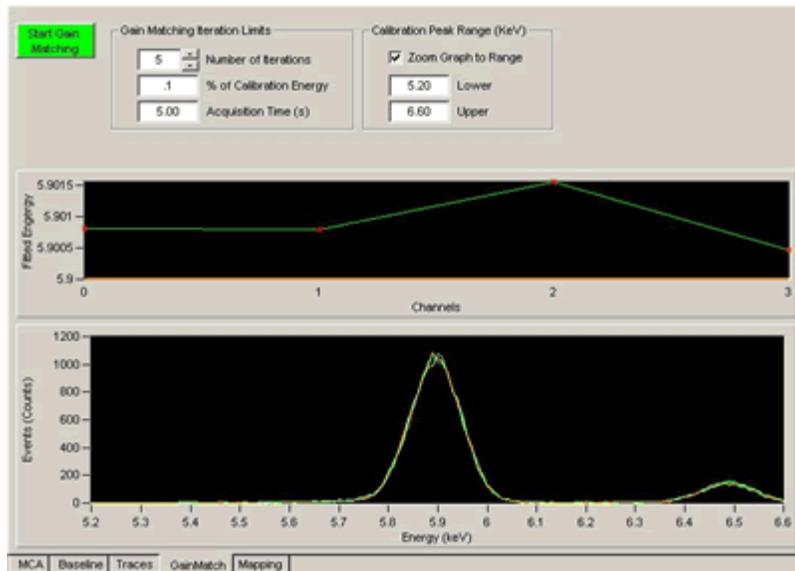
Select the **GainMatch** tab in the main window. This tool only works if the nominal gain has been set such that the energy peak falls within the **Calibration Peak Range**. The best practice is to calibrate a single channel, then propagate the nominal gain to all channels as described in section 4.3.5.1 above.

Depending on source intensity the **Acquisition Time** should typically range between 1 and 10 seconds. Setting the **% of Calibration Energy** less than 0.1 may result in failure to converge. It is best to experiment with the settings to get a feel for the utility.

Note that if the calibration routine fails to find a peak in a given channel, that channel will automatically be disabled. You may want to re-enable channels afterwards in the **System** settings tab.



**Figure 4.9:** The GainMatch panel after one iteration. Note the significant channel-to-channel gain variation.



**Figure 4.10:** The GainMatch panel after five iterations. Note the near perfect channel-to-channel calibration.

Note that if energy calibration results in a significant change in gain, it may be necessary to adjust thresholds.

► Save your (modified) INI file to a unique filename:  
Select **Save Configuration As...** from the **File** menu.

#### 4.3.6 Saving and Loading INI Files

Completion of the gain calibration is the final step in the verification of basic settings. The settings should now be saved to an INI file such that they will automatically reload whenever ProSpect is started. Because calibration is required any time spectrometer settings are changed, we recommend creating separate INI files for each commonly used peaking time. Once the settings for a

peaking time have been optimized and the system calibrated, the entire setup can be restored by loading the INI file.

#### 4.3.6.1 Saving an INI File

Select **File » Save Configuration As...** to open the **Save Configuration File** dialog. Enter a unique filename and press the **[Save]** button.

#### 4.3.6.2 Loading an INI File

Select **File » Load Configuration...** to open the **Open Configuration File** dialog. Select a file and press the **[Open]** button.

#### 4.3.6.3 Creating an INI File

The **Configuration Wizard** utility should be used to generate new INI files. Select **Tools » Configuration Wizard...** to open the **Save Configuration File** dialog. The utility allows for a previously used INI file to be used as a template. Please refer to section 3.2.

### 4.3.7 Output Statistics

Global statistics, such as ICR, OCR and deadtime fraction are displayed along the top of the main window. Statistics for defined regions of interest are displayed in the ROI table.

#### 4.3.7.1 Real Time

This is simply the time elapsed between the Start Run and Stop Run operations, measured in the DSP itself every 500 $\mu$ s with 800 ns accuracy. Intermediate values read out during the run will therefore have the lower accuracy, but the value reported at the end of the run will be fully accurate

#### 4.3.7.2 Trigger Live Time

This is the measured live time of the Fast Trigger Filter, i.e. the time that the Fast Trigger Filter output stays below threshold. This measurement is used to accurately calculate the input count rate.

#### 4.3.7.3 Energy Live Time

This DSP-level output is the *computed* live time of the Energy Filter. This value is also accurate to 800 ns at end of run but is only computed at 10 ms intervals during the run.

#### 4.3.7.4 Input Count Rate (ICR)

The measured input count rate (ICR) is displayed in units of thousands of counts per second [kcps]. The DSP applies internal correction procedures so that the measured ICR is very close to the true ICR, especially for longer peaking time settings. Please see section 6.9 for a discussion of this issue.

*Note: The displayed Live Time does not express a relationship between the OCR and ICR. The Dead Time Percentage display does relate OCR to ICR.*

#### **4.3.7.5 Output Count Rate (OCR)**

The output count rate is also displayed in units of thousands of counts per second [kcps]. The OCR is simply the total number of detected events that did not pile up divided by the real time elapsed. Detected events that do not pile up, but whose measured energy falls outside the spectrum upper and lower limits, are called overflows and underflows, respectively. Both overflows and underflows are included in the OCR.

#### **4.3.7.6 Dead Time %**

The Dead Time Percentage (%) is calculated as the OCR divided by the ICR multiplied by the real time.

#### **4.3.7.7 ROI Statistics**

The peak centroid and width measurements are displayed in the ROI table. The number of events and the OCR are also displayed for each ROI.

### **4.3.8 Single Channel Analyzer (SCA)**

An SCA window is similar to a region of interest (ROI): It is a user-defined range of the energy spectrum. While an ROI is a software-level construct used in analyzing a spectrum, an SCA window is processed at the hardware level. The DSP counts all events within the window and stores the result, the **SCA Counts**, in memory. SCA windowing thus allows for faster readout. Instead of reading the entire spectrum, it is only necessary to read a single word for each SCA. Select the **SCA** tab in the settings panel to view and modify SCAs.

#### **4.3.8.1 Creating SCAs**

SCA windows can be transferred from the ROI table. Simply select the desired ROI from the table (such that the green check-mark appears on the desired row), then press the **[Insert Active ROI]** button. All defined ROIs can be transferred in one step by pressing the **[Insert All ROI]** button. If necessary, the upper and lower limits of each SCA can be adjusted manually by typing in the appropriate box under “SCA Settings”.

#### **4.3.8.2 Running with SCAs**

Acquire data by starting and stopping an MCA run. Notice that when the run is complete, the SCA table is updated with the sum of events in the SCA window. Please refer to the Handel User Guide for help reading out SCAs directly via the Handel device driver. The Mercury also provides a hard-wired TTL output to register an event falling within an SCA, which may be connected to a legacy counting system. Up to 16 (M-1) and 32 (M-4) ROI outputs are available on a multi-pin connector, plus Trigger and Live Time. In the case of the Mercury bench-top models these signals are brought to 25-way connectors on the front panel. See Appendix D for more information.

### 4.3.8.3 Trigger and Live Time Outputs

The SCA tab also displays a “Signal Output Control” panel that sets Trigger and Live Time output signals on the multi-way connector. For each channel, an Input Count Rate (Trigger) TTL signal may be selected from the Fast, Baseline, or Energy Filters. Similarly, a Live Time signal can be selected from the Fast, Baseline, or Energy filters, or all filters combined. In the Mercury bench-top models the ICR and Live Time outputs are brought to the 25-way connectors on the front panel.

### 4.3.9 Saving and Loading Data

All data is stored in ASCII format and are easily readable using a text editor. The default format for MCA data includes bin scaling and other basic operating parameters and is date/time stamped. We would like to directly support as many formats as possible—please let us know if your format is not supported.

#### 4.3.9.1 MCA Data

Spectra can be saved for later display or for analysis in another program. Acquire a spectrum, then select **Save MCA Data...** from the **File** menu. Saved MCA data can be displayed at any time. There are two methods of displaying saved MCA data:

- If you want to compare a saved spectrum to currently acquired spectrum, the saved data can be displayed as an overlay. First acquire a spectrum, then select **Open MCA Data...** from the **File** menu. Notice that the statistics and ROI table operate on the current data only. Note also that if new data is acquired the stored data is preserved. Zooming and panning operate by default on the current data. To zoom and pan the saved data right-click in the display area, select **Active Plot** and select the saved waveform. To clear the stored data right-click in the data display area and select **Remove Ref**.
- If you would like to analyze a stored spectrum, first right-click in the data display area and select **Clear Graph**. Now select **Open MCA Data...** from the **File** menu. Notice that the statistics, ROI table and graphical tools now operate on the stored data. Note also that if new data is acquired the stored data is automatically cleared.

#### 4.3.9.2 Baseline Histogram Data

The baseline histogram can be saved for later display. Acquire a baseline histogram, then select **Save Baseline...** from the **File** menu. A saved baseline histogram can be displayed at any time. Again, there are two methods of displaying a saved baseline histogram:

- If you want to compare a saved baseline histogram to currently acquired data, the saved data can be displayed as an overlay. First acquire a baseline histogram, then select **Open Baseline...** from the **File** menu. Notice that the **Baseline Mean** and **Baseline FWHM** operate on the current data only. Note also that if new data is acquired the stored data is preserved. Zooming and panning

*To Save or Load a spectrum:*

- Press the [**Save MCA Data**] button in the **MCA Window**, or
- Select **Save MCA Data...** or **Open MCA Data...** from the **File** menu.

operate by default on the current data. To zoom and pan the saved data right-click in the display area, select **Active Plot** and select the saved waveform. To clear the stored data right-click in the data display area and select **Remove Ref**.

- If you would like to analyze a stored baseline histogram, first right-click in the data display area and select **Clear Graph**. Now select **Open Baseline...** from the **File** menu. Notice that the **Baseline Mean** and **Baseline FWHM** now operate on the stored data. Note also that if new data is acquired the stored data is automatically cleared.

#### 4.3.9.3 Trace Data

Oscilloscope traces can be saved for later display. Acquire a trace, then select **Save Trace...** from the **File** menu. A saved trace can be displayed at any time. Again, there are two methods of displaying a saved baseline histogram:

- If you want to compare a saved trace to currently acquired data, the saved data can be displayed as an overlay. First acquire a trace, then select **Open Trace...** from the **File** menu. Note that if new data is acquired the stored data is preserved. Zooming and panning operate by default on the current data. To zoom and pan the saved data right-click in the display area, select **Active Plot** and select the saved waveform. To clear the stored data right-click in the data display area and select **Remove Ref**.
- If you would like to analyze a stored trace, first right-click in the data display area and select **Clear Graph**. Now select **Open Trace...** from the **File** menu. Notice that zooming, panning and cursor operations now operate on the stored data. Note also that if new data is acquired the stored data is automatically cleared.

#### 4.3.9.4 DSP Parameters

The **DSP Parameters** panel allows for the export of displayed data to a file. Select **Tools>DSP Parameters...**, then press the [**Export to File...**] button.

---

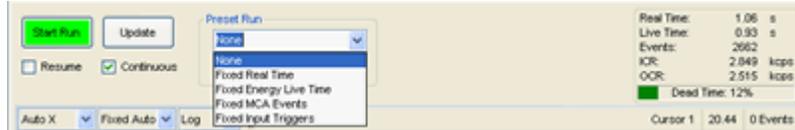
## 4.4 Run Control

This section covers run synchronization. Automatic run termination is achieved by setting run Presets. Runs can be disabled in realtime according to a user-provided logic signal using the GATE function. After stopping a run, the run can be resumed without first clearing the data.

*Run Control settings are accessed in the **MCA Panel** – select a preset type from the drop-down list and enter a value to select the criteria for which the current run is stopped.*

### 4.4.1 Run Presets (Automatic Run Termination)

Run presets determine the duration of the data acquisition run. The Mercury can end the run when a specified preset real or live time has elapsed, or when a specified number of events have been detected or processed.



**Figure 4.11:** The Run Preset settings in the MCA panel.

#### 4.4.1.1 Run To Preset [ choice1 ] Preset Value = choice2

Select the **MCA** tab in the **Main Window**, select a **Preset Run** type from the drop-down list select the criteria for which the current run is stopped:

- **None** - run ends when user presses “Stop” button.
- **Fixed Real Time** – run ends after specified real time elapses.
- **Fixed Energy Live Time** – run ends after specified live time elapses.
- **Fixed MCA Events** – run ends after specified number of valid events have been processed into the MCA.
- **Fixed Input Triggers** – run ends after specified number of input events have been detected.

Now enter a value in the box below, e.g. 30 seconds, or 1000 counts. Start a new data acquisition run and note that the run automatically stops when the run preset criteria have been met.

#### 4.4.2 The GATE Function

The external logic (BNC) input can be configured to halt data acquisition simultaneously on all DXP channels according to an external logic signal. The so-called GATE function supports TTL/CMOS levels. Please review section 7.2.1 for a complete description of this feature, and run the Configuration Wizard again if you'd like to enable the GATE function.

#### 4.4.3 Resume Run: Clear or Retain MCA Data

When a new run is started the data from the previous run can either be cleared or retained. This setting is accessed in the **MCA** window via the **Resume** checkbox. If the box is checked the **[Start/Stop]** button has start/pause functionality: new data accumulates with data from the previous run. If the box is unchecked, data from the previous run is first cleared.

## 4.5 Display Controls

This section briefly describes tools and options related to the display of acquired data.

### 4.5.1 MCA Auto Update / Refresh Rate

The **MCA** display can be set to refresh with a user specified interval. If the **Continuous** box is checked, ProSpect will automatically refresh the display. To set the refresh interval, select **Options...** from the **Tools** menu, and select the **Misc** tab. Enter the desired interval in milliseconds. If the **Continuous** box is unchecked the display is updated only when the **[Update]** button is pressed or when the run ends.

### 4.5.2 Graphical Display Tools

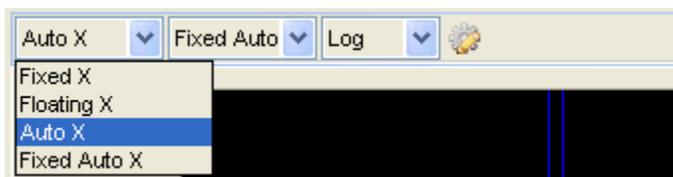
ProSpect features a wide array of display controls, some or all of which are accessible in each graphics window (i.e. **MCA**, **Baseline** and **ADC** panels). Most of these controls can be accessed by right-clicking, as shown in the figures below. These tools are intuitive and redundant.

#### 4.5.2.1 Panning and Zooming

- Left-click and drag in the plot window to zoom.
- Left-click and drag on the x-axis or y-axis to pan.

The first two drop-down lists in the upper-left corner of the plot window can override panning and zooming in the x-axis and y-axis, respectively:

- **Fixed** – Locks the axis; prevents the y-axis from re-scaling according to new data or user zoom or pan operations..
- **Floating** – Un-locks the axis; prevents the y-axis from re-scaling according to new data but allows user to zoom and pan.
- **Auto** – Auto-scales the axis; sets the axis dynamically such that input data is fully displayed, but can be overridden by user zoom or pan operations.
- **Fixed Auto** – Auto-scales and locks the axis; sets the y-axis dynamically such that input data is fully displayed, and disables user zoom or pan operations

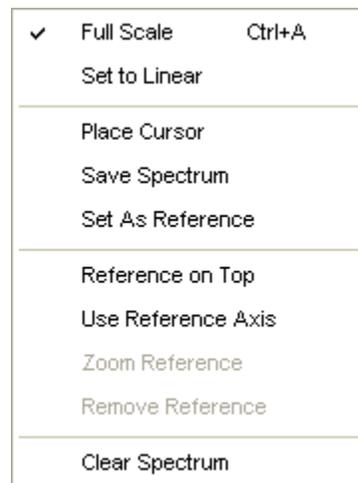


**Figure 4.12:** Panning and zooming are overridden by the settings in the drop-down list at the upper-left corner of the plot window.

#### 4.5.2.2 Basic Tools and Options

Right-click in the plot window to view the basic display tools and options. Some of these items are also accessible in the drop-down list items above the plot area.

- **Full Scale** – Scales both axes such that all data is displayed.
- **Set to Logarithmic (Set to Linear)** – Sets the y-axis to logarithmic or linear scale.
- **Place Cursor** – Select to place one of two cursors. Notice that dX and dY values appear above the plot if both cursors are placed.
- **Save Spectrum** – Save the current spectrum to an SPC file (also under the **File** menu).
- **Set as Reference** – Save the current spectrum to a Reference waveform, displayed in an alternate color. Subsequent data acquired will display simultaneously with the fixed Reference data. Note that you can also open saved waveforms from the **File** menu; they will be displayed as a reference waveform.
- **Reference on Top** – Brings the Reference waveform to the foreground.
- **Use Reference Axis** – Displays the Reference Y-axis to the right of the plot window.
- **Zoom Reference** – Active only if the Reference axis is displayed. If un-checked, zoom operations operate on both waveforms in the x-axis, but only the active waveform in the y-axis. If checked, zoom operations operate on both waveforms in the x-axis, but only the Reference waveform in the y-axis.
- **Remove Reference** – Clears a reference waveform from the display.
- **Clear Spectrum** – Clears all data and the cursors.



**Figure 4.13:** Right-click in the Main display window to view the basic display tools and options.

Alternatively, a default mode, e.g. zoom, ROI, or pan, can be selected using the drop-down list menus above the plot area. The default mode applies when you left-click in the display area. Left-click on either axis to pan. Most of the tools are intuitive and redundant.

#### 4.5.2.3 *Cursor Tools and Options*

After placing a cursor, right-click on the cursor itself to view the cursor tools and options.

- **Auto ROI** (MCA panel only) – If the cursor was placed on an energy peak this will automatically set the bounds of the active ROI to enclose the peak.
- **Crosshair** – The default cursor is a vertical line. This option sets the cursor to an x-y crosshair.
- **Delete** – Deletes the cursor.



**Figure 4.14:** After placing a cursor, right-click on the cursor itself to view the cursor tools and options.

## 4.6 Optimizations

This section describes various parameter optimizations for the best performance in throughput, pileup rejection and energy resolution.

### 4.6.1 Throughput (OCR)

The OCR depends only on the ICR and the dead time per event  $\tau_d$ :

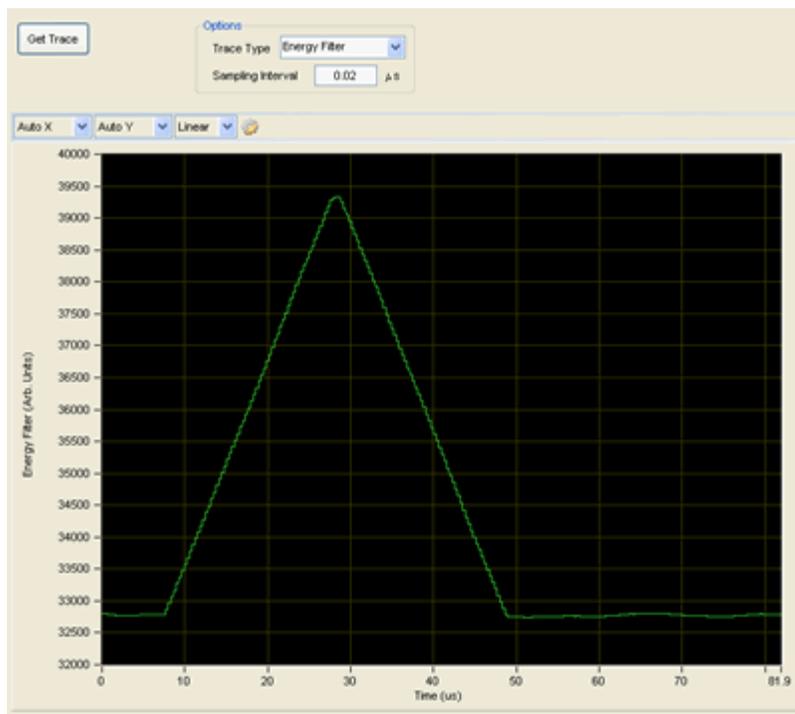
$$\text{OCR} = \text{ICR}_t * \exp - (\text{ICR}_t \tau_d), \text{ where } \tau_d = 2 * (t_p + t_g).$$

To increase the OCR at a given ICR, the dead time per event must be reduced. The obvious first step is to reduce the energy peaking time  $t_p$ . Further improvements can be made by reducing the gap time  $t_g$ .

#### 4.6.1.1 Peaking Time (Energy Filter)

The peaking time is the energy filter length, or integration time, i.e. the ramping interval of the trapezoid. It is the primary setting in determining the balance between count rate performance and energy resolution.

*Making a plot of energy resolution versus peaking time provides a useful future reference.*



**Figure 4.15:** The output response of the Energy Filter with peaking time (ramping time) = 20.16 $\mu$ s and gap time (flattop time) = 0.96 $\mu$ s. The trapezoid is the response to an x-ray.

You will generally find it useful, after making a first attempt to optimize settings, to capture a set of spectra over a wide range of peaking times, preferably over the full range that the Mercury supports and generate a plot of energy resolution versus peaking time. This will serve two purposes: first to serve as a standard of comparison, so that you can tell if further parameter adjustments are helping or not; and second, to provide you with some feedback

about whether your spectroscopy system is behaving properly. Later, when everything is optimized and all the noise sources have been suppressed, you can go back and repeat these measurements to provide hard data for use in selecting the best peaking time for a given input count rate.

*To change advanced acquisition settings such as the **Minimum Gap Time**: Press the [Edit Filter Parameters] button in the*

*Reducing the **gap time** can significantly increase the data throughput at a given peaking time.*

#### 4.6.1.2 Gap Time (Energy Filter)

The gap time of the energy filter sets the flattop length of the output trapezoid. Because the gap time directly affects the dead time, it is advantageous to set the gap time as short as possible. The gap time is subject to several constraints.

Generally the gap time should be set to a value that exceeds the 0 – 100 % preamplifier rise-time in response to a detected x-ray. As long as this constraint is met, the trapezoid peak is tolerant of variations of the x-ray arrival time relative to the ADC clock. The digital filter architecture further constrains the gap time to an integer between 3 and 64 decimated clock intervals. In ProSpect, the user sets the **Minimum Gap Time** slightly larger than the measured preamplifier rise-time, and ProSpect automatically maintains the gap time based on the decimation-dependent filter constraints. Please refer to section 4.7.1.2 for details on using ProSpect to measure the rise time for your system, and section 6.3.2 for a discussion of decimation and decimated clock periods.



**Figure 4.16:** The Edit Filter Parameters panel.

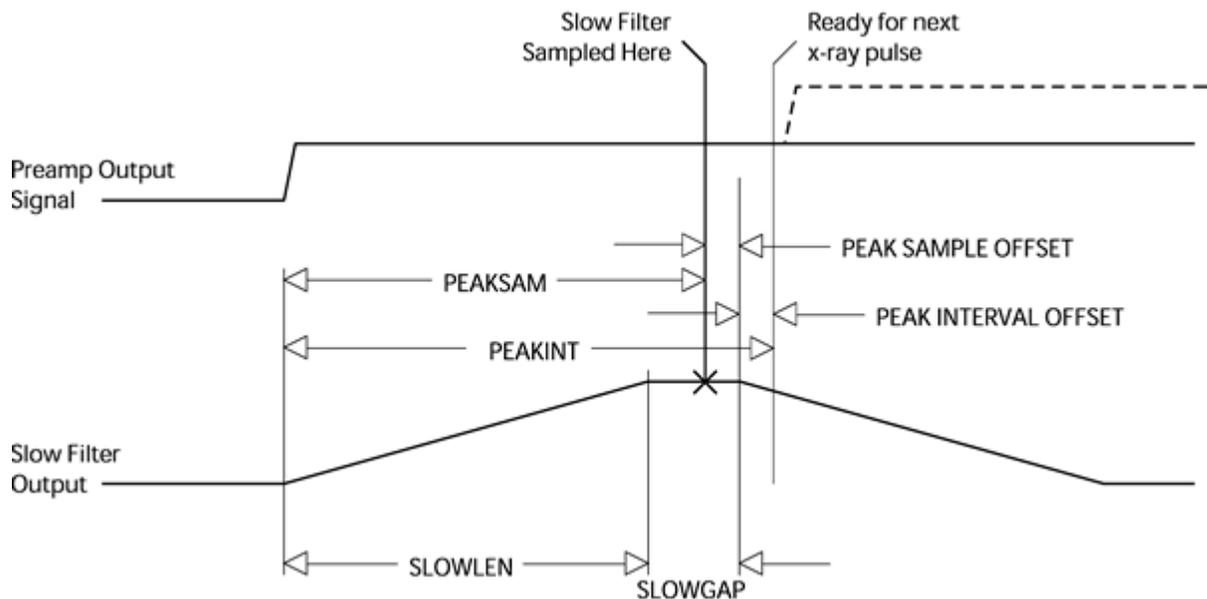
To edit the **Minimum Gap Time** press the **Edit Filter Parameters** button in the **Configuration** settings panel to open a dialog. Enter the desired value for **Minimum Gap Time** and press [OK].

Normally the **Minimum Gap Time** should be set to a value that exceeds the preamplifier rise-time in response to a detected x-ray, however, there is one exception. At very high count rates, where resolution is less of a concern, it can be advantageous to set the **Minimum Gap Time** to a smaller value, even to zero. This setting will only have an effect for decimation 0, i.e. for peaking times less than 2.0  $\mu\text{s}$ . For other decimations the gap time will be set to the minimum value of 3 decimated clock cycles. Note that you may have to adjust other settings as a result:

1. **Peak Sample Offset** – Because you are reducing or even eliminating the flattop section of the trapezoid, performance becomes more sensitive to the energy sampling time. Refer to section 4.6.3.6.
2. **Gain Calibration** – A consequence of setting the gap time less than the preamplifier rise-time is ballistic deficit: The peak value of the trapezoid is reduced. As a result you will almost certainly have to increase the gain after the gap time has been changed. See section 4.3.5.

## 4.6.2 Pileup Rejection

Pileup inspection is described in detail in section 6.8. These settings should only be modified by users with a good understanding of the principles of pileup inspection.



**Figure 4.17:** Slow, or Energy, filter output waveform diagram.

**CAUTION:** When set too low, the MAXWIDTH criterion can reject non-piled-up x-rays, resulting in attenuation at higher energies.

8/13/2010

### 4.6.2.1 Maximum Width Constraint

The DSP parameter MAXWIDTH sets the maximum acceptable time that the fast trigger output can stay above threshold for a single event. Properly

set, this constraint detects fast pileup (event separation on the order of 100ns). See section 6.8 for more information. The **Max Width** setting is accessible in the **Edit Filter Parameters** panel. By default it is set to 400ns, allowing for a preamplifier rise-time up to 200ns. MAXWIDTH should be *at least* twice the fast peaking time plus the preamplifier's 1% settling time:

$$\text{Max Width} > 2 * \text{Fast Peaking Time} + \text{Preamp Rise-time}$$

#### 4.6.2.2 Peak Interval

The DSP parameter PEAKINT sets the minimum acceptable time that the slow energy filter needs to process a single event, i.e. the interval between peaks that can be properly sampled. This constraint detects slow pileup (event separation on the order of the energy peaking time). See section 6.8 for more information.

The optimum peak interval is usually fixed relative to the sum of the peaking time and gap time. The **Peak Interval Offset** is measured forwards in time from this sum, i.e. measured forwards from the end of the flattop period (see **Figure 4.17**):

$$\text{Peak Interval} = \text{Peaking Time} + \text{Gap Time} + \text{Peak Interval Offset}$$

The **Peak Interval** setting is accessible in the **Edit Filter Parameters** panel. In most cases it should be left at zero. Larger values will result in a more conservative pileup inspection at the cost of increased deadtime-per-event.

#### 4.6.2.3 Reducing the Fast Peaking Time

The default fast peaking time of 100 ns should be used in most cases. Generally speaking, a longer fast filter peaking time produces a lower pileup inspection threshold at the cost of a longer pileup inspection time interval. Little if any real benefit is derived from *increasing* the fast peaking time unless the preamplifier signal is extremely noisy. For good pileup rejection, the fast filter peaking time should be much shorter than the energy filter, which becomes a problem when the shortest energy filter peaking times are used. In these cases, some improvements in pileup rejection may be possible if the fast filter peaking time is *reduced*, e.g. to 60ns. We don't recommend using a fast gap time other than zero.

Open the **Edit Filter Parameters** panel and enter a new value for the **Fast Trigger Filter Peaking Time** and press [**Apply**]. Note that you may have to adjust other settings as a result:

1. **Trigger Threshold** – Because of the zero gap time, the **Fast Trigger Filter** normally produces some ballistic deficit. Reducing the trigger peaking time can heighten this effect. For best results the threshold be checked, as described in section 4.3.3.2.
2. **Max Width** – The time over threshold is directly related to the filter length. If you previously optimized **Max Width**, i.e. the maximum time over threshold, you may need to re-optimize. See section 4.6.2.1.

#### 4.6.3 Energy Resolution

There are many possible reasons for poor energy resolution. This section points to the most common issues.

*At very high rates the fast filter peaking time may be reduced, to maintain good pileup inspection.*

#### **4.6.3.1 Proper Peaking Time Selection**

The first step in improving energy resolution is, of course, to optimize the **Peaking Time**. Use your plot of energy resolution versus peaking time to select a peaking time where you get good energy resolution before making these adjustments.

#### **4.6.3.2 Baseline Acquisition**

Capturing good baseline values and proper averaging are vital to achieving good energy resolution. The **Baseline Threshold** and **Baseline Average Samples** settings must be set properly for a given peaking time. See section 4.7.2 for making adjustments in ProSpect, and section 6.4 for more detailed explanations of baseline issues.

#### **4.6.3.3 Eliminate Noise Pickup**

Noise pickup can destroy performance. It is very important to identify and eliminate excess noise in the hardware. Typically this involves eliminating ground loops, removing switching power supplies in close proximity and improving shielding. Please refer to section 4.7.1 below for a brief introduction to using the ADC panel to identify noise issues.

#### **4.6.3.4 Sufficient Gain to Sample Noise**

If the signal gain is such that noise is not properly digitized at the ADC, energy resolution will not be optimal. This would result from a **Preamp Gain** setting that is too high (resulting in a Mercury variable gain setting that is too low). Set the gain so that the noise is sufficiently digitized – see section 4.7.1.1.

#### **4.6.3.5 Sufficient Gap Time**

If the gap time is too short, the trapezoid peak sample (the energy sample) becomes dependent on the arrival time of the x-ray relative to the ADC clock. Make sure that the **Minimum Gap Time** is longer than the preamplifier rise-time as described above in section 4.6.1.2.

#### **4.6.3.6 Peak Sampling Time**

The optimum sampling time of the energy filter is usually fixed relative to the sum of the peaking time and gap time. The **Peak Sample Offset** is measured backwards in time from this sum, i.e. measured backwards from the end of the flattop period (see **Figure 4.17**):

$$\text{Sampling Time} = \text{Peaking Time} + \text{Gap Time} - \text{Peak Sample Offset}$$

The **Peak Sample Offset** setting is accessible in the **Edit Filter Parameters** panel. In most cases it should not be edited. An exception is when running with a very short gap time at decimation 0 (see section 4.6.1.2 above). In this case the **Peak Sample Offset** should be reduced empirically. Please see section 6.6.2 for a full discussion before attempting this procedure.

---

## 4.7 Diagnostics

The Mercury and ProSpect provide several diagnostic tools for identifying and resolving functional and performance issues.

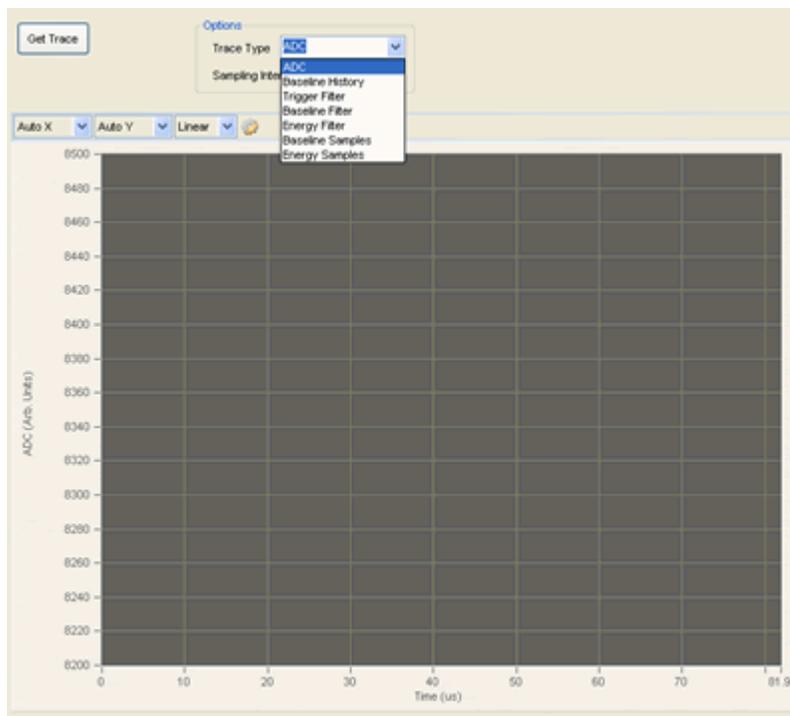
*To open the **Traces** panel, click on the **Traces** tab in the main window.*

### 4.7.1 The ADC Panel (Oscilloscope)

The ADC Panel displays waveforms captured at various stages of the DXP's digital filter, such as the preamplifier signal as seen at the Mercury's analog-to-digital-converter (ADC). Each 16-bit output is plotted over 4096 sample points, with a user settable sampling interval. It can be a useful diagnostic tool for checking preamplifier polarity and gain, measuring the rise-time, and for tracking down noise pickup and baseline irregularities. Note that signed waveforms are shifted such that zero is displayed at the midpoint value 32,768.

To acquire and view a waveform:

1. Click the drop down list to select the desired waveform:
  - **ADC** – The digitized (unsigned) ADC waveform, i.e. the input signal to the digital filters.
  - **Baseline History** – The (signed) baseline running average. These are the actual values that are subtracted from the energy filter.
  - **Trigger Filter** – The (signed) raw fast filter output.
  - **Baseline Filter** – The (signed) raw intermediate filter output. For decimation 0 this is the same as the Energy filter.
  - **Energy Filter** – The (signed) raw slow filter output.
  - **Baseline Samples** – The (signed) intermediate filter output baseline samples selected for use in the running average.
  - **Energy Samples** – The (unsigned) slow filter output peak samples selected for the energy spectrum.
2. Enter a value ranging 0.020 - 1000 microseconds in the Sampling Interval field. This is the time between each displayed sample.
3. Press [**Get Trace**].



**Figure 4.18:** The ADC panel is a useful diagnostic tool.

*The preamplifier **Gain** and **Polarity** settings are accessed in the **Detector** tab of the **Settings** panel:*

#### 4.7.1.1 Determining the Preamplifier Polarity and Gain

A common configuration error involves setting either the preamplifier signal polarity or gain incorrectly. Note: The preamplifier type, i.e. pulsed-reset or RC-feedback, is determined by the firmware file that is downloaded to the hardware (see section 3.2.1)

The Preamplifier Polarity configuration setting determines whether the ADC code is inverted prior to the digital filter pipeline, which expects x-ray pulses with a rising edge. The ADC panel displays the digital signal after this optional inversion. If the x-ray pulses are displayed with a falling edge, as shown in **Figure 4.19**, then the polarity setting is incorrect; if pulses are displayed with a rising edge, as in **Figure 4.20**, then the polarity setting is correct.

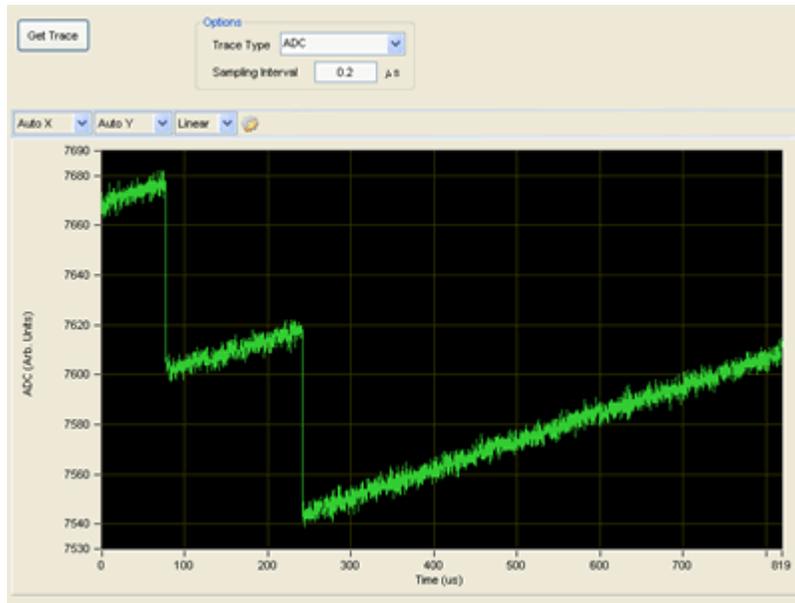
The **Preamp Gain** setting in the **Detector** panel, in combination with the **Dynamic Range** setting in the **Configuration** panel, determines the Mercury analog variable gain setting. The variable gain is set such that an x-ray with energy equal to the dynamic range value produces a voltage step of the maximum allowable amplitude at the ADC input. X-rays with energies exceeding the dynamic range value cannot be processed correctly. The **Dynamic Range** setting should thus be set above the largest x-ray energy present in the system.

In order to get the best energy resolution the gain must be set such that electronic noise is digitized sufficiently that it can be properly filtered. In practice this means that the noise should span 20 or more vertical units in the display. In **Figure 4.19** the noise is contained in less than 10 displayed vertical units, indicating that the hardware gain setting is too low. This could be due

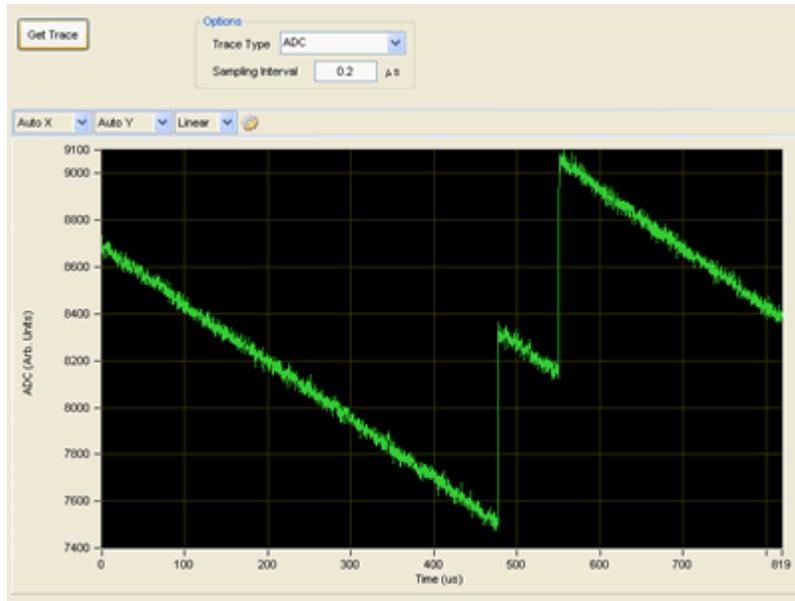
*For best results the noise should span 20 or more vertical units in the Oscilloscope Panel display.*

either to a **Preamplifier Gain** configuration setting that is too high or to the **Dynamic Range** being set too large. The noise displayed in **Figure 4.20** spans approximately 40 vertical display units, indicating that the Preamplifier Gain is set correctly and the spectrum is properly sized.

To adjust your own system, first select the ADC tab in the main window and acquire a few traces, until you have recognizable x-ray events displayed. Try increasing the **Sampling Interval** to acquire longer traces. Compare the polarity and noise amplitude to the figures. If necessary, change the **Polarity** and **Preamplifier Gain**. You may also need to modify the **Dynamic Range**.



**Figure 4.19:** An ADC trace of a reset-type detector with the Mercury configured with the wrong polarity and a gain setting that is too low. X-ray steps displayed in this panel should have a rising edge, and noise should span 20 or more vertical units.

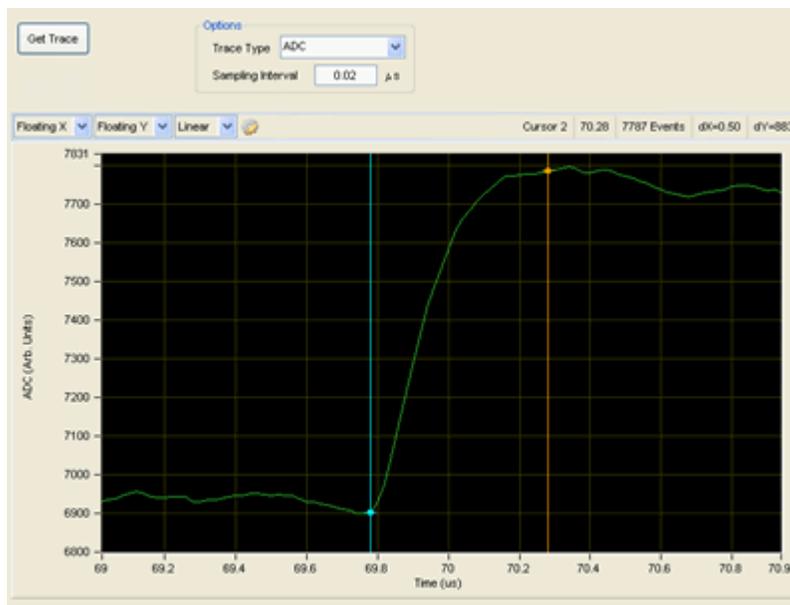


**Figure 4.20:** An ADC trace with correct polarity and a typical gain. Note that the noise is well digitized at roughly 50 vertical units.

#### 4.7.1.2 Measuring the Preamplifier Rise-time

The **ADC** panel is useful for measuring the preamplifier signal rise-time, which should be done before modifying the **Minimum Gap Time** as described in section 4.6.1.2.

As mentioned earlier, the minimum sampling interval in the display is 20ns—the actual ADC sampling period. Acquire an ADC trace at the minimum sampling interval of 0.020 $\mu$ s that includes at least one well separated x-ray event. Use the zoom tool (accessed via the right click menu or through the display controls at the graph's upper left) to expand the horizontal axis about the selected event. Place **Cursor 1**, by right-clicking in the display area and selecting "**Place Cursor 1**", immediately before the x-ray pulse. Similarly, place **Cursor 2** immediately after the signal has settled following the pulse. The **dX** field of the cursor data area in the upper right hand corner should now display the 0 – 100% preamplifier rise-time in  $\mu$ s.



**Figure 4.21:** Use the zoom function and cursors to measure the preamplifier rise-time. The rise-time here is approximately  $0.5\mu\text{s}$  (as displayed in the **dX** field at the upper-right).

#### 4.7.1.3 Measuring the RC Decay Time $\tau$ (RC-Feedback Preamplifiers only)

The ADC panel is also useful for measuring the decay time for RC-feedback preamplifiers.

Acquire an ADC trace that includes at least one well separated x-ray event. Use the zoom tool (accessed via the right click menu or through the display controls at the graph's upper left) if necessary to expand the horizontal axis about the selected event *such that the entire decay time is displayed*. Place **Cursor 1**, by right-clicking in the display area and selecting “**Place Cursor 1**”, at the peak value of the x-ray pulse. Similarly, place **Cursor 2** immediately before the x-ray pulse such that a baseline value is selected. Record the **dY** value from the cursor data display—this is the pulse height. Now move **Cursor 1** to the point on the decay curve that produces a new **dY'** value that is  $1/e$  times the measured pulse height:

$$dY' = (1/e) \cdot dY \sim 0.37 \cdot dY$$

The cursors should now be separated by the time constant  $\tau$ , displayed in  $\mu\text{s}$  in the **dX** field.

#### 4.7.1.4 Optimizing the Baseline Average Length

The ADC panel is also useful for optimizing the number of samples in the baseline average. Please first review section 6.4 for a thorough discussion of baseline acquisition.

The **Baseline Average Length** refers to the number of samples of the **Baseline Filter** raw output in the running average of baseline samples, which should be:

- Large enough to average out electronic noise at the higher frequencies.

- Small enough to track low frequency fluctuations in the front end, i.e. detector dark current.

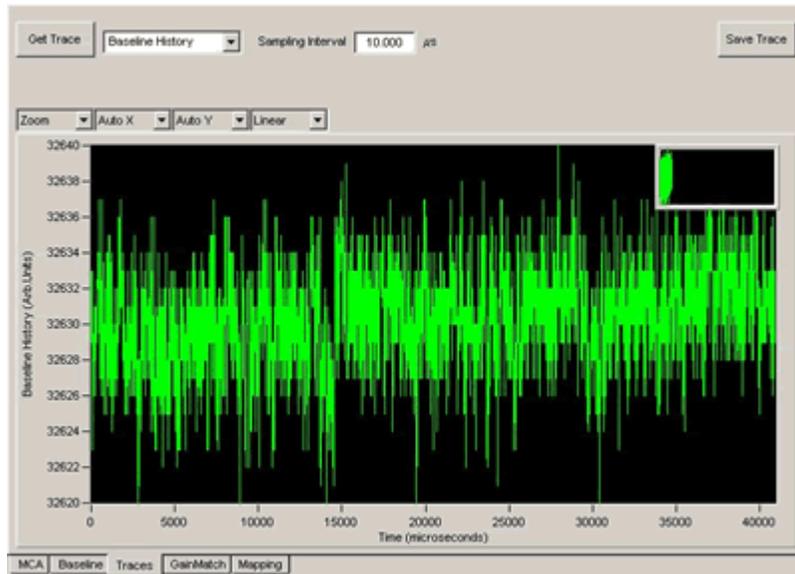
The precise noise distribution and the low-frequency signal characteristics of the detector and preamplifier together yield an optimum number of samples in the average. Too small a value will not allow for proper filtering of electronic noise. Too large a value will not allow proper tracking of low frequency signals, e.g. due to EMI, that can be cancelled out with double correlation.

First select **Baseline Filter** from the drop-down list, set the **Sampling Interval** to 1.000 $\mu$ s and press [**Get Trace**] to view the raw output of the baseline filter.

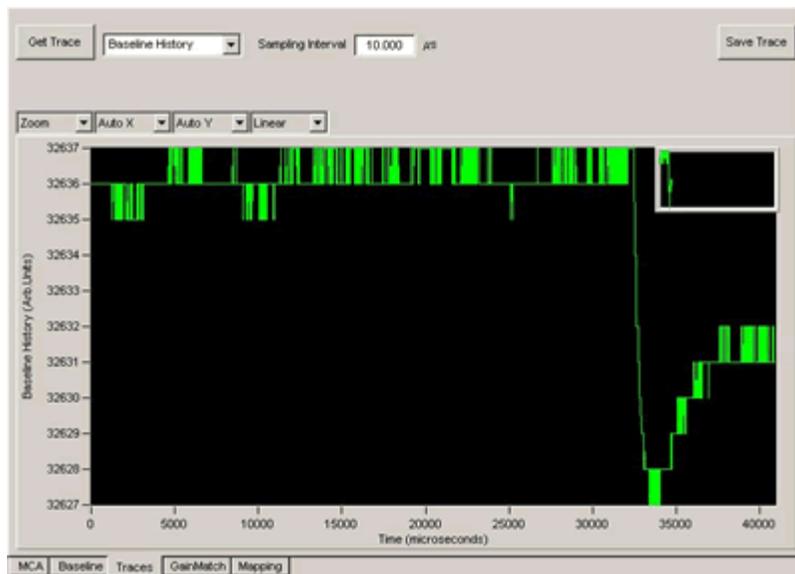


**Figure 4.22:** The raw output of the **Baseline Filter**. Approximately 10 x-ray events are visible as trapezoid, as well as a preamplifier reset spike. The goal of baseline acquisition is to sample the baseline noise.

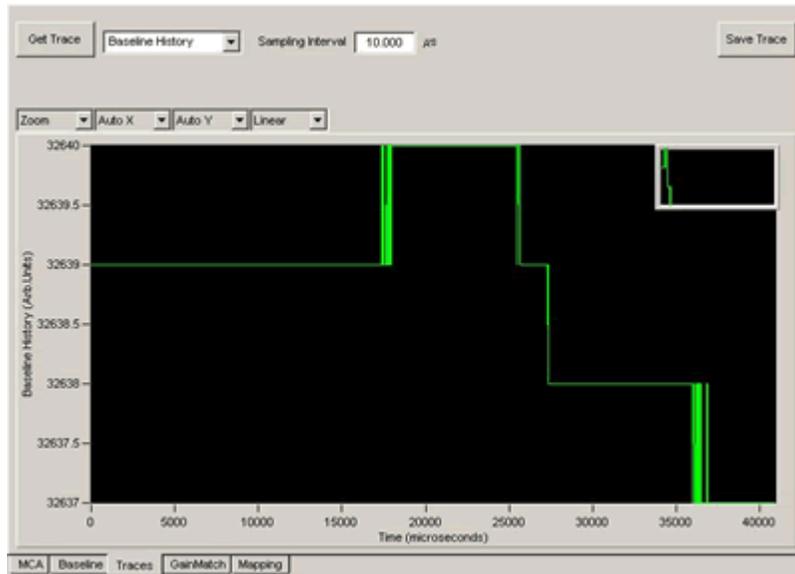
Now select **Baseline History** from the drop-down list, set the **Sampling Interval** to 10.000 $\mu$ s and press [**Get Trace**] to view the baseline running average. You want to achieve a waveform similar to that shown in **Figure 4.24**, where noise is filtered out but the average still tracks real variations. If you see something more like **Figure 4.23** or **Figure 4.25**, adjust the **Baseline Average Samples** setting in the **Configuration** panel and press [**Apply**]. Acquire another trace and adjust as necessary. In most cases the values 128 and 256 yield the best results. At high rates it may be advantageous to reduce the number of samples as low as 16. For near perfect preamplifiers the average can be increased to 1024 or more. In any case the optimization is not complete until you acquire a spectrum and verify the energy resolution has improved.



**Figure 4.23:** The baseline average with the number of samples set too low (16 samples in the average). Notice that there is still a lot of noise, as well as some real variations in the baseline.



**Figure 4.24:** The baseline average with the number of samples set properly (256 samples in the average). Notice that there is virtually no noise, but that real baseline variations are tracked. In this case the downward variation is due to some curvature in the preamplifier output following a reset.



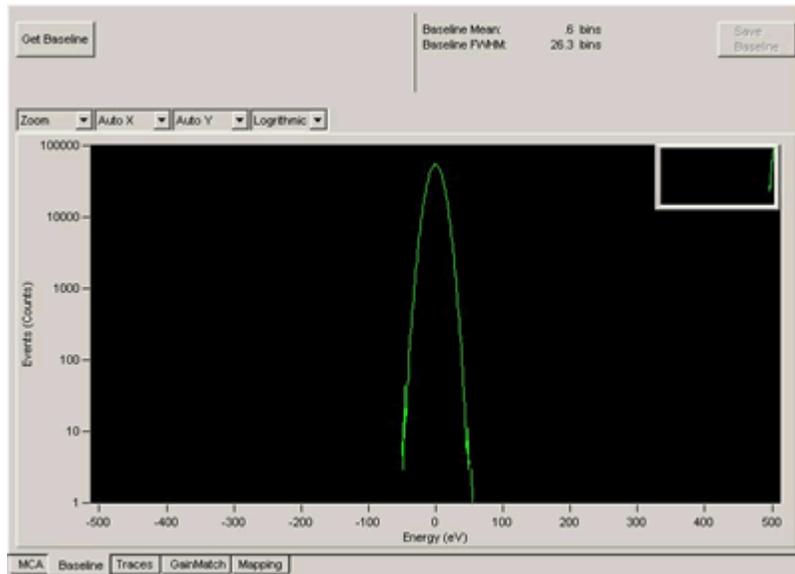
**Figure 4.25:** The baseline average with the number of samples set too high (4096 samples in the average). Notice that although there is no noise, the average lags behind a real downward variation in the baseline.

*To open the **Baseline** panel, click on the **Baseline** tab in the main window.*

#### 4.7.2 The Baseline Panel

Baseline measurements are continually updated samplings of the output of the energy filter when no event is being processed. A running average of these baseline samples is then made to reduce the noise in this measurement and the result is subtracted from instantaneous raw pulse-height measurements to determine their true amplitudes. Please first review section 6.4 for a thorough discussion of baseline acquisition.

The **Baseline** panel displays a histogram of the instantaneous baseline samples. The histogram, in combination with the **Baseline History** and **Baseline Filter** traces (see section 4.7.1.4 above) are powerful tools for diagnosing electronic noise and common nonlinearities in the detector and preamplifier. Select the **Baseline** tab and press [**Get Baseline**] to acquire a baseline histogram. You should see a Gaussian peak with few, if any, outliers, as in **Figure 4.26**. If there are many outliers to the right of the peak, as in **Figure 4.27**, the threshold is set too high. If the right side of the peak is attenuated, non-Gaussian as in **Figure 4.28**, the threshold is set too low.

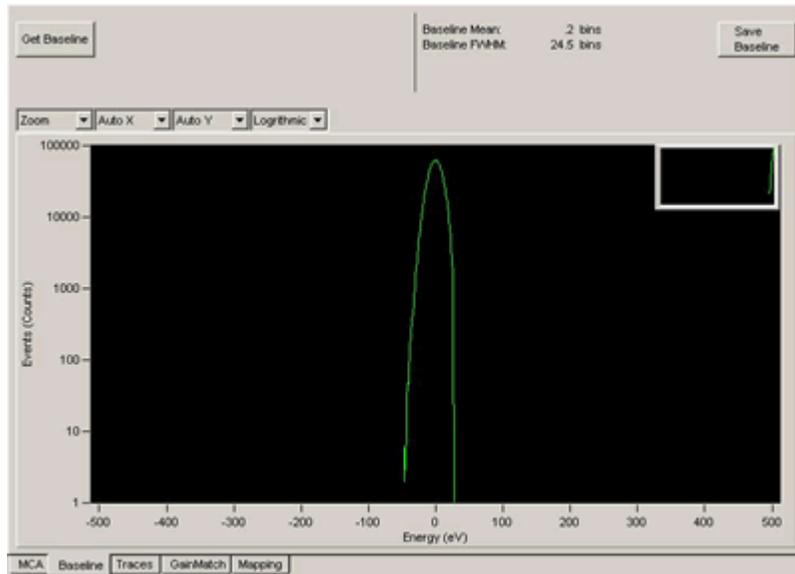


**Figure 4.26:** A good baseline histogram: the shape of the noise peak is Gaussian with no outlying data points.

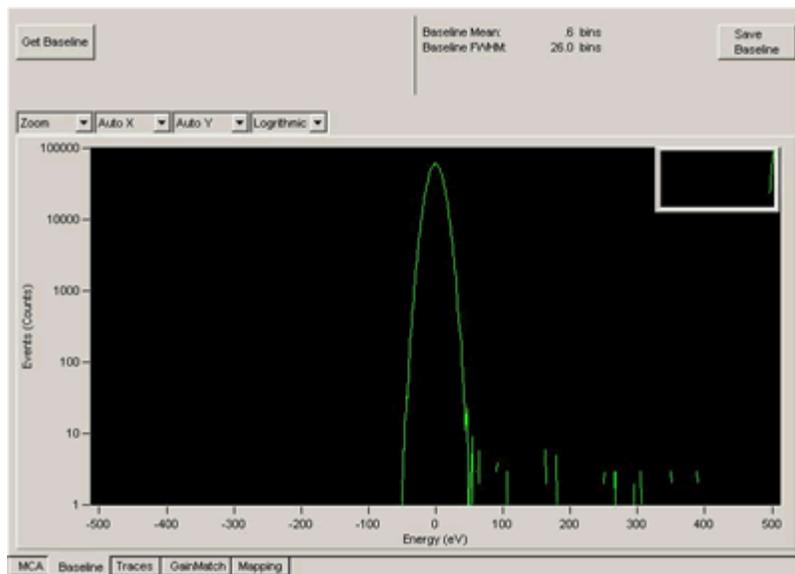
#### 4.7.2.1 The Baseline Threshold

Section 4.3.2 includes a discussion about setting thresholds based on visual feedback in the **MCA** panel. Threshold settings also affect baseline acquisition: Baseline acquisition is enabled only when the **Fast Trigger Filter** output is below **Trigger Threshold** and the **Baseline Filter** output is below the **Baseline Threshold**. It is assumed that the **Trigger Threshold** is set conservatively, so that baseline acquisition is dominated by the **Baseline Threshold**. Note: The baseline threshold is not available for decimation 0, i.e. peaking times less than or equal to 2  $\mu$ s.

Edit the **Baseline Threshold** value in the **Configuration** tab and press **[Apply]**. Typical values range from 150 eV to 1000 eV. The baseline filter length is linked to the energy filter length, or peaking time, thus the baseline threshold should be optimized every time the peaking time is changed. All thresholds must be readjusted if the gain changes significantly. For this reason it is useful to save INI files for commonly used peaking times after optimizations are complete.



**Figure 4.27:** A baseline histogram with the threshold too low. Notice that the right side of the noise peak is attenuated. The rest of the noise peak will show up in the energy spectrum.



**Figure 4.28:** A baseline histogram with the threshold too high. The baseline samples to the right of the noise peak are partial energy events that should be in the energy spectrum.

**Warning:** Changing DSP parameters without understanding them is discouraged..

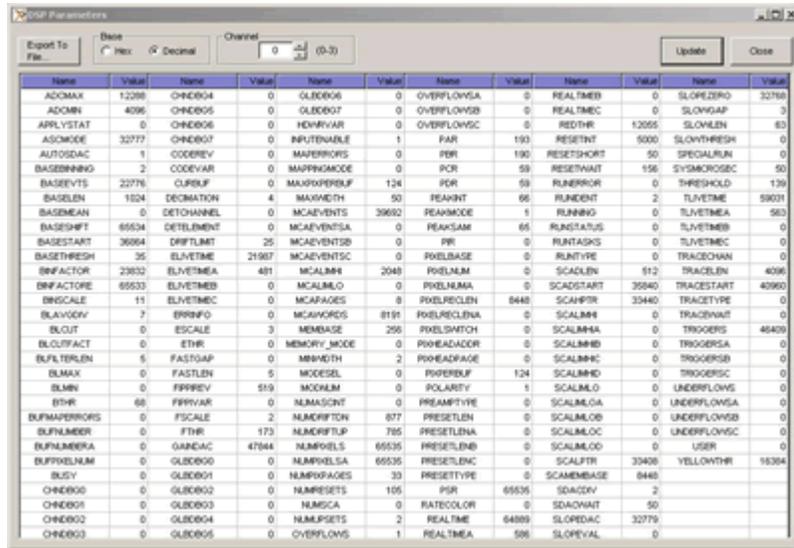
### 4.7.3 DSP Parameters

The **DSP Parameters** panel, accessible via the **Tools** menu, provides a diagnostic display of all DSP's internal parameters for the currently selected processing channel. The **Hex** and **Decimal** radio buttons determine whether parameters are displayed in hexadecimal or decimal format. Press the **[Update]** button to refresh the display. Note that various active parameters will change

every time the [Update] button is pressed. The **Channel** selector immediately loads the new processing channels parameters.

#### 4.7.3.1 Generating a Diagnostic DSP Parameters File

The [Export to File...] button generates a ASCII text file containing all of the parameters for the currently selected processing channel. You may be asked to generate this file by technical support. More likely you will be asked to generate a full **Error Report**, as described in section 4.7.4.



Name	Value	Name	Value	Name	Value	Name	Value	Name	Value	Name	Value
ADCMAX	1208	CHN004	0	GLB006	0	OVERFLOWA	0	REALTIME	0	SLOPEZRO	32768
ADCMIN	4096	CHN005	0	GLB007	0	OVERFLOWB	0	REALTIMEC	0	SLOWGAP	3
APRLVSTAT	0	CHN006	0	HDMVVAR	0	OVERFLOWC	0	REDTHR	12055	SLOWRESH	83
ASCN00E	32777	CHN007	0	INFINITE	1	PAR	193	RESETHR	5000	SLOWTRUN	0
AUTOSDAC	1	CODEREV	0	MAPERRORS	0	PCR	193	RESETHRT	50	SPECIALRUN	0
BASERBNG	2	CODEVAR	0	MAPNGARCOE	0	PCR	59	RESETWAIT	156	SYMCROSEC	50
BASREVTS	22776	CLREKF	0	MAPXPERKUF	124	PCR	59	RUNERROR	0	THRESHOLD	179
BASLEN	1824	DECMATCH	4	MAXDEPTH	50	PEAPRT	66	RUNERR	2	TLVTIME	59071
BASERMAN	0	DETCHANNEL	0	MCAEVENTS	39852	PEAKMODE	1	RUNING	0	TLVTIMEA	583
BASERMT	65534	DETLEMENT	0	MCAEVENTSA	0	PEAKSAM	65	RUNSTATUS	0	TLVTIMEB	0
BASERSTRT	36964	DRFTLMT	25	MCAEVENTSB	0	PR	0	RUNTASKS	0	TLVTIMEC	0
BASERTRSH	35	ELVTIME	21967	MCAEVENTSC	0	PIXELBASE	0	RUNTYPE	0	TRACCHAN	0
BNFACTOR	23832	ELVTIMEA	481	MCALM4	2048	PIXELNUM	0	SCADLEN	512	TRACLEN	4096
BNFACTOR	65533	ELVTIMEB	0	MCALM0	0	PIXELNUMA	0	SCADSTART	39840	TRACESTRT	40960
BNSCALE	11	ELVTIMEC	0	MCAPAGES	8	PIXELRELEN	6448	SCAHPTR	33440	TRACETYPE	0
BLAVGCV	7	ERRINFO	0	MCAWORDS	8191	PIXELRELENA	0	SCALM4	0	TRACENAT	0
BLOUT	0	ESCALE	3	MEMBASE	256	PIXELSMATCH	0	SCALM4A	0	TROGERS	46409
BLUFFACT	0	ETHR	0	MEMORY_MODE	0	PIXELADADR	0	SCALM4B	0	TROGERSA	0
BLUFERLEN	5	FASTGAP	0	MMWIDTH	2	PIXELADPAGE	0	SCALM4C	0	TROGERSB	0
BLMAX	0	FASTLEN	5	MODESEL	0	PIXELBUF	124	SCALM4D	0	TROGERSC	0
BLMR	0	FFFFEV	619	MOCHM	0	POLARITY	1	SCALM0	0	UNDERFLOWA	0
ETHR	68	FFFFVAR	0	NUMASCNT	0	PREAMPTIVE	0	SCALM0A	0	UNDERFLOWB	0
BUFFAPERRORS	0	FSCALE	2	NUMCRITON	877	PRESETLEN	0	SCALM0B	0	UNDERFLOWC	0
BUFFNUMBER	0	ITHR	173	NUMCRITUP	795	PRESETLENA	0	SCALM0C	0	UNDERFLOWC	0
BUFFNUMERA	0	GANDAC	47944	NUMDELTA	65535	PRESETLENB	0	SCALM0D	0	USER	0
BUFFNUMERB	0	GLB000	0	NUMDELTA	65535	PRESETLENC	0	SCALPTR	33408	YELLOWTHR	16384
BUSY	0	GLB001	0	NUMPPAGES	33	PRESETTYPE	0	SCAMEMBASE	6448		
CHN000	0	GLB002	0	NUMRESETS	105	PSR	65535	SDAGCV	2		
CHN001	0	GLB003	0	NUMSCA	0	RATECOLOR	0	SDADWAIT	50		
CHN002	0	GLB004	0	NUMSETS	2	REALTIME	64889	SLOPEDAC	32779		
CHN003	0	GLB005	0	OVERFLOWS	1	REALTIMEA	596	SLOPEVAL	0		

**Figure 4.29:** The DSP Parameters panel. Do not modify these values unless as instructed by XIA support staff.

#### 4.7.3.2 Modifying DSP Parameters

In some cases, as directed by the XIA support staff, it may be necessary to modify the DSP's operating parameters directly. To edit a parameter select the field using the mouse, enter the new value and press [Return]. If you do not press [Return] the parameter will return to its unmodified value when another item is selected. Changing parameters in this panel without a deep understanding of XIA's DSP processors may produce exotic and unpredictable results. We recommend doing so only under the guidance of XIA support staff.

#### 4.7.4 Submitting a problem report:

XIA encourages customers to report any problems encountered using any of our software via email. In most cases, the XIA engineering team will need to review bug information and run tests on local hardware before being able to respond.

All software-related bug reports should be e-mailed to [software\\_support@xia.com](mailto:software_support@xia.com) and should contain the following information, which will be used by our technical support personnel to diagnose and solve the problem:

- ✓ Your name and organization

- ✓ Brief description of the application (type of detector, relevant experimental conditions...etc.)
- ✓ XIA hardware name and serial number
- ✓ Version of the library (if applicable)
- ✓ OS
- ✓ Description of the problem; steps taken to re-create the bug
- ✓ Full Error Report (see section 4.7.4.1) plus additional data:
  - Saved MCA data, if relevant (see section 4.7.4.2)
  - Saved Baseline data, if relevant (see section 4.7.4.3)
  - Saved ADC data, if relevant (see section 4.7.4.4)

Please compress the Error Report into a ZIP archive and attach the support request email.

#### **4.7.4.1 Generating a Full Error Report**

If you are unable to solve your problem using the diagnostic tools in ProSpect, the last resort is to send as much information as possible to XIA support. This task is facilitated by the **Generate Error Report...** command under the **Help** menu. This feature creates a sub-directory titled "ProSpect\_XXXXXX\_Rpt" (where "XXXXXX" is the date) in the ProSpect installation directory that contains all DSP parameters, ProSpect registry settings, INI and log files, Handel log files, etc.

#### **4.7.4.2 Saving MCA Data**

If you are having difficulty acquiring a spectrum, or the spectrum looks strange, please save and submit a sample MCA file with the **Error Report**. In the **MCA** tab, acquire a spectrum, then press [**Save MCA Data**]. Save the file in the "ProSpect\_XXXXXX\_Rpt" sub-directory in the ProSpect installation directory.

#### **4.7.4.3 Saving Baseline Data**

If you are having difficulty acquiring a good baseline histogram, or the spectrum looks strange, please save and submit a sample baseline histogram file with the **Error Report**. In the **Baseline** tab, acquire a histogram, then press [**Save Baseline**]. Save the file in the "ProSpect\_XXXXXX\_Rpt" sub-directory in the ProSpect installation directory.

#### **4.7.4.4 Saving ADC Data**

If the ADC or filter output traces look strange, please save and submit a sample trace file with the **Error Report**. In the **ADC** tab, acquire a trace, then press [**Save Trace**]. Save the file in the "ProSpect\_XXXXXX\_Rpt" sub-directory in the ProSpect installation directory.

## 5 Mapping Mode

Mapping mode supports a number of time-resolved experiments, but is primarily intended for x-ray scanning applications wherein the x-ray beam is systematically scanned over a surface in order to produce a pixelated spectral map of the sample. Data is stored sequentially at each 'pixel advance' instruction, which can be provided by the host computer or triggered by an external logic signal, i.e. GATE or SYNC.

Mapping mode utilizes a different System FPGA configuration, i.e. firmware must be downloaded when switching between acquisition modes. A dual-memory architecture allows the Mercury to continuously acquire data in this mode. Host readout must be synchronized with the hardware in order to sustain continuous operation.

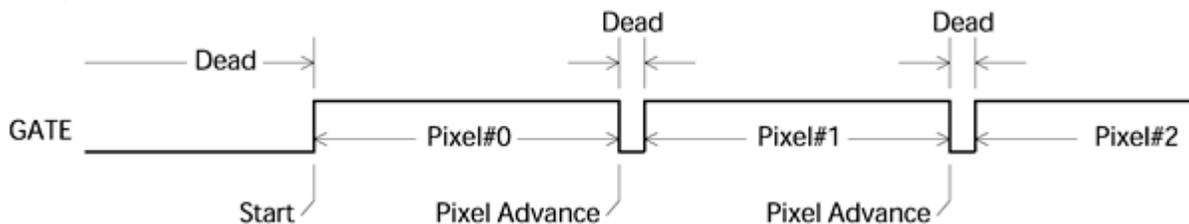
### 5.1 Pixel Advance Settings

The pixel advance settings are included in the **Configuration Wizard** utility, described in section 3.2. To run the utility select **Configuration Wizard...** from the **Tools** menu.

At the beginning of a run the pixel number starts at zero, corresponding to the x-ray beam initial position. The pixel number advances in several possible ways, either using digital hardware lines for real time applications or by computer control. These methods are described in detail below.

#### 5.1.1 Pixel Advance on GATE Edge

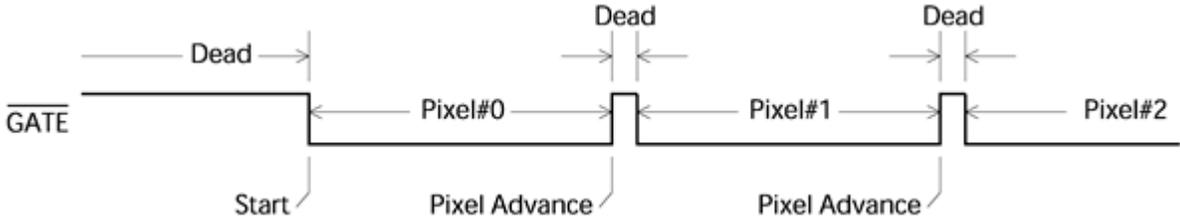
The recommended method for advancing the pixel is to use the GATE digital input, where the pixel advance occurs on every trailing edge of the signal (the transition from active data acquisition to the inactive state). By default the GATE signal also halts data acquisition when it is LO and the pixel advances on every falling edge, as in **Figure 5.1**.



**Figure 5.1:** GATE pixel advance, with data acquisition halted during the LO periods. The pixel advance occurs at each falling edge.

##### 5.1.1.1 GATE Polarity

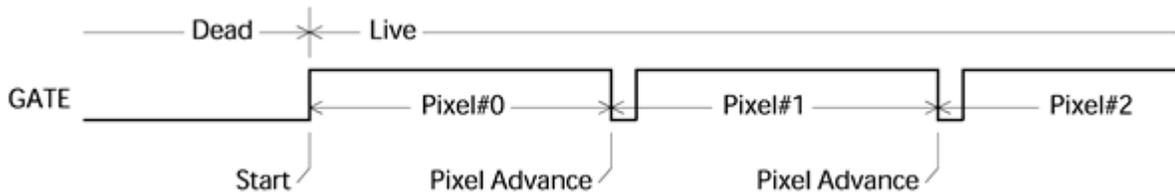
The interpretation of the user-provided GATE signal can be inverted such that data acquisition is halted when the signal is HI (see **Figure 6.2**) and the pixel advance occurs on rising edges.



**Figure 5.2:** GATE pixel advance, with data acquisition halted during the HI periods. The pixel advance occurs at each rising edge.

### 5.1.1.2 GATE Ignore Setting

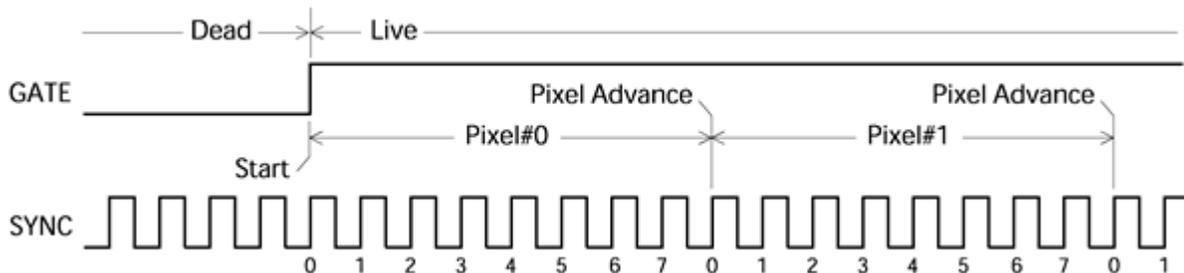
Normally the GATE signal is used both to advance the pixel and to halt data taking. In some cases the user may prefer continuous operation through the pixel advance operations. With the GATE Ignore option selected, the pixel advance occurs but data acquisition is not halted. Note that the data acquisition is halted at the beginning of the run until GATE is released the first time, i.e. run start synchronization is still available.



**Figure 5.3:** GATE pixel advance, with LO polarity and the Gate Ignore enabled. Acquisition is halted initially until GATE is released for the first time. As before, the pixel advance occurs at each falling edge, but acquisition continues during the LO periods.

### 5.1.2 Pixel Advance using SYNC Clock

The SYNC signal can also be used to generate the pixel advance. Using this method, the pixel will advance for every N positive pulses on the SYNC line, where N can be set from 1 to 65535. Note that the pulses must be greater than 40 ns wide to be guaranteed to be recognized.



**Figure 5.4:** SYNC pixel advance with GATE used to synchronize the run start. Acquisition is halted initially until GATE is released for the first time. The pixel advance occurs every N cycles of the SYNC clock.

### 5.1.3 Pixel Advance under Host Control

It is also possible to advance the pixel using Handel. Manually advancing the pixels is slower and unwieldy because the command must be issued separately to each module, but it does provide an easy way to test mapping operations.

## 5.2 Mapping Mode Data Acquisition

Mapping mode acquisition is automatically enabled when the **Mapping** panel is active. Conversely, normal mode acquisition is automatically enabled when the **MCA** tab is active. In both cases the corresponding System FPGA firmware is downloaded (as evidenced by LED pattern flashing).

### 5.2.1 The Mapping Panel



**Figure 5.5:** The Mapping panel.

Click the **Mapping** tab to display the **Mapping** panel. Please be patient while the System FPGA firmware is downloaded, during which period the controls will be grayed out. The layout and functionality are similar to the **MCA** panel. The **[Start Mapping]** button starts and stops mapping data acquisition runs. Output statistics are displayed as before along the top right section of the panel. Notice that data and statistics do not update in real-time. This is as a result of the dual memory architecture. The display is refreshed only after a memory buffer swap.

### 5.2.2 Mapping Mode: MCA or SCA

The MCA and SCA mapping modes are distinct and exclusive of one another: In MCA mode full spectra are stored in memory; In SCA mapping mode only the tables of SCA counts are stored in memory. Click the MCA or

SCA radio button to select the desired mode. Section 0 details the data formats for MCA and SCA mapping modes.

### 5.2.3 Total Number of Pixels

A data acquisition run can be ended manually via the host at any time. In most cases the length of a data acquisition run corresponds to the number of pixels in the map. The **Total Number of Pixels** setting instructs the DSP to automatically end the run after that number of pixel advances have been detected. If **Indefinite Run** is checked, the run ends only upon the "End Run" host command.

### 5.2.4 Buffer Control

The Mercury uses a dual memory architecture to achieve continuous data acquisition: The Mercury writes into one 'active' buffer while the host reads the other 'inactive' buffer. The size of each buffer is 2MB, organized as 1Mword by 16 bits. The Mercury must periodically swap buffers. The swap must occur after the host has completed reading the inactive buffer and before the active buffer becomes full.

The **Mapping Pixels Per Buffer** setting controls when the swap takes place. The DSP also calculates the maximum number of pixels that can be stored in a memory buffer based upon the **MCA Number of Bins**. If the **Maximum Allowed** checkbox is checked, *or if a greater number is entered*, the Mercury will override the **Mapping Pixels Per Buffer** and use this calculated maximum number instead.

The effects of this setting are generally qualitative:

- Changes the structure but not the content of the data stream binary file (see section 0).
- The ProSpect display updates after each buffer, thus the number of pixels per buffer sets the visual refresh rate.

### 5.2.5 Mapping Mode Data Acquisition

Press the [**Start Mapping**] button to begin a data acquisition run. If you are using GATE or SYNC for the pixel advance, you should see the **Progress Bar** begin to fill. If it does not, please review sections 5.1 and 3), adjust your hardware and use the **Configuration Wizard** (see section 3.2.3) to correct your settings if necessary. Alternatively, press the [**Next Pixel**] button to manually advance pixels. When the incremental number of processed pixels exceeds the **Mapping Pixels Per Buffer** setting, the buffer will be read and spectrum (or SCA data) and statistics corresponding to the first pixel from the buffer will be displayed. When the total number of pixels processed exceeds the **Total Number of Pixels** setting, the display will again refresh and the run will automatically end. Alternatively, the [**Stop Mapping**] button can be pressed at any time to end the run.

## 5.3 Mapping Mode Data

In mapping mode, the Mercury uses two completely separate memory buffers, enabling the system to take data into one buffer while the other buffer can be read out by the host. The capacity of each buffer is 2MB, organized as 1Mword by 16 bits, however, the portion that is used can be significantly smaller, depending on the **Mapping Pixels Per Buffer** setting (see section 5.2.4). Dealing with all this data in real time is a challenge. ProSpect streams the buffer data to a binary file for offline analysis. For visual feedback, the display is refreshed with data from the first pixel of each buffer that is read out.

### 5.3.1 Mapping Data Options

The name of the output file is automatically generated with the user specified **File Prefix**. For diagnostic purposes, the user can elect not to save output data. If the **Save Mapping Data** checkbox is un-checked, the output data will be lost.

The default location of the output file is:

```
C:\Program Files\xia\ProSpect 0.1\data
```

To change the folder, select **Options** from the **Tools** menu, then select the **Mapping Data** tab to display the **Mapping Data File Options**. For the present release each data acquisition run generates a single binary file in the specified folder, with a unique filename with the specified prefix. Note: When using Windows Vista and above, the mapping data should be stored outside the Program Files folder to avoid problems with data storage and retrieval.

### 5.3.2 Mapping Data Format

Currently the data file created is a binary concatenation of all the buffers read out during the run. **Table 5.1** shows the structure of the file created. The following sections specify the buffer level formatting.

Buffer 0: Module 0
Buffer 0: Module 1
Buffer 0: Module 2
Buffer 0: Module 3
Buffer 1: Module 0
Buffer 1: Module 1
Buffer 1: Module 2
Buffer 1: Module 3
...

Buffer i-1: Module 0
Buffer i-1: Module 1
Buffer i-1: Module 2
Buffer i-1: Module 3

**Table 5.1:** The Mapping mode binary data output file format of a 4 Mercury module system for a data acquisition run comprising 'i' buffers.

### 5.3.3 Single Buffer Format

The buffer starts out with a buffer header, containing general information about the data contained in the memory block, followed by pixel data. For each pixel there is a pixel data block containing a header and spectra for all 4 channels. The pixel data block format depends on the mapping mode: MCA, SCA or List Mode. **Table 5.2** shows the buffer level structure. The following sections describe the header and pixel data blocks in detail.

Buffer Header
Pixel X Data Block
Pixel X+1 Data Block
...
Pixel X+j-1 Data Block

**Table 5.2:** A single buffer for a data acquisition run with **Mapping Pixels Per Buffer = 'j'**.

## 6 Digital Filtering: Theory of Operation and Implementation Methods

This chapter provides an in-depth discussion of x-ray pulse-processing theory both generally and as implemented in the DXP Mercury. The topics include x-ray detection how, digital trapezoidal filter basics, thresholds, baselines, peak sampling, pileup inspection, and input and output count rates. Topics are covered to illustrate the theoretical issues, practical implementation, and how to adjust parameters to obtain best performance.

The acronym DXP stands for “Digital X-ray Processor” and refers to a digital processing technology, for which XIA has received several US and International patents.

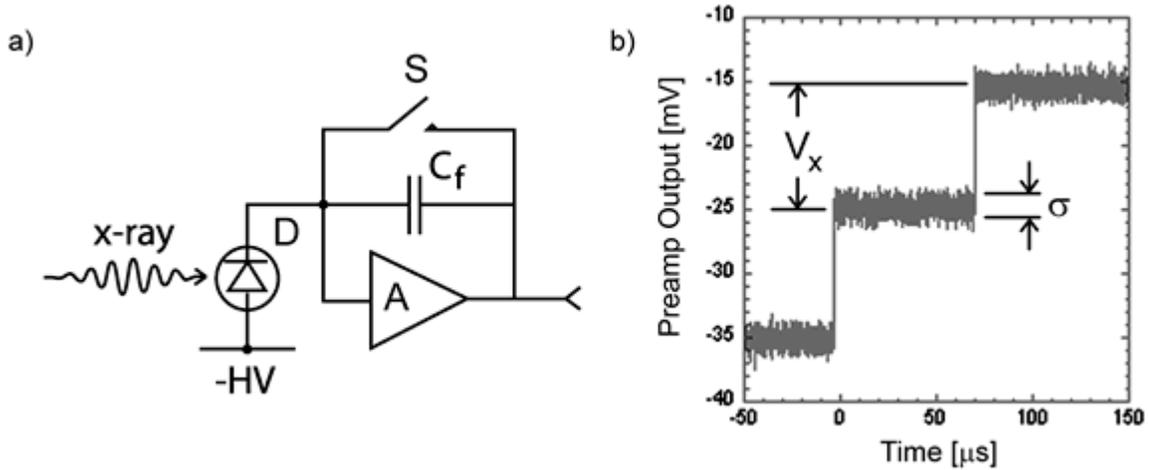
---

### 6.1 X-ray Detection and Preamplifier Operation:

Energy dispersive detectors, which include such solid state detectors as Si(Li), HPGe, HgI<sub>2</sub>, CdTe and CZT detectors, are generally operated with charge sensitive preamplifiers. When an x-ray is absorbed in the detector material it releases an electric charge  $Q_x = E_x/\epsilon$ , where the material constant  $\epsilon$  is the amount of energy needed to form an electron-hole pair.  $Q_x$  is integrated onto the preamplifier’s feedback capacitor  $C_f$ , to produce the voltage  $V_x = Q_x/C_f = E_x/(\epsilon C_f)$ . Measuring the energy  $E_x$  of the x-ray therefore requires a measurement of the voltage step  $V_x$  in the presence of the amplifier’s noise  $\sigma$ . Figure 6.1 and Figure 6.3 depict reset-type and RC-type charge sensitive amplifiers, respectively. In both figures the detector D is biased by voltage source HV (either positive or negative) and connected to the input of amplifier A. Note that the *signal polarity* must be distinguished from the *bias voltage polarity*. The signal polarity is positive if the voltage step  $V_x$  is a rising edge, as displayed in Figure 6.1. Whether signal polarity is positive or negative depends upon the preamplifier’s design and does not depend upon bias voltage polarity, which is specified on the detector and is determined by its design.

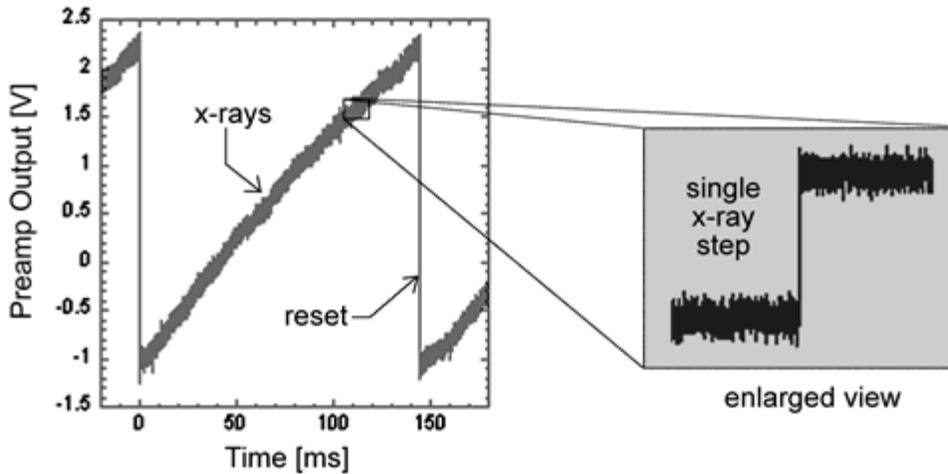
#### 6.1.1 Reset-Type Preamplifiers

Figure 6.1a is a simplified schematic of a reset-type preamplifier, wherein  $C_f$  is discharged through the switch S from time to time when the amplifier’s output voltage gets so large that it behaves nonlinearly. Switch S may be an actual transistor switch, or may operate equivalently by another mechanism. In pulsed optical reset preamps light is directed at amplifier A’s input FET causing it to discharge  $C_f$ . In transistor reset preamps, the input FET may have an additional electrode which can be pulsed to discharge  $C_f$ . The output of a reset-type preamplifier following the absorption of an x-ray of energy  $E_x$  in detector D is a voltage step of amplitude  $V_x$ . Two x-ray steps are shown in Figure 6.3



**Figure 6.1:** a) Reset-type charge sensitive preamplifier with a negatively biased detector; b) Output on absorption of x-ray rays. Note that the steps have a rising edge, so that the signal polarity is positive.

Figure 6.2 depicts the large-signal sawtooth waveform that results from successive x-ray steps followed by the reset. Note that the units here are Volts and milliseconds vs. millivolts and microseconds in the previous figure.



**Figure 6.2:** The large-signal reset waveform for a reset-type preamplifier with positive signal polarity, as displayed on a real oscilloscope. Note that the large signal character of the DXP Mercury diagnostic ADC readout, used in ProSpect’s ADC panel, looks quite different because of the dynamic range reduction carried out in the ASC, as described in section 0.

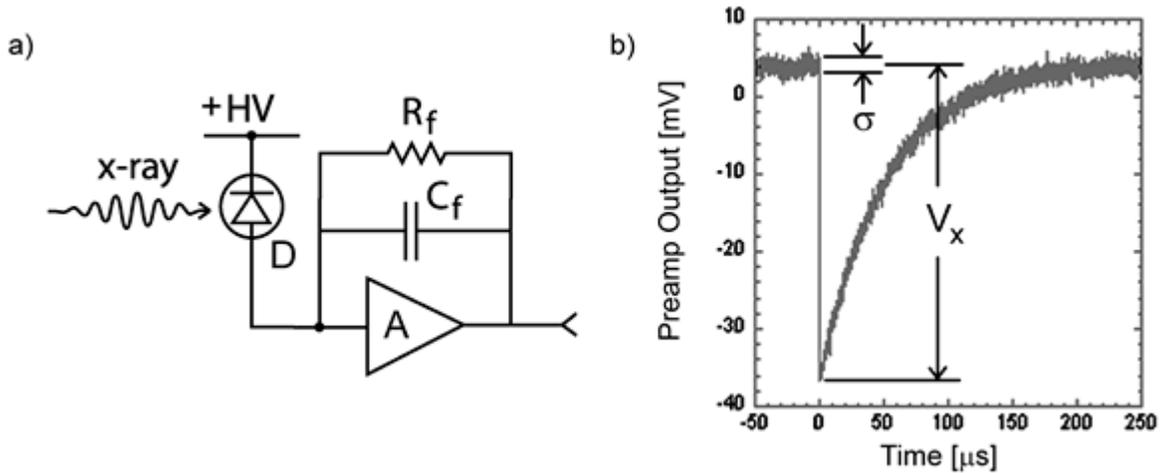
### 6.1.2 RC-Type Preamplifiers

Figure 6.3a is a simplified schematic of an RC-type preamplifier, wherein  $C_f$  is discharged continuously through feedback resistor  $R_f$ . The output of an RC-type preamplifier following the absorption of an x-ray of energy  $E_x$  in detector D is, again, a voltage step of amplitude  $V_x$ . The continuous discharge of  $C_f$  through  $R_f$  results in an exponential voltage decay after the x-ray step, with decay constant  $\tau$ , where:

$$\tau = R_f C_f$$

*Equation 6-1*

In practice the decay time may depend on subsequent circuitry, i.e. if a pole-zero cancellation circuit is used, thus  $\tau$  may not be directly related to the feedback elements of the front-end. The point of this simplified model is that the resulting waveform is a single-pole RC decay. The discussion in section 6.2 through section 6.6.2 assumes a reset-type preamplifier, but is mostly applicable to RC-type preamplifiers. section 6.7 describes the few key differences in the processing of RC-type preamplifier signals.



**Figure 6.3:** a) RC-type charge sensitive preamplifier with a positively biased detector; b) Output on absorption of an x-ray. Note that the step has a falling edge, thus the signal polarity is negative.

## 6.2 X-ray Energy Measurement & Noise Filtering:

Reducing noise in an electrical measurement is accomplished by filtering in the frequency, or conversely, the time domain. When discussing digital pulse-processor filters it's more straightforward to use the time domain. Traditional analog pulse-processing filters use combinations of a differentiation stage and multiple integration stages to convert the preamp output steps, such as shown in Figure 6.1b, into either triangular or semi-Gaussian pulses whose amplitudes (with respect to their baselines) are then proportional to  $V_x$  and thus to the x-ray's energy.

### 6.2.1 Digital Filtering Theory

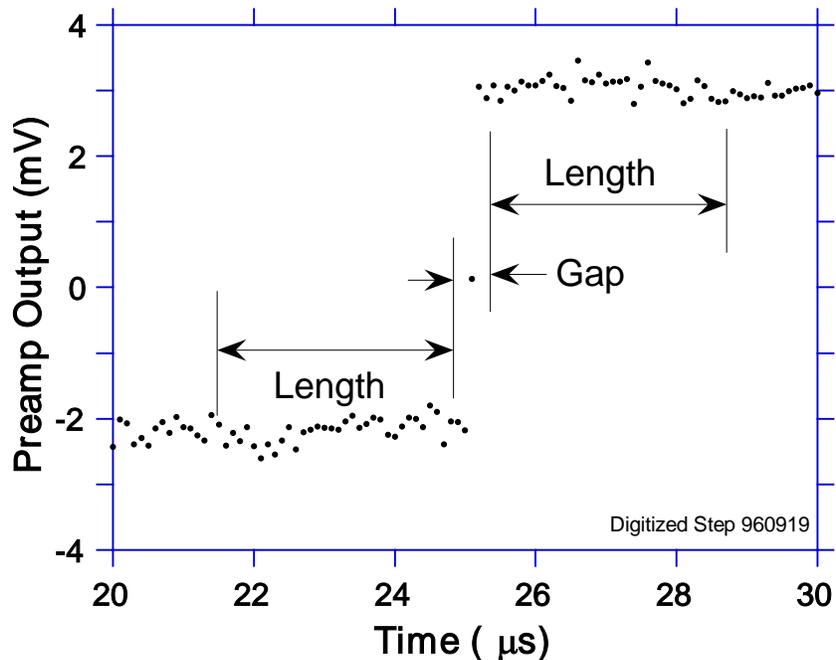
Digital filtering proceeds from a slightly different perspective. Here the signal has been digitized and is no longer continuous, but is instead a string of discrete values, such as shown in Figure 6.4. The data displayed are actually just a subset of Figure 6.3b, which was digitized by a Tektronix 544 TDS digital oscilloscope at 10 MHz (10 million per second). Given this data set, and some kind of arithmetic processor, the obvious approach to determining  $V_x$  is to take some sort of average over the points before the step and subtract it from the value of the average over the points after the step. That is, as shown in Figure

6.4, averages are computed over the two regions marked “Length” (the “Gap” region is omitted because the signal is changing rapidly here), and their difference taken as a measure of  $V_x$ . Thus the value  $V_x$  may be found from the equation:

$$V_{x,k} = -\sum_{i(\text{before})} w_i v_i + \sum_{i(\text{after})} w_i v_i$$

Equation 6-2

where the values of the weighting constants  $w_i$  determine the type of average being computed. The sums of the values of the two sets of weights must be individually normalized.



**Figure 6.4:** Digitized version of one of the x-ray steps of Figure 6.3b.

The primary differences between different digital signal processors lie in two areas: what set of weights  $\{w_i\}$  is used and how the regions are selected for the computation of Equation 6-2. Thus, for example, when the weighting values decrease with separation from the step, then the equation produces “cusp-like” filters. When the weighting values are constant, one obtains triangular (if the gap is zero) or trapezoidal filters. The concept behind cusp-like filters is that, since the points nearest the step carry more information about its height, they should be more strongly weighted in the averaging process. How one chooses the filter lengths results in time variant (the lengths vary from pulse to pulse) or time invariant (the lengths are the same for all pulses) filters. Traditional analog filters are time invariant. The concept behind time variant filters is that, since the x-rays arrive randomly and the lengths between them vary accordingly, one can make maximum use of the available information by adjusting Length on a pulse by pulse basis.

In principal, the very best filtering is accomplished by using cusp-like weights and time variant filter length selection. There are serious costs associated with this approach however, both in terms of computational power required to evaluate the sums in real time and in the complexity of the electronics required to generate (usually from stored coefficients) normalized  $\{w_i\}$  sets on a pulse by pulse basis. A few such systems have been produced but typically cost about \$13K per channel and are count rate limited to about 30 Kcps. Even time invariant systems with cusp-like filters are still expensive due to the computational power required to rapidly execute strings of multiply and adds. One commercial system exists which can process over 100 Kcps, but it too costs over \$12K per channel.

### 6.2.2 Trapezoidal Filtering

The DXP processing system developed by XIA takes a different approach because it was optimized for very high speed operation and low cost per channel. It implements a fixed length filter with all  $w_i$  values equal to unity and in fact computes this sum afresh for each new signal value  $k$ . Thus the equation implemented is:

$$L V_{x,k} = \sum_{i=k-2L-G+1}^{k-L-G} v_i + \sum_{i=k-L+1}^k v_i$$

*Equation 6-3*

where the filter length is  $L$  and the gap is  $G$ . The factor  $L$  multiplying  $V_{x,k}$  arises because the sum of the weights here is not normalized. Accommodating this factor is trivial for the DXP's host software. The operations are carried out using hardwired logic in a field programmable gate array (FPGA) that is called the FiPPI because it implements Filtering, Peak capture, and Pileup Inspection.

In the FiPPI, Equation 6-3 is actually implemented by noting the recursion relationship between  $V_{x,k}$  and  $V_{x,k-1}$ , which is:

$$L V_{x,k} = L V_{x,k-1} + v_k - v_{k-L} - v_{k-L-G} + v_{k-2L-G}$$

*Equation 6-4*

While this relationship is very simple, it is still very effective. In the first place, this is the digital equivalent of triangular (or trapezoidal if  $G = 0$ ) filtering which is the analog industry's standard for high rate processing. In the second place, one can show theoretically that if the noise in the signal is white (i.e. Gaussian distributed) above and below the step, which is typically the case for the short shaping times used for high signal rate processing, then the average in Equation 6-4 actually gives the best estimate of  $V_x$  in the least squares sense. This, of course, is why triangular filtering has been preferred at high rates. Triangular filtering with time variant filter lengths can, in principle, achieve both somewhat superior resolution and higher throughputs but comes at the cost of a significantly more complex circuit and a rate dependent resolution, which is unacceptable for many types of precise analysis. In practice, XIA's design has been found to duplicate the energy resolution of the best analog shapers while approximately doubling their throughput, providing experimental confirmation of the validity of the approach.

## 6.3 Trapezoidal Filtering in the DXP:

From this point onward, we will only consider trapezoidal filtering as it is implemented in the DXP according to Equation 6-3 and Equation 6-4. The result of applying such a filter with Length  $L = 20$  and Gap  $G = 4$  to the same data set of Figure 6.4 is shown in Figure 6.5. The filter output  $V_x$  is clearly trapezoidal in shape and has a rise-time equal to  $L$ , a flat top equal to  $G$ , and a symmetrical fall-time equal to  $L$ . The base-width, which is a first-order measure of the filter's noise reduction properties, is thus  $2L+G$ .

### 6.3.1 Comparing DXP Performance

This raises several important points in comparing the noise performance of the DXP to analog filtering amplifiers. First, semi-Gaussian filters are usually specified by a *shaping time*, which is roughly half of the peaking. Their pulses typically are not symmetric so that the base-width is about 5.6 times the shaping time or 2.8 times their peaking time. Thus a semi-Gaussian filter typically has a slightly better energy resolution than a triangular filter of the same peaking time because it has a longer filtering time. This is typically accommodated in amplifiers offering both triangular and semi-Gaussian filtering by stretching the triangular peaking time a bit, so that the *true* triangular peaking time is typically 1.2 times the selected semi-Gaussian peaking time. This also leads to an apparent advantage for the analog system when its energy resolution is compared to a digital system with the same nominal peaking time. A valid energy resolution comparison *must start with filters that have equal base-widths, and thus equal throughput*, e.g. The energy resolution of an analog system with shaping time of  $1 \mu\text{s}$  should be compared to that of a DXP with a peaking time of  $2.8 \mu\text{s}$ .

### 6.3.2 Decimation and Peaking Time Ranges

A practical limitation on the implementation of Equation 6-4 is that two FIFO memories are required, one of length  $L$  and one of Length  $L+G$ . Since memory space is limited in FPGAs, we have restricted our designs to values of  $L+G$  less than 128. The DXP Mercury samples at 50 MHz (20 ns clock period), so this corresponds to a maximum peaking time of  $2.56 \mu\text{s}$ . XIA overcomes this limitation by first pre-averaging the data stream from the ADC by performing sequential sums of  $D$  data points, where  $D = 2^N$ . We refer to this pre-averaging procedure as “Decimating by  $N$ ”. By feeding the decimated data in an Equation 6-4 filter, we now obtain peaking times that are extended to  $L*D$ . It is important to understand that no data are lost in this procedure, we have merely rearranged the order of the summations represented in Equation 6-3. By extension, a “Decimation  $N$  FiPPI” is one that decimates the data by  $N$  before applying the energy filter. The common decimation values in the DXP Mercury are 0, 2, 4, and 6, corresponding to averaging times of 20 ns (no averaging), 80 ns, 320 ns, and  $1.28 \mu\text{s}$ , respectively.

*Decimation by  $N$  means to pre-average sequential sums of length  $D = 2^N$ .*

Decimation $N$	ADC Clock Period $\Delta t$	#ADC Samples in Average $2^N$	Decimation Period $\Delta t * 2^N$	Peaking Time Range*
0	20 ns	1	20 ns	80 ns – $2.58 \mu\text{s}$
2	20 ns	4	80 ns	320 ns – $10.32 \mu\text{s}$

4	20 ns	16	320 ns	1.28 $\mu$ s – 41.28 $\mu$ s
6	20 ns	64	1.28 $\mu$ s	5.12 $\mu$ s – 163.84 $\mu$ s

\*Experience has shown that an absolute minimum slow filter length of 4 should be used.

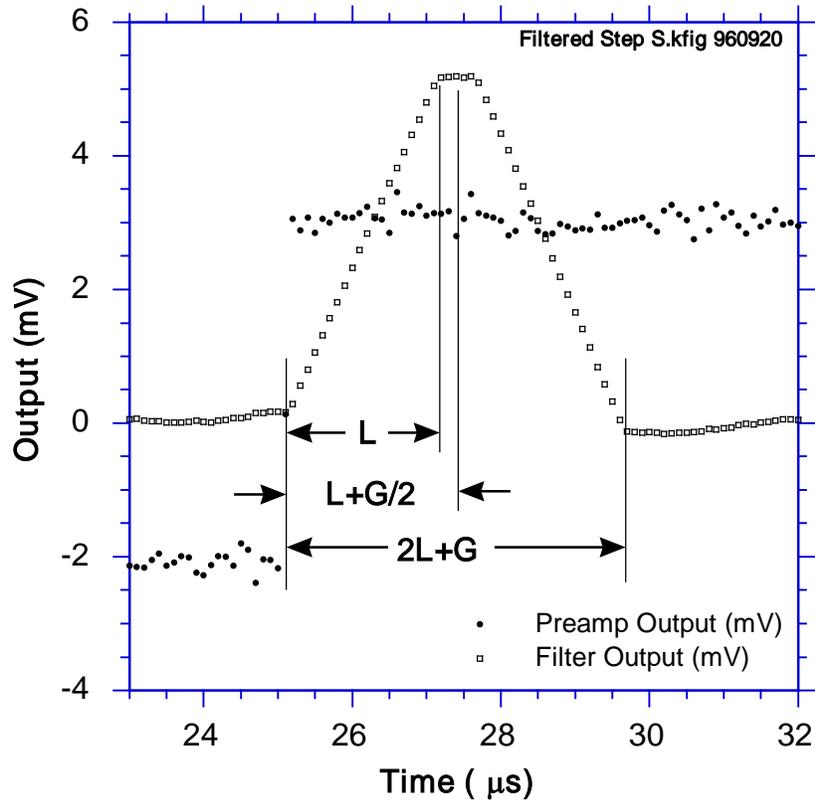
**Table 6.1:** FiPPI decimation details.

In practice it is important to realize that implementing an energy filter in a Decimation N FiPPI sets certain limitations on the flat-top lengths that can be obtained in trapezoidal filters. Because the decimation process is uncorrelated with the arrival of x-rays, the gap G must be 3 or greater to assure that the filter's peak truly represents the x-ray's energy. Therefore, the minimum Decimation N gap time is  $G \cdot 2^N \cdot \Delta t$ , where  $\Delta t$  is the ADC's sampling interval. With the DXP Mercury's  $\Delta t = 20$  ns sampling interval, for instance, the smallest useful flat-top in Decimation 6 is  $3 \cdot 1.28 \mu\text{s} = 3.84 \mu\text{s}$ .

Given the significant overlap in peaking time ranges, it is generally better to choose a lower decimation value, such that a shorter gap time can be used. Decimation 0 has other limitations, i.e. no intermediate baseline filter, and is thus an exception to this rule. The FDD firmware file defines the actual, i.e. non-overlapping, peaking time ranges used.

### 6.3.3 Time Domain Benefits of Trapezoids

One extremely important characteristic of a digitally shaped trapezoidal pulse is its extremely sharp termination on completion of the base-width  $2L+G$ . This may be compared to analog filtered pulses which have tails which may persist up to 40% of the peaking time, a phenomenon due to the finite bandwidth of the analog filter. As we shall see below, this sharp termination gives the digital filter a definite rate advantage in pileup free throughput.



**Figure 6.5:** Trapezoidal filtering the Preamp Output data of Figure 6.4 with  $L = 20$  and  $G = 4$ .

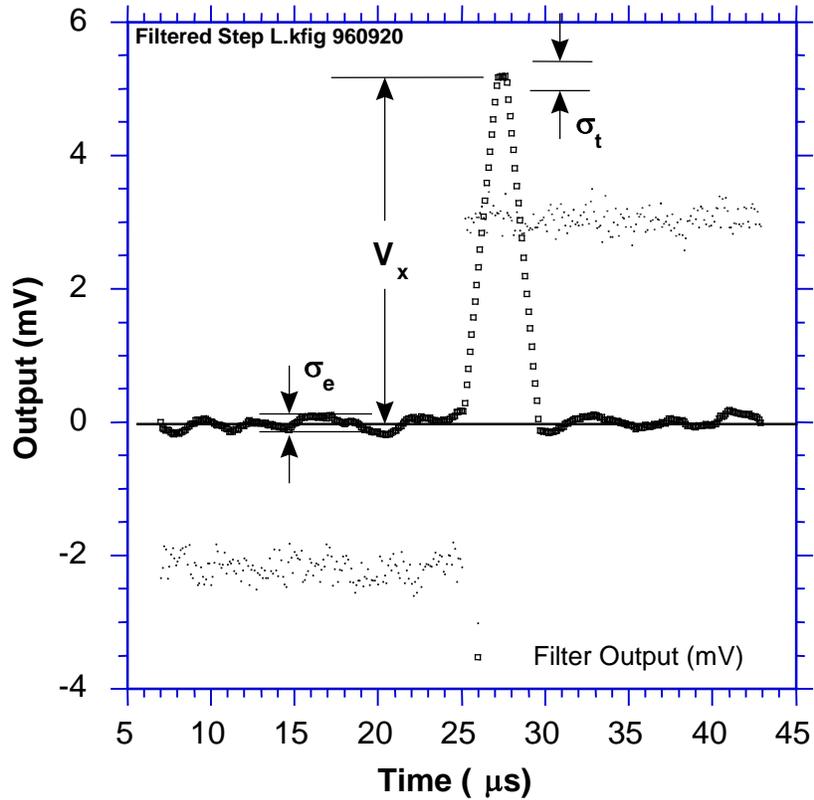
## 6.4 Baseline Issues:

### 6.4.1 The Need for Baseline Averaging

Figure 6.6 shows the same event as is Figure 6.5 but over a longer time interval to show how the filter treats the preamplifier noise in regions when no x-ray pulses are present. As may be seen, the effect of the filter is both to reduce the amplitude of the fluctuations and reduce their high frequency content. This signal is termed the *baseline* because it establishes the reference level or offset from which the x-ray peak amplitude  $V_X$  is to be measured. The fluctuations in the baseline have a standard deviation  $\sigma_e$  which is referred to as the *electronic noise* of the system, a number which depends on the peaking time of the filter used. Riding on top of this noise, the x-ray peaks contribute an additional noise term, the *Fano noise*, which arises from statistical fluctuations in the amount of charge  $Q_X$  produced when the x-ray is absorbed in the detector. This Fano noise  $\sigma_f$  adds in quadrature with the electronic noise, so that the total noise  $\sigma_t$  in measuring  $V_X$  is found from

$$\sigma_t = \text{sqrt}(\sigma_f^2 + \sigma_e^2)$$

Equation 6-5



**Figure 6.6:** The event of Figure 6.5 displayed over a longer time period to show baseline noise.

The Fano noise is only a property of the detector material. The electronic noise, on the other hand, may have contributions from both the preamplifier and the amplifier. When the preamplifier and amplifier are both well designed and well matched, however, the amplifier's noise contribution should be essentially negligible. Achieving this in the mixed analog-digital environment of a digital pulse processor is a non-trivial task, however.

In the general case, the mean baseline value is not zero. This situation arises whenever the slope of the preamplifier signal is not zero between x-ray pulses. This can be seen from Equation 6-3. When the slope is not zero, the mean values of the two sums will differ because they are taken over regions separated in time by  $L+G$ , on average. Such non-zero slopes can arise from various causes, of which the most common is detector leakage current.

When the mean baseline value is not zero, it must be determined and subtracted from measured peak values in order to determine  $V_x$  values accurately. If the error introduced by this subtraction is not to significantly increase  $\sigma_t$ , then the error in the baseline estimate  $\sigma_b$  must be small compared to  $\sigma_e$ . Because the error in a single baseline measurement is  $\sigma_e$ , by definition, this means that multiple baseline measurements will have to be averaged. This number,  $N_B$  is the Baseline Average. For example, if  $N_B = 128$  measurements are averaged then the total noise will be as shown in Equation 6-6.

$$\sigma_t = \text{sqrt}(\sigma_f^2 + (1+1/128)\sigma_e^2)$$

Equation 6-6

This results in less than 0.5 eV degradation in resolution, even for very long peaking times, when resolutions of order 130 eV are obtained.

### 6.4.2 Raw Baseline Measurement

The output of the energy filter (or a derivative of the energy filter, the *intermediate filter*) is sampled periodically in the explicit absence of an x-ray step, defined by a baseline threshold. In practice, the DXP initially makes a series of  $N_B$  baseline measurements to compute a starting baseline mean. It then makes additional baseline measurements at quasi-periodic intervals to keep the estimate up to date. These values are stored internally and can be read out to construct a spectrum of baseline noise, referred to as the Baseline Histogram. This is recommended because of its excellent diagnostic properties. When all components in the spectrometer system are working properly, the baseline spectrum should be Gaussian in shape with a standard deviation reflecting  $\sigma_B$ . Deviations from this shape indicate various pathological conditions which may also cause the x-ray spectrum to be distorted and therefore have to be fixed.

The situation is remedied by removing (“cutting”) outlying samples from the baseline average described below. If the maximum in the baseline distribution lies at  $E_0$ , then captured baseline values that deviate from  $E_0$  by more than  $\Delta E^+$  and  $\Delta E^-$ , respectively, are not included in the running baseline average. Note that *all captured baseline values are included in the Baseline Histogram*, however, so that it is always a valid representation of the system’s behavior.

*Applying a **Baseline Cut** can improve performance when the Baseline Histogram is non-Gaussian. Outlying data points are ‘cut’ from the running **Baseline Average** (though still included in the histogram)*

### 6.4.3 Baseline Average Settings and Recommendations

A FIR running average of baseline measurements is computed, which is then subtracted from sampled peak values to compute the energy of corresponding incident x-rays. The number of baseline samples averaged is set in ProSpect as “Baselines Average Samples”. In the DSP this is converted into the parameter BLAVGDIV according to the equation:

$$\# \text{ baseline samples averaged} = 2^{(\text{BLAVGDIV} + 1)}$$

Decimation	# Baseline Samples to Average	BLAVGDIV (DSP Parameter)
0	64	5
2	128	6
4	256	7
6	256	7

**Table 6.2:** Typical values used for baseline averaging. The best value for each decimation should be determined empirically, though the general trend illustrated in the table, i.e. larger number to average for higher decimations, should be followed.

#### 6.4.4 Why Use a Finite Averaging Length?

Physically, the baseline is a measure of the instantaneous slope (volts/sec) for a pulsed-reset detector, and a measure of the DC offset for an RC-feedback preamplifier. The variation in leakage current of the detector and offset drift and 1/f noise of the preamplifier often contribute to a baseline with significant low-frequency (i.e. relative to the energy filter cutoff) noise. These variations pass through the energy filter, and thus should also pass through the baseline averaging stage to achieve good cancellation when the baseline average is subtracted from the energy filter sample. The goal is to produce a baseline average that has a sufficient number of samples to average out the high frequency noise, but which still reflects the 'local' instantaneous baseline upon which the x-ray step 'rides'. Generally speaking, the number of baseline samples in the average is set to achieve the best energy resolution performance over the desired range of input count rate. There are two considerations worth emphasizing:

1. *Excess detector/preamplifier noise and pickup (all decimations):* The values in the table above implicitly assume a flat noise spectrum from the preamplifier. A high-frequency noise peak can result in poor relative performance at the corresponding 'resonant' peaking time. Often this problem can be mediated, though not eliminated, by *increasing* the number of baseline samples in the average for the affected peaking times. On the other hand, excess low-frequency noise, i.e. wandering, can be remedied by *reducing* the number baseline samples in the average.
2. *High rate performance (decimation 0):* At higher rates, i.e. > 50% deadtime, the slow filter returns less and less often to baseline, thus the time between baseline samples grows longer. This is the primary cause of degraded energy resolution at high rates. Decimation 2,4 and 6 firmware now employs a proprietary circuit that virtually eliminates this problem, resulting in industry-leading count rate stability. This improvement cannot however be implemented in the decimation 0 firmware. The resolution can nonetheless be improved in most cases by *reducing* the number of baseline samples in the average.

---

### 6.5 X-ray Detection & Threshold Setting:

Before capturing a value of  $V_x$  we must first detect the x-ray. X-ray steps (in the preamp output) are detected by digitally comparing the output of a trapezoidal filter to a threshold.

In the DXP up to three trapezoidal filters are implemented: *fast*, *intermediate* and *slow*; each with a threshold that can be individually enabled or disabled. A fast filter very quickly detects larger x-ray steps. A slow (energy) filter averages out the most noise and can thus detect smaller x-ray steps, but has a response that is much slower. An intermediate filter (used in decimations 2, 4 and 6 only) is a derivative of the slow filter that provides a balance between the speed of the fast filter and the noise reduction of the slow filter.

The fast filter is used solely for x-ray detection, i.e. a threshold crossing initiates event processing. Its short base-width ( $2L+G$ ) means that successive pulses that would 'pile-up' in the slow filter can be resolved in the fast filter and rejected from the spectrum (see Figure 6.11 below). Conversely, little noise

reduction is achieved in the fast filter, thus the fast threshold cannot be set to detect particularly low x-ray energies.

The intermediate filter is used for reset-type preamplifiers, in decimations 2, 4 and 6 only. Its threshold is automatically set by the DSP and applied as part of the baseline acquisition circuitry, i.e. baseline measurements are taken when the signal is *below* this threshold. Intermediate threshold crossings by default also trigger event processing, extending the detectable energy range significantly below the fast filter threshold.

After an x-ray has been detected, the step height is measured at the slow filter output. The slow filter's excellent noise reduction also allows for *detection* of the very lowest energy x-rays however its slow response precludes accuracy both in the determination of pulse pileup and the measurement of deadtime. The intermediate filter, which does not suffer this loss of accuracy, typically provides sufficient low energy detection. When present the intermediate threshold is enabled by default, and should be used in most cases. The slow threshold should be used cautiously, and only at low rates.

---

## 6.6 Peak Capture Methods

As noted above, we wish to capture a value of  $V_x$  for each x-ray detected and use these values to construct a spectrum. This process is also significantly different between digital and analog systems. In the analog system the peak value must be "captured" into an analog storage device, usually a capacitor, and "held" until it is digitized. Then the digital value is used to update a memory location to build the desired spectrum. During this analog to digital conversion process the system is dead to other events, which can severely reduce system throughput. Even single channel analyzer systems introduce significant deadtime at this stage since they must wait some period (typically a few microseconds) to determine whether or not the window condition is satisfied.

Digital systems are much more efficient in this regard, since the values output by the filter are already digital values. All that is required is to capture the peak value – it is immediately ready to be added to the spectrum. If the addition process can be done in less than one peaking time, which is usually trivial digitally, then no system deadtime is produced by the capture and store operation. This is a significant source of the enhanced throughput found in digital systems.

Once an active threshold is exceeded, the DXP Mercury employs one of two methods to capture the slow energy filter output such that the best measure of  $V_x$  results. In the first method the slow filter output is monitored over a finite interval of time in the region of its maximum, and the *maximum value within that interval* is captured. This method is referred to as "peak finding" or "peak sensing". Alternatively the slow filter can be *sampled at a fixed time interval* after the pulse is detected by the fast filter. This method is referred to as "peak sampling". There is a panel in the Acquisition/Edit Filter Parameters tab to allow selection of either method.

After describing in section 6.6.1 below how to set the Gap parameter so that there will be a quality value of the energy filter to capture, we describe the two methods in detail in section 6.6.2.

### 6.6.1 Setting the Gap Length

When starting with a new detector, it is important first to set SLOWGAP to a minimum of 3 decimated clock cycles, and *at least one* decimated clock cycle greater than the entire preamplifier rise-time, per section 4.7.1.2. (See Table 6.3).

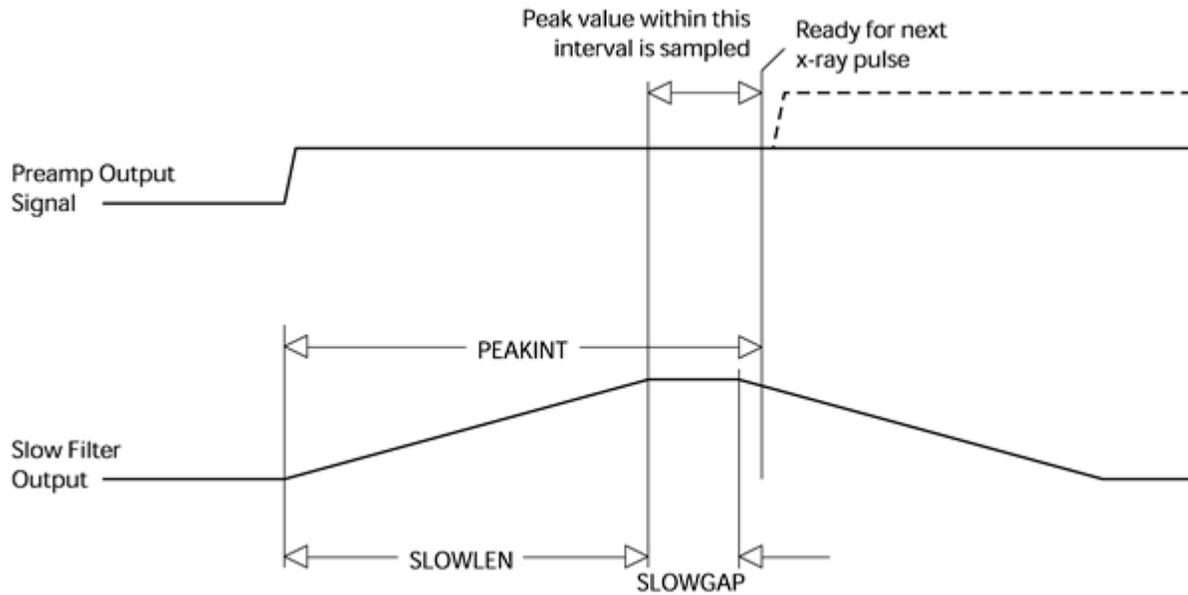
Decimation	# ADC Samples averaged	Decimated Clock frequency	Decimated Clock cycle interval	Minimum Gap Time
0	1	50 MHz	20 ns	60 ns
2	4	12.5 MHz	80 ns	240 ns
4	16	3.125 MHz	320 ns	960 ns
6	64	781.25 kHz	1.28 $\mu$ s	3.84 $\mu$ s

**Table 6.3:** Minimum Gap Length for each Decimation value.

For example, consider a preamplifier with a pulse rise-time of 240 ns. For decimations 2, 4 and 6 SLOWGAP would be set to 3 or greater. For decimation 0 SLOWGAP would be set to 12 or greater. *ProSpect will select these values automatically if you enter a Minimum Gap Time of -240 ns.* Therefore, at longer peaking times (higher Decimation), the Actual Gap Time (displayed in the Edit Filter Parameters panel) will always be at least 3 decimated clock cycles and may be longer than the Minimum Gap Time set by the user.

### 6.6.2 Peak Sampling vs. Peak Finding

The figures below illustrate the two peak capture methods. Under the 'peak finding method' the slow filter output is monitored over a finite interval of time, and the *maximum value within that interval* is selected. The interval is set automatically, solely based on the values of the DXP parameters SLOWLEN and PEAKINT. SLOWLEN and PEAKINT are both automatically derived from the peaking time value selected in ProSpect and should normally not be adjusted by the user. PEAKINT is also a pileup inspection parameter, as will be discussed in further detail in section 6.8.



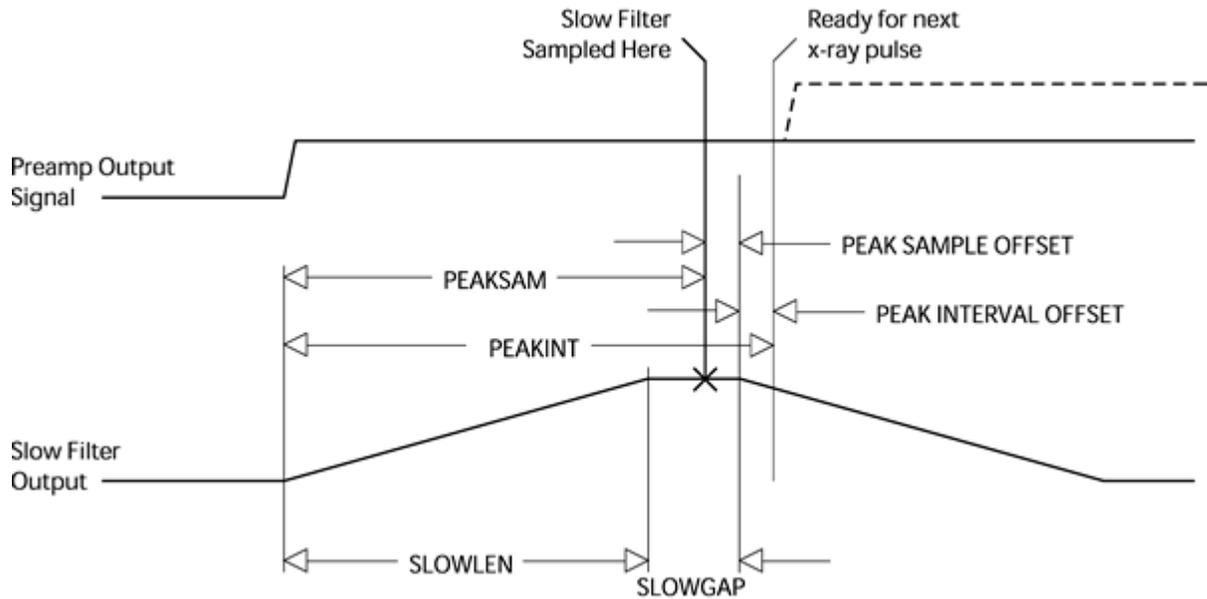
**Figure 6.7:** Peak finding method: The slow filter output is monitored and the peak value is selected.

In the 'peak sampling' method, the slow filter output is instead sampled a *fixed time* after the x-ray is detected. An additional 'Peak Sampling' timer is started when an x-ray step is detected which expires after PEAKSAM decimated clock cycles. PEAKSAM must be less than PEAKINT, and should typically be set such that the sample point lies in the 'flat-top' region of the slow filter output:

$$\text{SLOWLEN} \leq \text{PEAKSAM} \leq \text{SLOWLEN} + \text{SLOWGAP}$$

*Equation 6-7*

The precise PEAKSAM setting has a strong effect on energy resolution and should be determined empirically for each new detector. More on this below...



**Figure 6.8:** Peak sampling method: The slow filter output is sampled a fixed time after the x-ray is detected. PEAKSAMP must be set properly to achieve optimum performance.

In our experience values at the low end (i.e. PEAKSAMP ~ SLOWLEN) tend to work better. We recommend that you record the initial value of PEAKSAMP and then change it in steps of 1, working out from the initial value. Certain PEAKSAMP values may cause the DXP Mercury to crash. Do not be alarmed, just restart and be sure to enter a valid PEAKSAMP value before proceeding. Making a plot of energy resolution versus PEAKSAMP will indicate the best value to select.

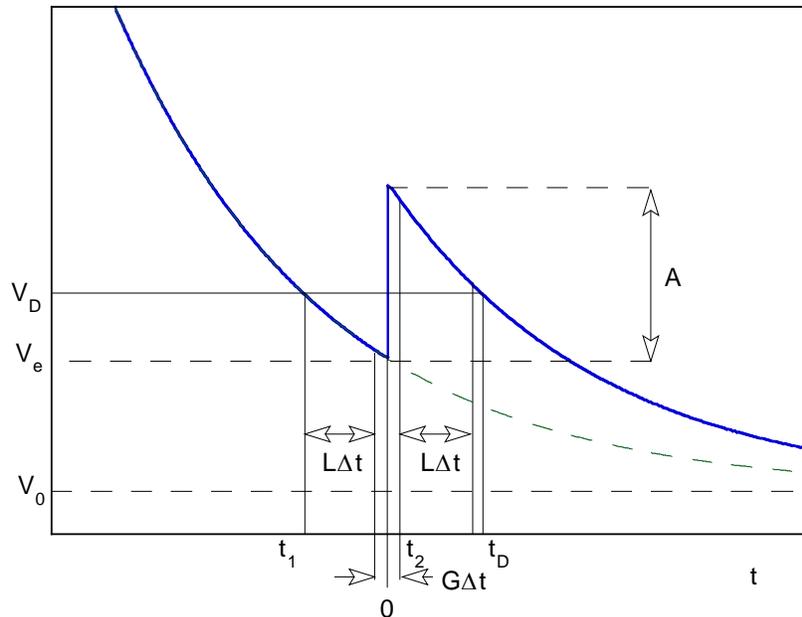
This determination need only be done for one peaking time per decimation. The result can then be applied to any value of SLOWLEN and SLOWGAP using the following recipe:

$$\text{PEAKSAMP} = (\text{SLOWLEN} + \text{SLOWGAP}) - X$$

*Equation 6-8*

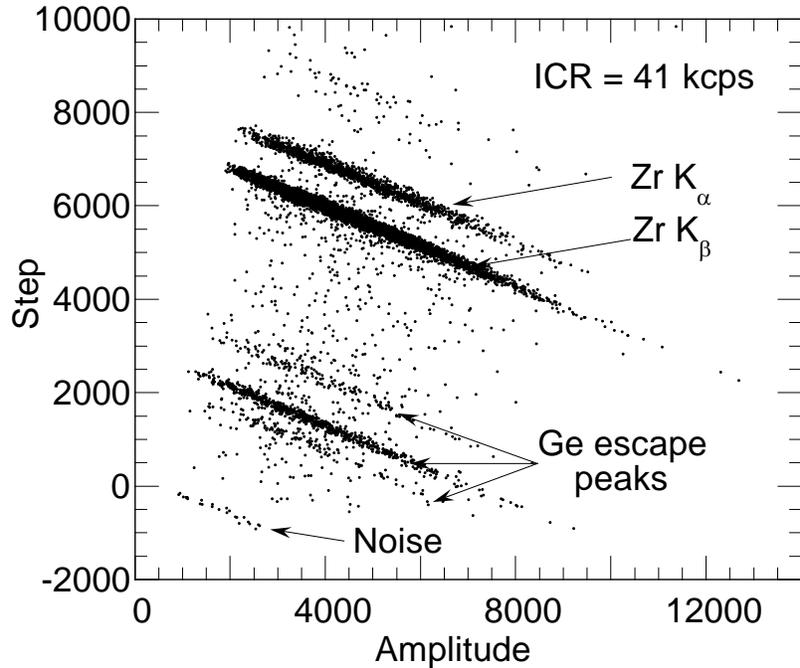
## 6.7 Energy Measurement with Resistive Feedback Preamplifiers

In previous sections, the pulse height measurement was shown for the case of reset-type preamplifiers. The reset-type scheme is most often used for optimum energy resolution x-ray detectors. Other detectors use an RC-type preamplifier, as described in section 6.1.2. Resistive feedback is most often used for gamma-ray detectors which cover a larger dynamic range and where the electronic noise is not as significant a contribution to energy resolution.



**Figure 6.9:** RC preamplifier output voltage. An x-ray step of amplitude  $A$  occurs at time  $t=0$ .

Where analog shaping amplifiers typically have a “pole-zero” adjustment to cancel out the exponential decay, the DXP uses a patented digital correction to achieve good energy resolution without a pole-zero stage. Figure 6.9 and Figure 6.10 illustrate the method used. The first shows the output voltage of a RC feedback preamplifier with a x-ray or  $\gamma$ -ray step of amplitude  $A$  appearing at  $t=0$ .  $V_e$  is the voltage just before the step pulse arrives and  $V_0$  is the asymptotic value that the signal would decay to in the absence of steps.  $t_1$  is the earliest time used in the slow filter,  $L$  and  $G$  are the length and gap of the trapezoidal filter in clock units, and  $\Delta t$  is the clock period. In addition to the normal slow filter measurement of the step height, the ADC amplitude,  $V_D$  is made at time  $t_D$ . In the following discussion, it is assumed that the signal rise-time is negligible.



**Figure 6.10:** Correlation between step size and amplitude for Zr  $K_{\alpha}$  x-ray events measured with the DXP-4C.

As Figure 6.10 makes clear, there is a linear correlation between the step height from the trapezoidal filter and the ADC amplitude, for pulses of a given energy. This is due to the fact that the exponential decay causes a deficit in the measured step height, which grows linearly with the distance from the asymptotic ADC offset at zero count rate.

The DSP reads these two values for each event that passes the FiPPI's trigger criteria, and makes a correction of the form:

$$E = k_1 ( S_X + k_2 V_X - \langle S_B + k_2 V_B \rangle )$$

$$\text{Equation 6-9}$$

Here the quantities  $S_X$  and  $V_X$  are the step height and ADC amplitude measured for the step, and the corresponding values with the B subscript are "baseline" values, which are measured frequently at times when there is no trigger. The brackets  $\langle \rangle$  indicate that the baseline values are averaged over a large enough number of events to not introduce additional noise in the measurement. The constant  $k_2$  (the DSP parameter called RCFCOR) is inversely proportional to the exponential decay time; this correction factor is a constant for a detector channel at a fixed gain and shaping time. The constant  $k_1$  is effectively a gain factor, and is taken into account with a detector gain calibration.

The parameter RCFCOR is a function of the digital filter parameters (SLOWLEN, SLOWGAP and DECIMATION) and the preamplifier decay time (the DSP parameter RCTAU). The decay time defined by RCTAU (and fractional word RCTAUFAC) has 50 ns granularity, and is measured and

entered by the user. At the start of an acquisition run, the DSP calculates RCFCOR using the following approximate expression:

$$\text{RCFCOR} = 2^{\text{DEC}} * (\text{LEN} + \text{GAP}) / (\text{RCTAU} - (\text{LEN} + \text{GAP}/2 + 3) * 2^{\text{DEC}})$$

*Equation 6-10*

The above expression is valid for peaking times less than about RCTAU/2. Alternatively, RCFCOR can be determined empirically in a special test run from a linear fit of data, as in Figure 6.10.

---

## 6.8 Pile-up Inspection:

The captured value  $V_x$  (see Figure 6.6) will only be a valid measure of its associated x-ray's energy provided that its filtered pulse is sufficiently well separated in time from its preceding and succeeding neighbor pulses so that its peak amplitude is not distorted by the action of the trapezoidal filter on those neighbor pulses. That is, if the pulse is not *piled up*. The relevant issues may be understood by reference to Figure 6.11, which shows 5 x-rays arriving separated by various intervals.

Because the triangular filter is a linear filter, its output for a series of pulses is the linear sum of its outputs for the individual members in the series. In Figure 6.11 the pulses are separated by intervals of 3.2, 1.8, 5.7, and 0.7  $\mu\text{s}$ , respectively. The fast filter has a peaking time of 0.4  $\mu\text{s}$  with no gap. The slow filter has a peaking time of 2.0  $\mu\text{s}$  with a gap of 0.4  $\mu\text{s}$ .

The first kind of pileup is *slow pileup*, which refers to pileup in the slow channel. This occurs when the rising (or falling) edge of one pulse lies under the peak (specifically the sampling point) of its neighbor. Thus peaks 1 and 2 are sufficiently well separated so that the leading edge (point 2a) of peak 2 falls after the peak of pulse 1. Because the trapezoidal filter function is symmetrical, this also means that pulse 1's trailing edge (point 1c) also does not fall under the peak of pulse 2. For this to be true, the two pulses must be separated by at least an interval of  $L + G/2$ . Peaks 2 and 3, which are separated by only 1.8  $\mu\text{s}$ , are thus seen to pileup in the present example with a 2.0  $\mu\text{s}$  peaking time.

This leads to an important first point: whether pulses suffer slow pileup depends critically on the peaking time of the filter being used. The amount of pileup which occurs at a given average signal rate will increase with longer peaking times. We will quantify this in section 0, where we discuss throughput.

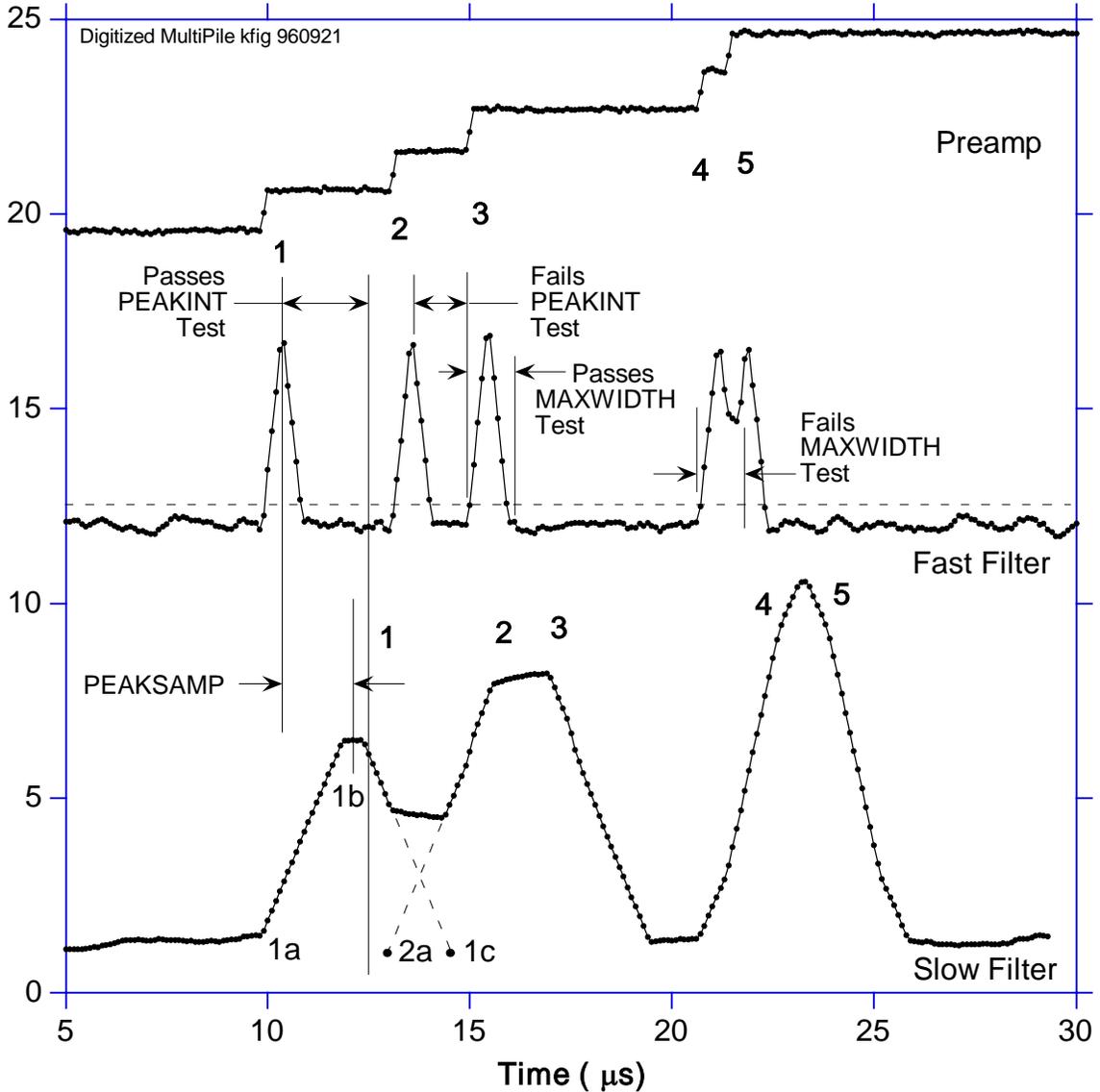
Because the fast filter peaking time is only 0.4  $\mu\text{s}$ , these x-ray pulses do not pileup in the fast filter channel. The DXP can therefore test for slow channel pileup by measuring for the interval PEAKINT after a pulse arrival time. If no second pulse occurs in this interval, then there is no trailing edge pileup. PEAKINT is usually set to a value close to  $L + G/2 + 1$ . Pulse 1 passes this test, as shown in the figure. Pulse 2, however, fails the PEAKINT test because pulse 3 follows in 1.8  $\mu\text{s}$ , which is less than  $\text{PEAKINT} = 2.3 \mu\text{s}$ . Notice, by the symmetry of the trapezoidal filter, if pulse 2 is rejected because of pulse 3, then pulse 3 is similarly rejected because of pulse 2.

Pulses 4 and 5 are so close together that the output of the fast filter does not fall below the threshold between them and so they are detected by the pulse detector as only being a single x-ray pulse. Indeed, only a single (though

somewhat distorted) pulse emerges from the slow filter, but its peak amplitude corresponds to the energy of neither x-ray 4 nor x-ray 5. In order to reject as many of these fast channel pileup cases as possible, the DXP implements a fast channel pileup inspection test as well.

The fast channel pileup test is based on the observation that, to the extent that the rise-time of the preamplifier pulses is independent of the x-rays' energies (which is generally the case in x-ray work except for some room temperature, compound semiconductor detectors) the base-width of the fast digital filter (i.e.  $2L_f + G_f$ ) will also be energy independent and will never exceed some maximum width MAXWIDTH. Thus, if the width of the fast filter output pulses is measured at threshold and found to exceed MAXWIDTH, then fast channel pileup must have occurred. This is shown graphically in the figure where pulse 3 passes the MAXWIDTH test, while the piled up pair of pulses 4 and 5 fail the MAXWIDTH test.

Thus, in Figure 6.11, only pulse 1 passes both pileup inspection tests and, indeed, it is the only pulse to have a well defined flattop region at time PEAKSAMP in the slow filter output.



**Figure 6.11:** A sequence of 5 x-ray pulses separated by various intervals to show the origin of both slow channel and fast channel pileup and demonstrate how the two cases are detected by the DXP.

Note that PEAKINT and MAXWIDTH are both DSP parameters and are normally set automatically. In particular, there is almost never any benefit to a longer value of PEAKINT than the standard value as it does not improve energy resolution and only decreases throughput for a given input rate. Please see section 4.6.2.1 for details on how to adjust MAXWIDTH.

## 6.9 Input Count Rate (ICR) and Output Count Rate (OCR):

During data acquisition, x-rays will be absorbed in the detector at some rate. This is the *true input count rate*, which we will refer to as  $ICR_t$ . Because of fast channel pileup, not all of these will be detected by the DXP's x-ray pulse detection circuitry, which will thus report a *measured input count rate*  $ICR_m$ , which will be less than  $ICR_t$ . This phenomenon, it should be noted, is a

characteristic of all x-ray detection circuits, whether analog or digital, and is not specific to the DXP.

Of the detected x-rays, some fraction will also satisfy both fast and slow channel pileup tests and have their values of  $V_x$  captured and placed into the spectrum. This number is the *output count rate*, which we refer to as the OCR. The DXP normally returns, in addition to the collected spectrum, the REALTIME for which data was collected, the fast channel LIVETIME for which the fast channel was below threshold (and thus ready to detect a subsequent x-ray) together with the number FASTPEAKS of fast peaks detected and the number of  $V_x$  captured events EVTSINRUN. From these values, both the OCR and  $ICR_m$  can be computed according to Equation 6-11. These values can then be used to make deadtime corrections as discussed in section 6.11.

$$ICR_m = \text{FASTPEAKS}/\text{LIVETIME}; \quad \text{OCR} = \text{EVTSINRUN}/\text{REALTIME}$$

*Equation 6-11*

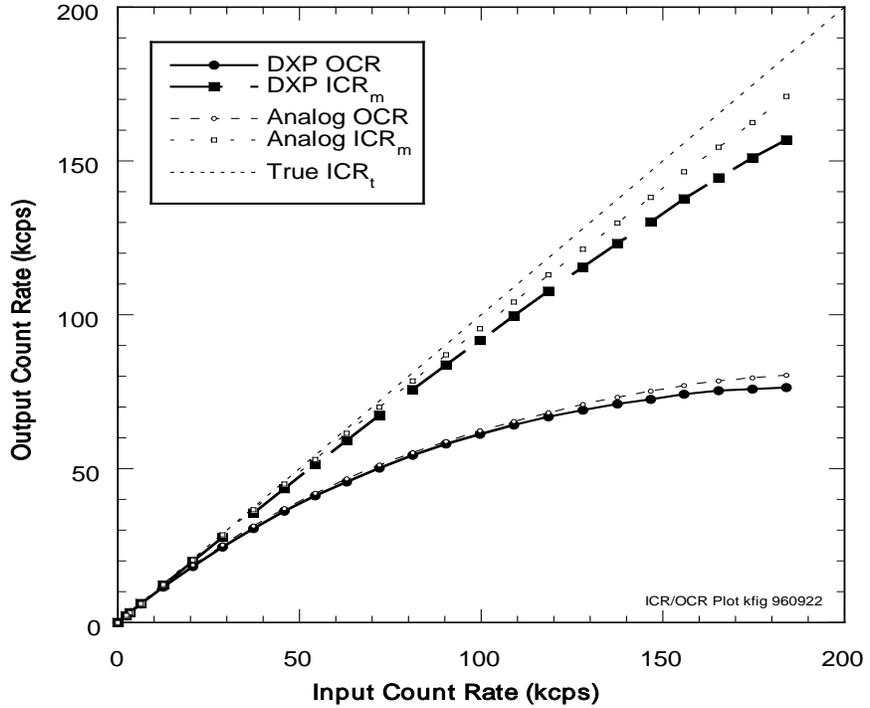
*Note:* The fast channel LIVETIME should only be used to determine the input count rate according to Equation 6-11. Specifically, it is NOT related to the energy filter livetime and should not be interpreted as the inverse of the processor deadtime. The DSP *does* calculate the energy filter livetime ELIVETIME, however, it is only an approximation. The most accurate deadtime measurement is obtained from  $ICR_m$  and OCR in Equation 6-11, as discussed in section 6.11.

---

## 6.10 Throughput:

Figure 6.12 shows how the values of  $ICR_m$  and OCR vary with true input count rate for the DXP and compare these results to those from a common analog shaping amplifier plus SCA system. The data were taken at a synchrotron source using a detector looking at a CuO target illuminated by x-rays slightly above the Cu K absorption edge. Intensity was varied by adjusting two pairs of crossed slits in front of the input x-ray beam so that the harmonic content of the x-ray beam striking the detector remained constant with varying intensity.

**NOTE:** The DXP's peaking time is twice as long as the analog system peaking time in this comparison, and yet the throughput is nearly the same.



**Figure 6.12:** Curves of ICR<sub>m</sub> and OCR for the DXP using 2 μs peaking time, compared to a common analog SCA system using 1 μs peaking time.

System	OCR Deadtime (μs)	ICR Deadtime (μs)
DXP (2 μs τ <sub>p</sub> , 0.6 μs τ <sub>g</sub> )	4.73	0.83
Analog Triangular Filter Amp (τ <sub>p</sub> = 1 μs)	4.47	0.40

**Table 6.4:** Comparing the deadtime per event for the DXP and an analog shaping amplifier. Notice that that the DXP produces a comparable output count rate even though its peaking time is nearly twice as long.

Functionally, the OCR in both cases is seen to initially rise with increasing ICR and then saturate at higher ICR levels. The theoretical form, from Poisson statistics, for a channel which suffers from paralyzable (extending) dead time is given by:

$$OCR = ICR_t * \exp(- ICR_t * \tau_d),$$

Equation 6-12

where τ<sub>d</sub> is the *dead time*. Both the DXP and analog systems' OCRs are so describable, with the *slow channel dead times* - τ<sub>d</sub> - shown in Table 6.4. The measured ICR<sub>m</sub> values for both the DXP and analog systems are similarly describable, with the *fast channel dead times* - τ<sub>df</sub> - as shown. The maximum value of OCR can be found by differentiating Equation 6-12 and setting the result to zero. This occurs when the value of the exponent is -1, i.e. when ICR<sub>t</sub> equals 1/τ<sub>d</sub>. At this point, the maximum OCR<sub>max</sub> is 1/e multiplied by the ICR, or:

$$\text{OCR}_{\text{max}} = 1/(e \tau_d) = 0.37/\tau_d$$

*Equation 6-13*

These are general results and are very useful for estimating experimental data rates.

Table 6.4 illustrates a very important result for using the DXP: the slow channel deadtime is nearly the minimum value that is theoretically possible, namely the pulse base-width. For the shown example, the base-width is  $4.6 \mu\text{s}$  ( $2L_S + G_S$ ) while the deadtime is  $4.73 \mu\text{s}$ . The slight increase is because, as noted above, PEAKINT is always set slightly longer than  $L_S - G_S/2$  to assure that pileup does not distort collected values of  $V_x$ .

The deadtime for the analog system, on the other hand is much larger. In fact, as shown, the throughput for the digital system is almost twice as high, since it attains the same throughput for a  $2 \mu\text{s}$  peaking time as the analog system achieves for a  $1 \mu\text{s}$  peaking time. The slower analog rate arises, as noted earlier both from the longer tails on the pulses from the analog triangular filter and on additional deadtime introduced by the operation of the SCA. In spectroscopy applications where the system can be profitably run at close to maximum throughput, then, a single DXP channel will then effectively count as rapidly as two analog channels.

---

## 6.11 Dead Time Corrections:

The fact that both OCR and  $\text{ICR}_m$  are describable by Equation 6-12 makes it possible to correct DXP spectra quite accurately for deadtime effects. Because deadtime losses are energy independent, the measured counts  $N_{mi}$  in any spectral channel  $i$  are related to the true number  $N_{ti}$  which would have been collected in the same channel  $i$  in the absence of deadtime effects by:

$$N_{ti} = N_{mi} \text{ICR}_t / \text{OCR}$$

*Equation 6-14*

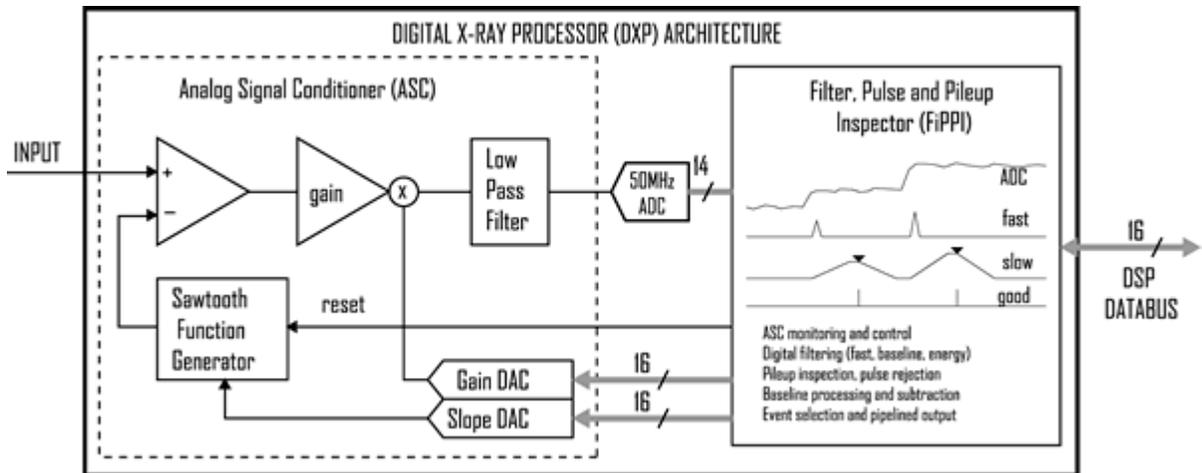
Looking at Figure 6.12, it is clear that a first order correction can be made by using  $\text{ICR}_m$  of Equation 6-11 instead of  $\text{ICR}_t$ , particularly for OCR values less than about 50% of the maximum OCR value. For a more accurate correction, the fast channel deadtime  $\tau_{df}$  should be measured from a fit to the equation:

$$\text{ICR}_m = \text{ICT}_t * \exp(-\text{ICR}_t \tau_{df})$$

*Equation 6-15*

Then, for each recorded spectrum, the associated value of  $\text{ICR}_m$  is noted and Equation 6-15 inverted (there are simple numerical routines to do this for transcendental equations) to obtain  $\text{ICR}_t$ . Then the spectrum can be corrected on a channel by channel basis using Equation 6-12. In experiments with a DXP prototype, we found that, for a  $4 \mu\text{s}$  peaking time (for which the maximum ICR is 125 kcps), we could correct the area of a reference peak to better than 0.5% between 1 and 120 kcps.





**Figure 7.2:** Block diagram of the DXP architecture.

### 7.1.2 Rapid Data Readout

The DXP Mercury was designed for the rapid readout of acquired data in a multi-element detector system. Some important changes to the architecture, relative to other DXP products, facilitate this goal:

- 1) Tasks previously handled by the DSP, such as baseline handling and ASC control, have been offloaded to programmable logic in the FiPPIs. This frees the DSP to focus on event handling during data acquisition.
- 2) Memory management, previously handled by the DSP, is now tasked to programmable logic in the System FPGA. This reduces the size of the DSP's event handling loop.
- 3) The storage of MCA, SCA and statistics data in external SRAM memory. Previously this data was stored in DSP internal memory.

---

## 7.2 Timing and Synchronization Logic

### 7.2.1 GATE Function: MCA Mode

The GATE input allows real-time user control over data acquisition during a normal MCA data acquisition run. Data acquisition is halted when the GATE signal is asserted, i.e. incident events are not processed, and the real-time and live-time counters are disabled. The assert-polarity of the GATE signal can be set via software. The Real-time can be set to increment during GATE assertion via software. The GATE signal can be ignored entirely via software.

#### 7.2.1.1 GATE Polarity

The interpretation of the user-provided GATE signal can be inverted in the hardware such that data acquisition is halted when the signal is HI or LO. The GATE polarity corresponds to the **input\_logic\_polarity** setting in the INI file.

#### 7.2.1.2 Ignore GATE

This is a software-only setting. Checking the “Ignore GATE” checkbox disables the GATE logic: Data acquisition occurs irrespective of the GATE signal level.

#### 7.2.1.3 GATE Real Time

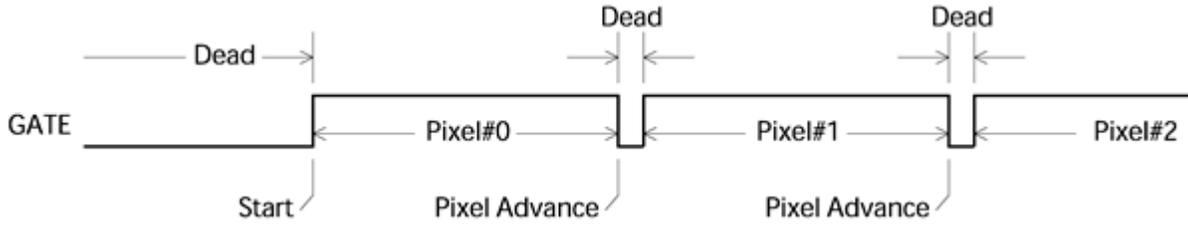
This is a hardware-level setting that allows for incrementing only the real time counter when the GATE is asserted; data acquisition and other statistics are halted. This setting corresponds to the **gate\_mode** setting in the INI file. The default setting **gate\_mode = 0** halts everything. With **gate\_mode = 1**, the real time increments.

### 7.2.2 GATE Function: Mapping Mode

In Mapping mode multiple spectra are generated as an x-ray beam is rastered across the sample. Each spectrum corresponds to a pixel. The 'pixel advance' controls when the Mercury changes from one pixel/spectrum to the next. The simplest pixel advance is implemented with the GATE signal.

#### 7.2.2.1 Pixel Advance on GATE Edge

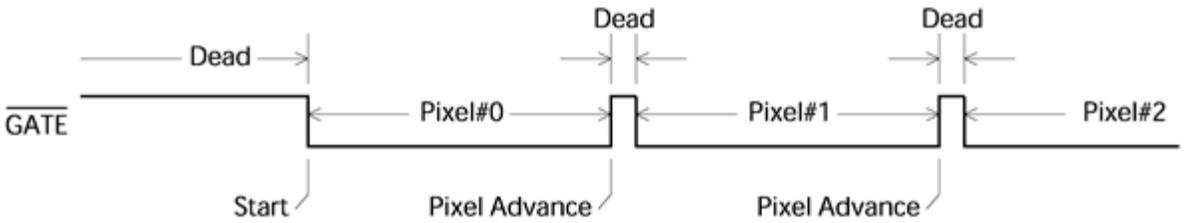
The recommended method for advancing the pixel number is to use the GATE input, where the pixel number advances on every trailing edge of the signal (the transition from active data acquisition to the inactive state). By default the GATE signal halts data acquisition when it is LO and the pixel advances on every falling edge (see **Figure 7.3**).



**Figure 7.3:** Mapping mode acquisition using the GATE input with default polarity. The pixel advance occurs on each falling edge of GATE and data acquisition is halted until the next rising edge.

### 7.2.2.2 GATE Polarity

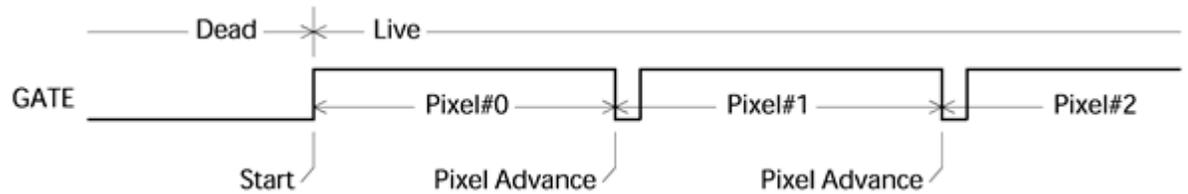
The interpretation of the user-provided GATE signal can be inverted such that data acquisition is halted when the signal is HI and the pixel advance occurs on rising edges.



**Figure 7.4:** Mapping mode acquisition using the GATE input with inverted polarity. The pixel advance occurs on each rising edge of GATE and data acquisition is halted until the next falling edge.

### 7.2.2.3 GATE Ignore Setting

Normally the GATE signal is used both to advance the pixel and to halt data taking. In some cases the user may prefer continuous operation through the pixel advance operations. With the GATE Ignore option selected, the pixel advance occurs but data acquisition is not halted. Note that the data acquisition is halted at the beginning of the run until GATE is released the first time, i.e. run start synchronization is still available in this mode.



**Figure 7.5:** Mapping mode acquisition using the GATE input with default polarity, and with the GATE Ignore option selected. The pixel advance occurs on each falling edge of GATE but data acquisition runs continuously with no pause.

### 7.2.3 SYNC Function: Mapping Mode

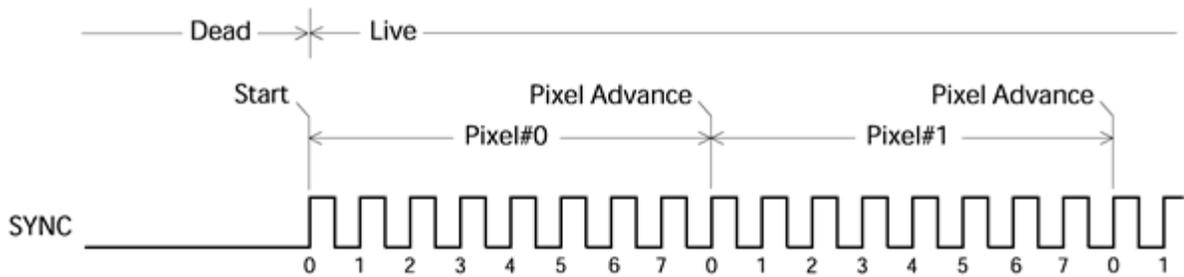
In Mapping mode multiple spectra are generated as an x-ray beam is rastered across the sample. Each spectrum corresponds to a pixel. The so-called 'pixel advance' controls when the Mercury changes from one pixel/spectrum to the next. A more complex pixel advance is implemented using the SYNC input.

#### 7.2.3.1 Pixel Advance using SYNC Clock

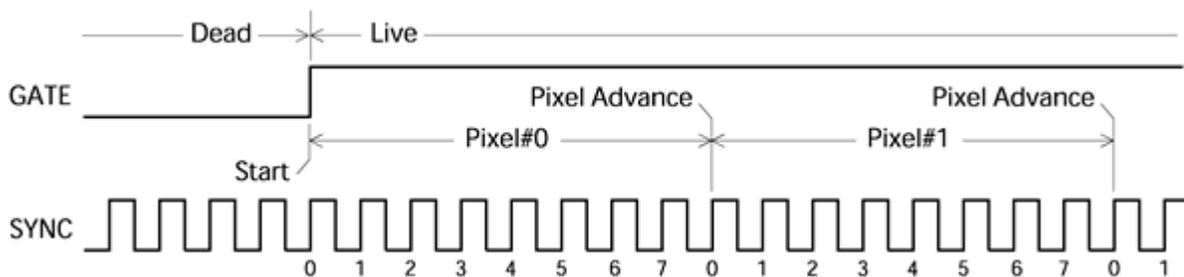
The user provides a clock signal to the SYNC input(s). Using this method, the pixel will advance for every N LO-to-HI transitions on the SYNC line, where N can be set from 1 to 65535. Note that the pulse widths must be greater than 40 ns in duration.

#### 7.2.3.2 Synchronous Starts with SYNC

It is especially important to synchronize the beginning of a run when using the SYNC pixel advance. Two methods are supported: The first edge detected on SYNC itself can be used (see **Figure 7.6**); alternatively GATE can be used as before (see **Figure 7.7**).



**Figure 7.6:** Mapping mode acquisition using the SYNC input with N = 8. The pixel advance occurs every 8 SYNC cycles. In this example GATE is not used, and SYNC itself is used to synchronize the run start: Data acquisition does not begin until the first rising edge is detected.



**Figure 7.7:** Mapping mode acquisition using the SYNC input with N = 8. The pixel advance occurs every 8 SYNC cycles. Note that GATE is used to achieve a system-wide synchronous start as in previous figures.

---

## 7.3 The Analog Signal Conditioner (ASC):

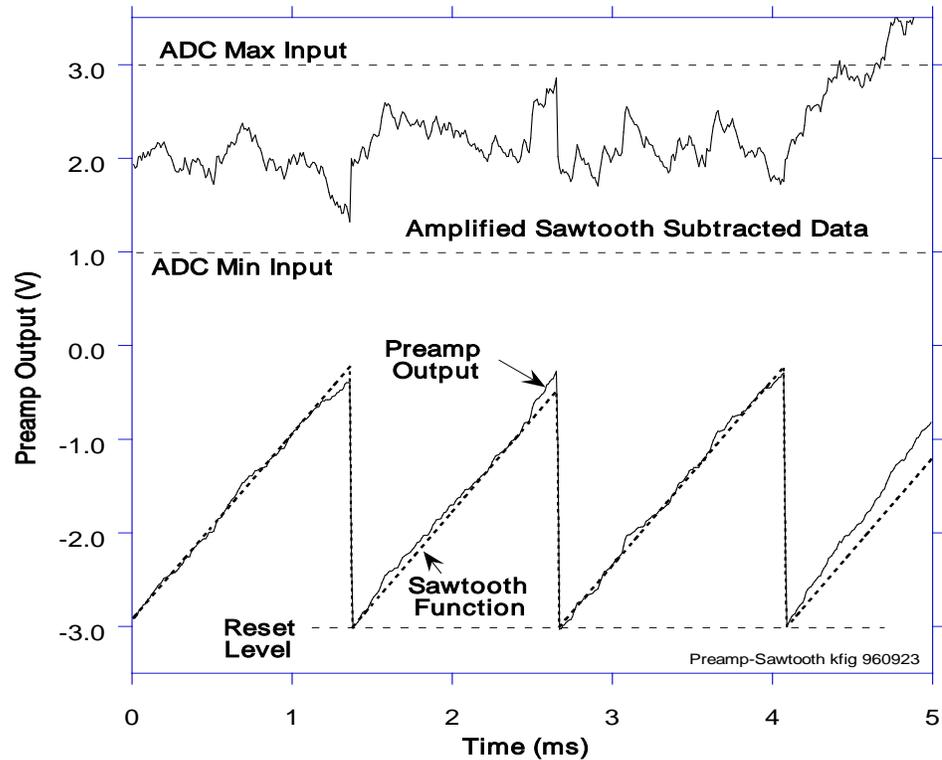
The ASC employs a patented ramp-subtraction technique to compress the dynamic range of the signal without adding significant noise or distortion to the signal. The typical preamplifier output signal has dynamic range of 100dB, or 16-bits. The compression produces a signal that can be fully digitized by a relatively inexpensive 14-bit ADC (with effectively 13-bits of resolution, due to integral and differential non-linearities). Importantly, the bandwidth of the signal is unaffected by the compression, limited only by the Nyquist criterion for the ADC. The digital filters thus operate on an wideband 20MHz signal.

The technique is illustrated in Figure 7.8. Here a resetting preamplifier output is shown which cycles between about -3.0 and -0.5 volts. We observe that it is not the large-signal ramp function which is of interest, but rather the individual steps, such as shown in Figure 6.1, that carry the x-ray amplitude information. Thus, if we generate a sawtooth function which has the same average slope and subtract this sawtooth from the preamplifier signal, we can amplify the difference signal to match the ADC's input range. The generator required to produce this sawtooth function is quite simple, comprising a current integrator with an adjustable offset. The current, which sets the slope, is controlled by a DAC (with DSP parameter SLOPEDAC). The DAC is automatically adjusted according the input rate to maintain the ASC output (i.e. the "Amplified Sawtooth Subtracted Data" of Figure 7.8) within the ADC input range.

A side-effect of this approach is that fluctuations in data arrival rate will cause the subtracted signal to pass outside the ADC input range while the preamplifier output is in its operating range. These signal drifts out-of-range high or low have been termed DRIFTUPS and DRIFTDOWNS, respectively. The out-of-range condition is corrected by auto-zeroing the integrator, and thus bringing the signal to the middle of the ADC input range. Preamplifier resets are handled similarly, though the auto-zero time is extended to allow the preamplifier reset transient to settle. The preamplifier settling time (DSP parameter RESETINT) is thus an important DXP setting.

Any time the ADC is out of range, dead time is incurred. The ASC compression technique thus incurs additional deadtime, although this is typically much smaller than the deadtime caused by preamplifier resets.

*Note: When viewing the ADC trace in oscilloscope mode, it is easy to mistake signal drift corrections for actual preamplifier resets.*



**Figure 7.8:** A sawtooth function having the same average slope as the preamp output is subtracted from it and the difference amplified and offset to match the input range of the ADC.

---

## 7.4 Analog to Digital Converter

Each DXP channel employs a 14-bit, 50MHz ADC to digitize the conditioned input signal. Unlike competing products, the DXP directly digitizes the wideband preamplifier signal.

---

## 7.5 The Filter, Pulse Detector, & Pile-up Inspector (FiPPI):

The FiPPI performs the various filtering, pulse detection and pileup inspection tasks discussed in sections 6.3 - 6.8. As described therein the FiPPI contains up to three digital trapezoidal filters: A fast filter for pulse detection and pileup inspection and event rejection; an intermediate filter for low energy, i.e. soft x-ray, event detection and baseline acquisition; a slow filter for pulse-height (energy) measurement. An output stream of accepted baseline-subtracted 16-bit x-ray event energies is stored in a FIFO pipeline that is periodically read out by the DSP. The FiPPI also measures live time, real time, and the number of detected (accepted *and* rejected) input events. In the DXP Mercury, the FiPPI also takes on the tasks of ASC monitoring and control and baseline processing, reducing the work load of the DSP and thus improving the system throughput.

### 7.5.1 FiPPI Configuration

The FiPPI is implemented in a field-programmable-gate-array (FPGA). Configuration code for the DSP and FPGAs is contained in the XIA proprietary FDD firmware file. FiPPI code is downloaded to the Mercury hardware when:

- The hardware is initialized during startup.
- A new initialization (INI) file and/or FDD file is selected.
- The peaking time is adjusted such that a Decimation boundary is crossed.

### 7.5.2 FiPPI Version and Variants

Not all FiPPI configuration files are the same. FiPPIs are first distinguished by variant. Two standard FiPPI variants are available to all users: A variant for pulse-reset type preamplifiers and a variant for RC-decay type preamplifiers. . From time to time improved standard FiPPI versions are released. In some cases custom FiPPI variant designs are used by certain customers. It is important to make sure that you are using the latest version of the appropriate FiPPI variant.

*Note:* RC-decay variant FiPPIs only have fast and slow filters, and the baseline is acquired from the slow filter.

### 7.5.3 FiPPI Decimation

FiPPI's are distinguished also by decimation. Decimation refers to pre-averaging of the ADC signal prior to the FPGA processing pipeline. Each decimation accommodates a specific range of peaking times, i.e. the shaping or integration time of the slow (energy) filter. Typically four (4) FiPPI configuration files are included in an FDD file. When the peaking time is changed such that a range boundary is crossed, the host software downloads the appropriate FiPPI configuration to the DXP Mercury.

*Note:* Pulsed-reset variant FiPPIs with decimation 0 only have fast and slow filters, and the baseline is acquired from the slow filter.

### 7.5.4 Digital Trapezoidal Filtering

The digital trapezoidal filter produces a trapezoid response to a step input. A filter is defined by its length (ascending or descending time of the trapezoid), and its gap (flat-top time of the trapezoid). A constant input slope input produces a constant DC offset; the bigger the slope, the bigger the offset. This offset is referred to as the baseline.

The peak value of the value of the trapezoid response to an input step, minus the baseline offset, is directly proportional to the height of the step, i.e. the x-ray energy. The goal of the FiPPI is thus to measure the baseline, to determine when to sample the peak of each trapezoid, and to subtract the baseline from the sampled peak.

#### 7.5.4.1 Noise and Pileup

Detection occurs when the filter output crosses a constant threshold. Generally speaking, a longer filter length produces better noise reduction, and thus allows for a lower threshold. Pileup occurs when two or more successive pulses result in an output trapezoid with a peak height that is dependent on a combination of the input step heights. Piled-up events must be discarded. The minimum detectable pileup time, or pulse-pair resolution, is proportional to the filter length plus the filter gap. A tradeoff must therefore be made between pulse pair resolution and the minimum x-ray energy that can be detected.

#### 7.5.4.2 Fast (Trigger) Filter

The fast filter is used solely to detect incoming x-ray events and to determine whether a given event can be processed in the slow filter, or whether it should be rejected. The fast filter's peaking-time  $\tau_p$  ( $\tau_{pf}$ ) can be adjusted from 40 ns to 2.56  $\mu$ s.

When  $\tau_{pf}$  is 40 ns, the pulse pair resolution is typically less than 100 ns, however, x-rays in the 0eV - 2keV range may not be detected. When  $\tau_{pf}$  is 1  $\mu$ s, x-rays with energies below 500 eV can be detected, however, the pulse pair resolution is greater 2  $\mu$ s. We typically recommend running at  $\tau_{pf} = 100$ ns, with the fast gap time set to zero.

#### 7.5.4.3 Slow (Energy) Filter

The slow filter trapezoid peak value is sampled for the energy measurement. The slow filter's peaking-time  $\tau_p$  ( $\tau_{ps}$ , or simply referred to as *the* peaking time  $\tau_p$ ) can be adjusted from 100 ns to 164  $\mu$ s. At low input rates  $\tau_{ps}$  should be set simply to optimize energy resolution. The optimal value will depend on the detector and preamplifier. At higher input rates,  $\tau_{ps}$  should be chosen such that maximum throughput is achieved. The resulting improvement in statistics will compensate for any degradation of energy resolution.

The slow filter gap should always be longer than the response time of the preamplifier, i.e. the settling time of input x-ray steps. If the input signal displays a range of rise-times (as in the "ballistic deficit" phenomenon) the slow filter gap time should be extended to accommodate that range.

#### 7.5.4.4 Intermediate (Baseline) Filter

*Note:* The intermediate filter is not included for decimation 0, pulsed-reset variant FiPPIs, and all RC-decay variant FiPPIs; the baseline is acquired from the slow filter.

### 7.5.5 Statistics

The FiPPI also includes a livetime counter which counts the 20 MHz system clock, divided by 16, so that one "tick" is 800 ns. This counter is activated any time the DSP is enabled to collect x-ray pulse values from the FiPPI and therefore provides an extremely accurate measure of the system livetime. In particular, as described in section 0, the DSP is not live either during preamplifier resets or during ASC out-of-ranges, both because it is adjusting the ASC and because the ADC inputs to the FiPPI are invalid. Thus the DXP measures livetime more accurately than an external clock, which is

insensitive to resets and includes them as part of the total livetime. While the average number of resets/sec scales linearly with the count-rate, in any given measurement period there will be fluctuations in the number of resets which may affect counting statistics in the most precise measurements.

All FiPPI parameters, including the filter peaking and gap times, threshold, and pileup inspection parameters are externally supplied and may be adjusted by the user to optimize performance. Because the FiPPI is implemented in a Xilinx field programmable gate array (FPGA), it may also be reprogrammed for special purposes, although this process is non-trivial and would definitely require XIA contract support.

---

## 7.6 The Digital Signal Processor (DSP):

The DXP Mercury architecture was designed for speed. Because there is only one DSP for four DXP channels, the processor load has therefore been minimized. During a run, the DSP only processes events and compiles run statistics. Prior to a run, the DSP initializes the FiPPIs according to user settings. The DSP also performs various diagnostic tasks such as oscilloscope mode trace capture and baseline histogramming. Such diagnostic tasks are performed on one DXP channel at a time.

The processor is an Analog Devices ADSP-2183 16 bit Fixed-Point DSP optimized for fixed point arithmetic and high I/O rates. Different DSP program or code variants are used for different types of data acquisition.

### 7.6.1 Event Processing

Event processing consists of reading the event FIFOs of the DXP channels, scaling the raw value of each measured pulse-height to the appropriate spectrum bin number, and writing the scaled events to the System FPGA's event post-processor.

The ADSP-2183 has 16K words of 16-bit wide data memory and 16K words of 24-bit wide program memory, part of which is used as data memory to hold the MCA spectrum. (If more memory is required for special purposes, up to 4 Mbytes of extended memory can be added by specifying option M). Transferring data to/from these memory spaces is done through the DSP's built-in IDMA port, which does not interfere with the DSP program operation.

### 7.6.2 Statistics

Run statistics are updated periodically and written to the SRAM memory.

---

## 7.7 System FPGA

The System FPGA primarily serves as a bus interface and arbitrator for the various busses on the DXP Mercury: PCI Local Bus, Memory Bus, the DSP Host Port and the DSP Data Bus.

During initialization the System FPGA routes the DSP program code from the Local Bus to the DSP Host Port. The System FPGA supports

diagnostic tasks such as reading oscilloscope trace data and DSP parameters via the DSP Data Bus.

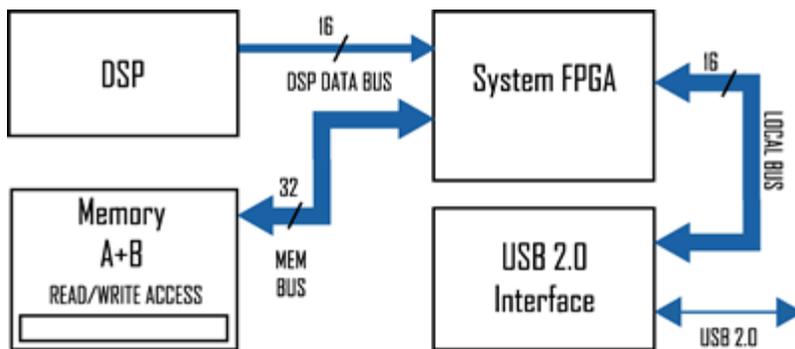
During a run, the System FPGA post-processes events and negotiates host read operations from, and DSP write operations to, the SRAM memory. The event post-processor first compares DSP-scaled energies to minimum (underflow) and maximum (overflow) user-defined values. If the measured energy is within the allowed range, the post-processor performs a read-increment-write operation to the appropriate address in memory, i.e. it increments the corresponding spectrum bin.

The DXP Mercury currently supports basic spectrum acquisition as well as time-resolved multi-spectrum acquisition. The two data acquisition modes use different memory architectures and thus require different firmware code to be downloaded.

In MCA mode on-board memory is configured as a single 1MEG by 32-bit device simultaneously accessible to both the host and the on-board DSP. Each spectral bin is thus a 32-bit value, allowing for up to 4,294,967,295 events per bin per run. The memory is normally cleared at the beginning of a run, but can be preserved, allowing for 'pause and resume' functionality.

In 16-bit mode a data acquisition run produces multiple spectra for each DXP processing channel. Spectrum memory is configured as two 1MEG by 16-bit devices, memory A and memory B, each accessible to *either* the host *or* the on-board DSP. Each spectral bin is thus a 16-bit value, allowing for up to 65,535 events per bin. Continuous operation is achieved by reading memory A while writing memory B, and vice-versa.

### 7.7.1 Basic 32-bit MCA Data Acquisition



**Figure 7.9:** Data flow diagram for single spectrum mode.

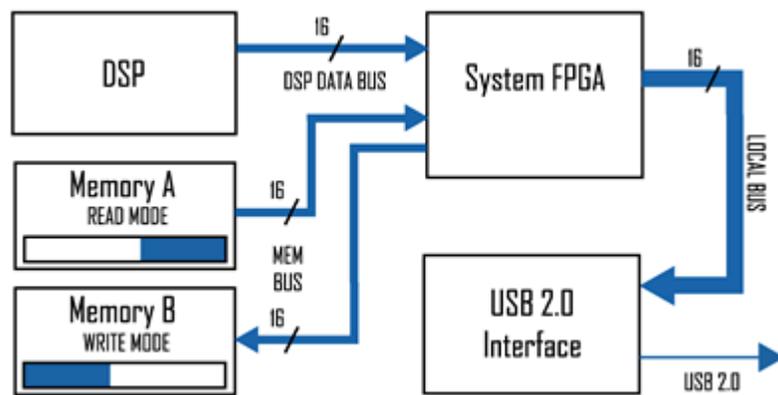
A basic 32-bit MCA data acquisition run produces a single MCA energy spectrum, ranging from 256 bins to 16384 bins, for each DXP processing channel.

The external logic (BNC) input can be configured to halt data acquisition, i.e. implemented as a GATE function. Data acquisition runs can be started and stopped manually, or can be stopped automatically according to a preset real time, live time or number of input or output events.

### 7.7.2 Full Spectrum 16-bit MCA Mapping/Scanning Mode

This mode produces multiple spectra, where an external logic signal typically is used. In the mapping mode, an x-ray beam is scanned across a sample, and each spectrum corresponds to a scan point, or pixel. The external logic (LEMO) input can be configured to control the pixel advance function, which creates a new spectrum corresponding to a new pixel. Data acquisition runs can be started and stopped manually, or can be stopped automatically according to a preset number of pixels.

Pseudo-normal spectrum mode operation is supported for diagnostic purposes, with the following limitations: Data cannot be read out during a run; The 16-bit bins can easily overflow, depending on the run length and input count rate.



**Figure 7.10:** Data flow diagram for multiple spectrum mode.

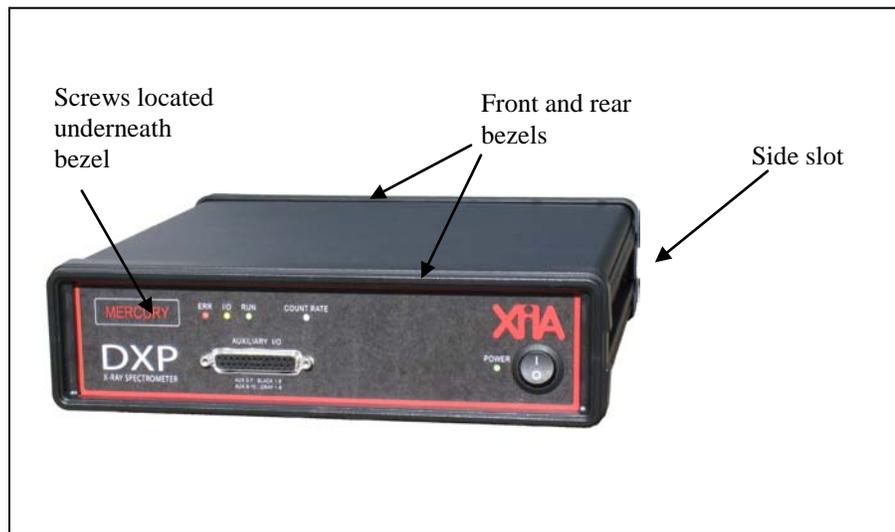
### 7.7.3 Other Data Acquisition Modes

The Mercury hardware supports additional data acquisition modes for which firmware and software have yet to be developed. Please contact XIA if you have are interested in using these modes, as their development schedules are contingent on user interest.

# Appendices

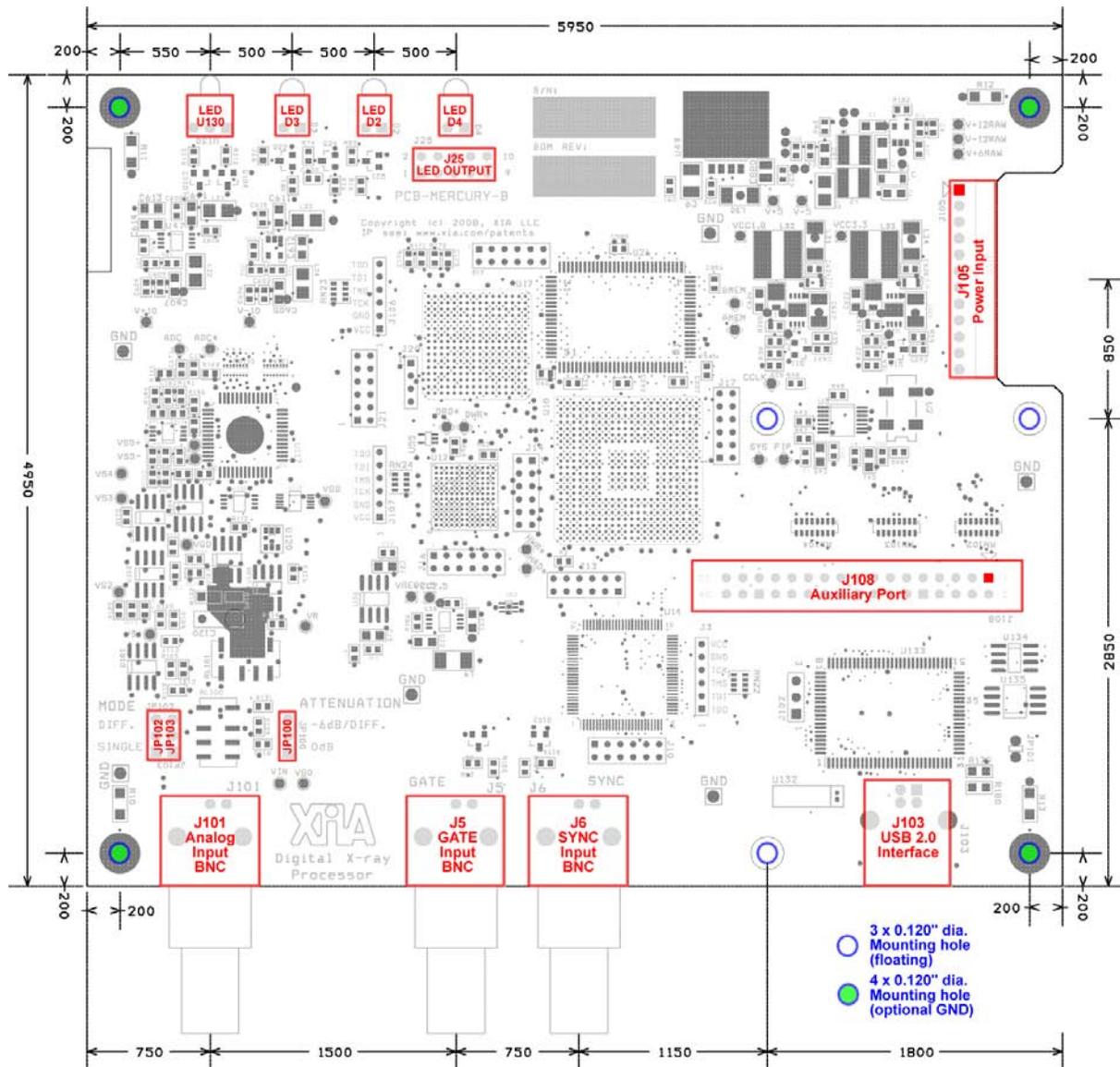
## Appendix A. Accessing the Circuit Board in Bench-Top Models

The circuit board in the Mercury and Mercury-4 bench-top models can be accessed by first removing the front and rear bezels from the box by gently flexing the bezel along its shorter side. Next unscrew the two upper screws on the front and rear panels. Next remove the top section of the box with firm upward pressure along the side slots of the box; see figure A.1. It may be necessary to flex the cover slightly to release it from the side channel. After the circuit board is exposed, jumper settings can be changed as required. Replace the top cover by performing the procedure in the reverse order.



**Fig A.1:** Mercury Bench-Top model housing.

## Appendix B. Mercury Revision C Circuit Board Description



**Figure B.1:** Jumper, device and connector locations for the DXP Mercury printed circuit card.

## B.1. Jumper Settings

Reference	Name	Position Labels	Description
<b>JP100</b>	Analog input attenuation jumper (0.100" shunt is placed in one of two positions on a 3-pin header, i.e. forms a SPDT switch)	0dB (towards board edge)	Default 0dB attenuation setting; 10.0K $\Omega$ input impedance; +/-4V input range
		-6dB (towards board center)	-6dB attenuation setting; 500 $\Omega$ input impedance; +/-8V input range.
<b>JP102</b> <b>JP103</b>	Analog input configuration (2 adjacent 0.100" shunts form a DPDT switch)	SINGLE (towards board edge)	Single-ended input configuration (default)
		DIFF (towards board center)	Differential input configuration (for special custom assemblies)

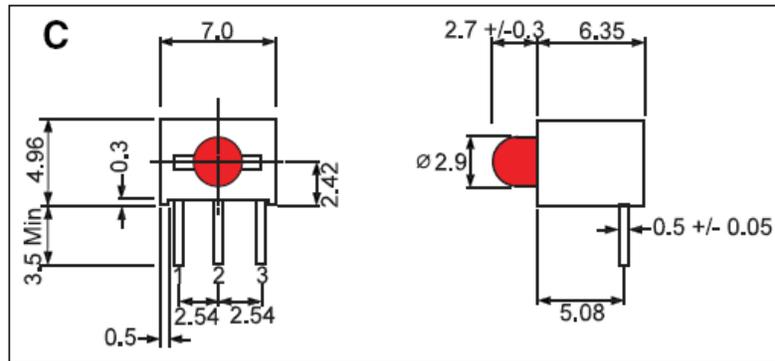
## B.2. LED Indicators

Note: The default Mercury assembly includes on-board LEDs and omits the LED output connector J25. The alternate assembly omits on-board LED and includes the LED output connector J25 (see Connectors section below).

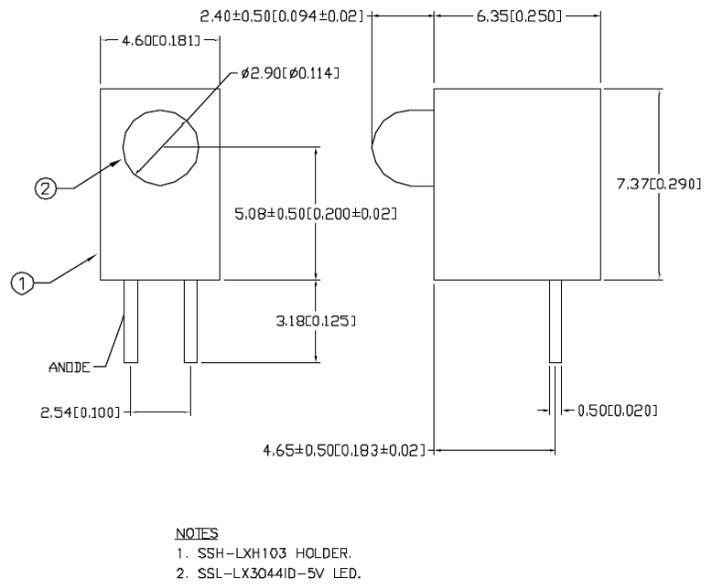
Reference	Name (Part #)	Front Panel Labels	Description
<b>U130</b>	Rate Indicator Red/Green bi-color T-1 (Gilway P/N: EA202)	RATE	This bi-color LED is a rate indicator. Each flash represents a preamplifier reset. - Green indicates a low rate (<50% dead time) - Yellow (green+red) indicates a moderate rate (>50% dead time, but less than maximum throughput) - Red indicates a high rate (at or above the point of maximum throughput).
<b>D3*</b>	Status Indicators Red T-1 (Lumex P/N: SSF-LXH103ID)	ERROR	Illuminated red when hardware is in an error state.
<b>D2*</b>	Status Indicators Yellow T-1 (Lumex P/N: SSF-LXH103YD)	I/O	Illuminated yellow while USB I/O is busy.

<b>D4*</b>	Status Indicators Green T-1 (Lumex P/N: SSF-LXH103GD)	RUN	Illuminated green when a run is in progress.
------------	-------------------------------------------------------------------	-----	----------------------------------------------

\* LEDs also flash in a test pattern when firmware is being downloaded to the board.



**Figure B.2:** Gilway EA202 dimensions.



**Figure B.3:** Lumex SSF-LXH103\*D dimensions.

### B.3. Connectors

**J100 – Signal Input:** BNC (low-profile: center height = 0.515”), connects preamplifier output to the Mercury.  
**BOMAR P/N: 364A595BL**

**J5 – GATE Input:** BNC (low-profile: center height = 0.515”) CMOS/TTL input.  
**BOMAR P/N: 364A595BL**

**J6 – SYNC Input:** BNC (low-profile: center height = 0.515”) CMOS/TTL input.  
**BOMAR P/N: 364A595BL**

**J103 – USB 2.0 Port:** High speed parallel communications port; standard pinout. 4 pin USB type B connector; right angle  
**Waldom/Molex P/N: 67068-0000**

**J25 – LED output port (connector omitted in standard assembly; LED D4 must be removed to install shrouded header):**  
 Low-profile 10-pin shrouded 0.100” DIP header.  
**3M P/N: N2510-6002RB (mating connector e.g. D89110-0131HK; pre-assembled IDC ribbon-cable harnesses available, e.g. Digi-Key P/N M3AKK-1006J-ND)**

Pin(s)	Net	Description
8,10	V+5	5V power
5,7,9	GND	Ground
1	RateGreen	TTL/CMOS output (0 – off; 1 – on)
2	RunLED	TTL/CMOS output (0 – off; 1 – on)
3	RateRed	TTL/CMOS output (0 – off; 1 – on)
4	ErrLED	TTL/CMOS output (0 – off; 1 – on)
6	I/OLED	TTL/CMOS output (0 – off; 1 – on)

**J105 – Power Input:** 12-pin 0.156” ramp-lock header

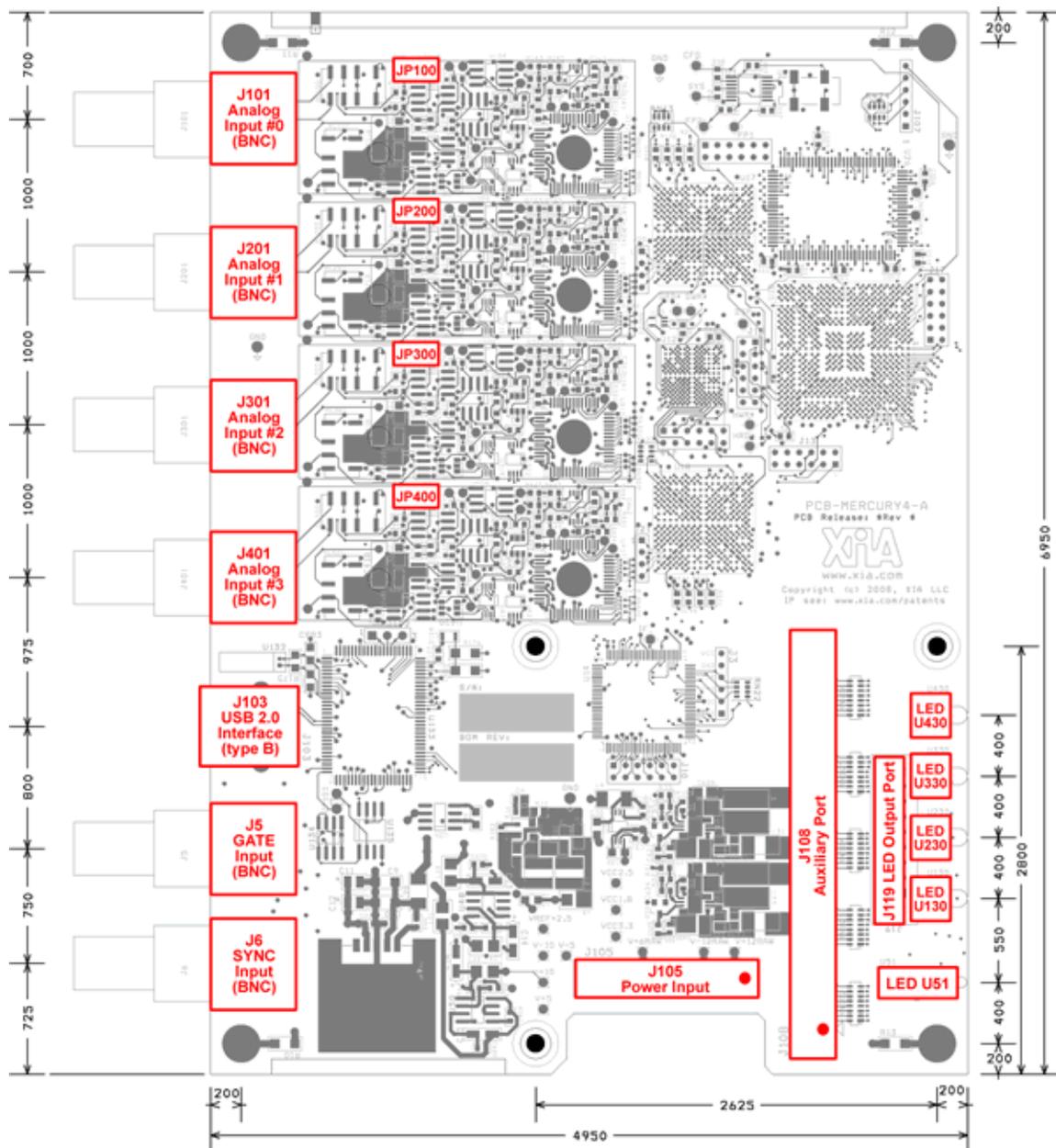
**Waldom/Molex P/N: 22-05-3121 (mating connector 22-01-3127)**

Pin(s)	Net	Description
1, 3, 5, 7	GND	0
2	V+12Raw	+12V to +15V 50mA
4	V-12Raw	-12V to -15V 50mA
6	V+6Raw	+5.5V to 6.0V 1.0A
8	PWR_DIG4	Configurable TTL/CMOS input/output. Unused for now.
9	PWR_DIG3	Configurable TTL/CMOS input/output. Unused for now.
10	PWR_DIG2	Configurable TTL/CMOS input/output. Unused for now.
11	PWR_DIG1	Configurable TTL/CMOS input/output. Unused for now.
12	PWR_DIG0	Configurable TTL/CMOS input/output. Unused for now.

<b>J108 – Auxiliary Port:</b> 24 TTL/CMOS configurable lines, plus VCC and GND connections. Low-profile 34-pin shrouded 0.100” DIP header. <b>3M P/N: N2534-6002RB (mating connector e.g. D89134-0131HK; pre-assembled IDC ribbon-cable harnesses available, e.g. Digi-Key P/N M3AKK-3406J-ND)</b>				
<b>Pin</b>	<b>Net (Description)</b>		<b>Pin</b>	<b>Net (Description)</b>
1	GND (Ground)		2	AUX0 (TTL/CMOS input/output)
3	AUX1 (TTL/CMOS input/output)		4	AUX2 (TTL/CMOS input/output)
5	AUX3 (TTL/CMOS input/output)		6	AUX4 (TTL/CMOS input/output)
7	AUX5 (TTL/CMOS input/output)		8	GND (Ground)
9	GND (Ground)		10	AUX6 (TTL/CMOS input/output)
11	AUX7 (TTL/CMOS input/output)		12	AUX8 (TTL/CMOS input/output)
13	AUX9 (TTL/CMOS input/output)		14	AUX10 (TTL/CMOS input/output)
15	AUX11 (TTL/CMOS input/output)		16	GND (Ground)
17	AUX12 (TTL/CMOS input/output)		18	AUX13 (TTL/CMOS input/output)
19	GND (Ground)		20	AUX14 (TTL/CMOS input/output)
21	AUX15 (TTL/CMOS input/output)		22	AUX16 (TTL/CMOS input/output)
23	AUX17 (TTL/CMOS input/output)		24	AUX18 (TTL/CMOS input/output)
25	AUX19 (TTL/CMOS input/output)		26	AUX20 (TTL/CMOS input/output)
27	GND (Ground)		28	GND (Ground)
29	AUX21 (TTL/CMOS input/output)		31	AUX22 (TTL/CMOS input/output)
31	AUX23 (TTL/CMOS input/output)		32	GND (Ground)
33	VCC (+3.3V)		34	GND (Ground)

See also Appendix D for Auxiliary Port functions.

## Appendix C. Mercury-4 Revision A Circuit Board Description



**Figure C.1:** Jumper, device and connector locations for the DXP Mercury4 printed circuit card.

## C.1 Jumper Settings

Reference	Name	Position Labels	Description
<b>JP100</b> <b>JP200</b> <b>JP300</b> <b>JP400</b>	Analog input attenuation jumpers (standard 0.100" shunts are placed in one of two positions on a 3-pin header)	0dB (towards board edge)	Default 0dB attenuation setting: 10.0K $\Omega$ input impedance; +/-4V input range
		-20dB (erroneous) (towards board center)	<b>-6dB</b> attenuation setting: 500 $\Omega$ input impedance; +/-8V input range.

## C.2. LED Indicators

Note: The default Mercury4 assembly includes on-board LED and omits the LED output connector J19. The alternate assembly omits on-board LED and includes the LED output connector J19 (see Connectors section below).

Reference	Name (Part #)	Front Panel Labels	Description
<b>U130</b> <b>U230</b> <b>U330</b> <b>U430</b>	Rate Indicators Red/Green bi-color T-1 (Gilway P/N: EA202)	RATE	These bi-color LEDs are rate indicators for each channel. Each flash represents a preamplifier reset. - Green indicates a low rate (<50% dead time) - Yellow (green+red) indicates a moderate rate (>50% dead time, but less than maximum throughput) - Red indicates a high rate (at or above the point of maximum throughput).
<b>U51*</b>	Status Indicators Red/Yellow/Green T-1 (Dialight P/N: 570-0100-132)	RUN	Illuminated green when a run is in progress.
		I/O	Illuminated yellow when a USB transfer to or from the module is in progress.
		ERR	Illuminated when the module is in an error state.

\*This LED flashes in a test pattern when firmware is being downloaded to the board.

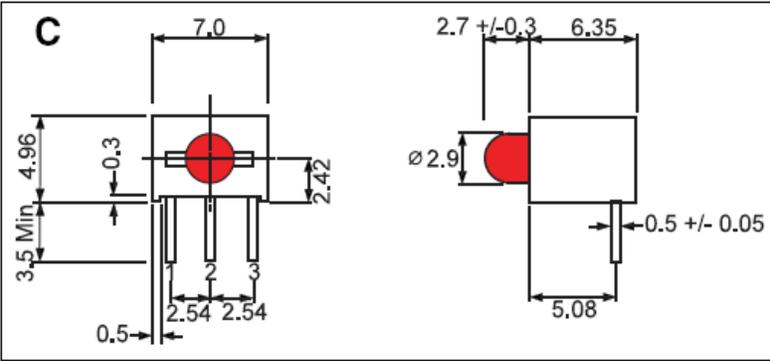


Figure C.2: Gilway EA202 dimensions.

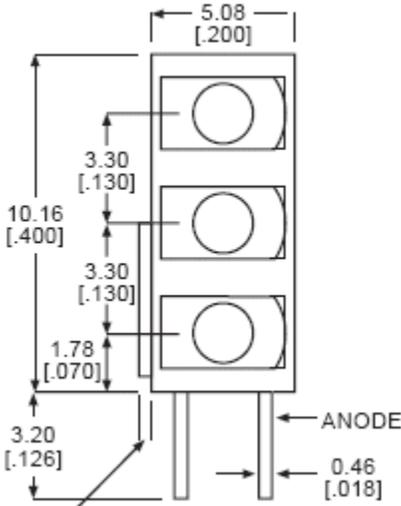


Figure C.3: Dialight 570-0100-132 dimensions.

### C.3 Connectors

**J100, J200, J300, J400 – Signal Inputs (4):** BNC (low-profile: center height = 0.515”), connects preamplifier output to the Mercury4.  
**BOMAR P/N: 364A595BL**

**J5 – GATE Input:** BNC (low-profile: center height = 0.515”) CMOS/TTL input.  
**BOMAR P/N: 364A595BL**

**J6 – SYNC Input:** BNC (low-profile: center height = 0.515”) CMOS/TTL input.  
**BOMAR P/N: 364A595BL**

**J103 – USB 2.0 Port:** High speed parallel communications port; standard pinout. 4 pin USB type B connector; right angle  
**Waldom/Molex P/N: 67068-0000**

**J19 – LED output port (connector omitted in standard assembly):**  
 Low-profile 16-pin shrouded 0.100” DIP header.  
**3M P/N: N2516-6002RB (mating connector e.g. D89116-0131HK; pre-assembled IDC ribbon-cable harnesses available, e.g. Digi-Key P/N M3AKK-1606J-ND)**

Pin(s)	Net	Description
1, 5	V+5	5V power
8, 11, 14	GND	Ground
2	RunLED	TTL/CMOS output (0 – off; 1 – on)
3	I/OLED	TTL/CMOS output (0 – off; 1 – on)
4	ErrLED	TTL/CMOS output (0 – off; 1 – on)
6	RateGreen0	TTL/CMOS output (0 – off; 1 – on)
7	RateRed0	TTL/CMOS output (0 – off; 1 – on)
9	RateGreen1	TTL/CMOS output (0 – off; 1 – on)
10	RateRed1	TTL/CMOS output (0 – off; 1 – on)
12	RateGreen2	TTL/CMOS output (0 – off; 1 – on)
13	RateRed2	TTL/CMOS output (0 – off; 1 – on)
15	RateGreen3	TTL/CMOS output (0 – off; 1 – on)
16	RateRed3	TTL/CMOS output (0 – off; 1 – on)

**J105 – Power Input:** 12-pin 0.156” ramp-lock header  
**Waldom/Molex P/N: 22-05-3121 (mating connector 22-01-3127)**

Pin(s)	Net	Description
1, 3, 5, 7	GND	0
2	V+12Raw	+12V to +15V 100mA
4	V-12Raw	-12V to -15V 100mA
6, 8	V+6Raw	+5.5V to 6.0V 3.0A
9	PWR_DIG3	Configurable TTL/CMOS input/output. Unused for now.
10	PWR_DIG2	Configurable TTL/CMOS input/output. Unused for now.
11	PWR_DIG1	Configurable TTL/CMOS input/output. Unused for now.
12	PWR_DIG0	Configurable TTL/CMOS input/output. Unused for now.

<b>J108 – Auxiliary Port:</b> 40 TTL/CMOS configurable lines, plus VCC and GND connections. Low-profile 50-pin shrouded 0.100” DIP header. <b>3M P/N: N2550-6002RB (mating connector e.g. D89150-0131HK; pre-assembled IDC ribbon-cable harnesses available, e.g. Digi-Key P/N M3AKK-5006J-ND)</b>				
Pin	Net (Description)		Pin	Net (Description)
1	AUX0 (TTL/CMOS input/output)		2	AUX1 (TTL/CMOS input/output)
3	AUX2 (TTL/CMOS input/output)		4	AUX3 (TTL/CMOS input/output)
5	AUX4 (TTL/CMOS input/output)		6	AUX5 (TTL/CMOS input/output)
7	AUX6 (TTL/CMOS input/output)		8	AUX7 (TTL/CMOS input/output)
9	GND (Ground)		10	GND (Ground)
11	AUX8 (TTL/CMOS input/output)		12	AUX9 (TTL/CMOS input/output)
13	AUX10 (TTL/CMOS input/output)		14	AUX11 (TTL/CMOS input/output)
15	AUX12 (TTL/CMOS input/output)		16	AUX13 (TTL/CMOS input/output)
17	AUX14 (TTL/CMOS input/output)		18	AUX15 (TTL/CMOS input/output)
19	VCC (3.3V)		20	VCC (3.3V)
21	AUX16 (TTL/CMOS input/output)		22	AUX17 (TTL/CMOS input/output)
23	AUX18 (TTL/CMOS input/output)		24	AUX19 (TTL/CMOS input/output)
25	AUX20 (TTL/CMOS input/output)		26	AUX21 (TTL/CMOS input/output)
27	AUX22 (TTL/CMOS input/output)		28	AUX23 (TTL/CMOS input/output)
29	GND (Ground)		31	GND (Ground)
31	AUX24 (TTL/CMOS input/output)		32	AUX25 (TTL/CMOS input/output)
33	AUX26 (TTL/CMOS input/output)		34	AUX27 (TTL/CMOS input/output)
35	AUX28 (TTL/CMOS input/output)		36	AUX29 (TTL/CMOS input/output)
37	AUX30 (TTL/CMOS input/output)		38	AUX31 (TTL/CMOS input/output)
39	VCC (+3.3V)		40	VCC (+3.3V)
41	AUX32 (TTL/CMOS input/output)		42	AUX33 (TTL/CMOS input/output)
43	AUX34 (TTL/CMOS input/output)		44	AUX35 (TTL/CMOS input/output)
45	AUX36 (TTL/CMOS input/output)		46	AUX37 (TTL/CMOS input/output)
47	AUX38 (TTL/CMOS input/output)		48	AUX39 (TTL/CMOS input/output)
49	GND (Ground)		50	GND (Ground)

See also Appendix D for Auxiliary Port functions.



## Appendix D. Specification for ROI outputs on the Mercury and Mercury4 Auxiliary Port

The standard firmware for direct to customer sales of the Mercury and Mercury4 will include support for real-time TTL outputs for regions of interest (ROI's), as well as an output of a real time signal indicating triggers (detected events) and another signal indicating live time.

The Mercury Auxiliary port is a 34-pin dual-row header that contains 24 fully programmable digital I/O lines, connected to the System FPGA (SysFPGA) through a series 22 ohm resistor. The Mercury4 increases the total number of I/O lines to 40 in a 50-pin dual-row header. The Mercury will support TTL outputs for up to 16 ROI's, while the Mercury4 will support up to 8 ROI's per channel.

### D.1 Signal Assignment

For the Mercury, the auxiliary lines are numbered Aux0 through Aux23; similarly, for the Mercury4, the signals are labeled Aux0 through Aux39.

#### Mercury

Signal	Assignment
Aux0 through Aux15	ROI0 through ROI15
Aux16	Trigger output
Aux17	Live time output
Aux18 through Aux 23	Unused; drive low

#### Mercury-4

Signal	Assignment
Aux0 through Aux7	ROI0 through ROI7, Channel 0
Aux8	Trigger output, Channel 0
Aux9	Live time output, Channel 0
Aux10 through Aux17	ROI0 through ROI7, Channel 1
Aux18	Trigger output, Channel 1
Aux19	Live time output, Channel 1
Aux20 through Aux27	ROI0 through ROI7, Channel 2
Aux28	Trigger output, Channel 2
Aux29	Live time output, Channel 2
Aux30 through Aux37	ROI0 through ROI7, Channel 3
Aux38	Trigger output, Channel 3
Aux39	Live time output, Channel 3

### D.1.1. Front panel Connector assignment for Mercury Bench-Top models.

For the bench-top models, not all digital outputs are available on the external connector – only 16 connections are available for the Mercury and 32 for the Mercury-4. As a result, some signals are dropped, and some assignments changed from the general case above.

For the single channel Mercury bench-top model the auxiliary lines are brought out to a 25-way connector on the front panel. For the Mercury-4 two 25-way connectors are utilized. Break-out cables with BNC connectors are provided for easy access to the front panel signals. The default pin and cable assignments are listed below.

#### Mercury 25-way connector: Default pin and break-out cable assignments

Signal	25-way pin assignment	Break-out cable
ROI 0	14	Black 1
ROI 1	15	Black 2
ROI 2	16	Black 3
ROI 3	17	Black 4
ROI 4	5	Black 5
ROI 5	18	Black 6
ROI 6	6	Black 7
ROI 7	19	Black 8
ROI 8	7	Gray 1
ROI 9	20	Gray 2
ROI 10	8	Gray 3
ROI 11	21	Gray 4
ROI 12	22	Gray 5
ROI 13	23	Gray 6
Trigger	24	Gray 7
Live Time	25	Gray 8

**Mercury-4 two 25-way connector pin assignments.**

Connector #1		
Signal	25-way pin assignment	Break-out cable
ROI 0 Ch 0	14	Black 1
ROI 1 Ch 0	15	Black 2
ROI 2 Ch 0	16	Black 3
ROI 3 Ch 0	17	Black 4
ROI 4 Ch 0	5	Black 5
ROI 5 Ch 0	18	Black 6
Trig Ch 0	6	Black 7
LT Ch 0	19	Black 8
ROI 0 Ch 1	7	Gray 1
ROI 1 Ch 1	20	Gray 2
ROI 2 Ch 1	8	Gray 3
ROI 3 Ch 1	21	Gray 4
ROI 4 Ch 1	22	Gray 5
ROI 5 Ch 1	23	Gray 6
Trig Ch 1	24	Gray 7
LT Ch 1	25	Gray 8

Connector #2		
Signal	25-way pin assignment	Break-out cable
ROI 0 Ch 2	14	Black 1
ROI 1 Ch 2	15	Black 2
ROI 2 Ch 2	16	Black 3
ROI 3 Ch 2	17	Black 4
ROI 4 Ch 2	5	Black 5
ROI 5 Ch 2	18	Black 6
Trig Ch 2	6	Black 7
LT Ch 2	19	Black 8
ROI 0 Ch 3	7	Gray 1
ROI 1 Ch 3	20	Gray 2
ROI 2 Ch 3	8	Gray 3
ROI 3 Ch 3	21	Gray 4
ROI 4 Ch 3	22	Gray 5
ROI 5 Ch 3	23	Gray 6
Trig Ch 3	24	Gray 7
LT Ch 3	25	Gray 8

## D.2 Signal Descriptions

For this application, all auxiliary signals are outputs, driven at 3.3V CMOS levels.

### D.2.1. ROI Outputs

The Mercury will support logic outputs for up to 16 ROI's, and the Mercury4 will support up to 8 ROI's per channel. When the DSP processes a detected event, it will write a word to a register in the SysFPGA that will have a bit set for every ROI that contains the processed event (note that for this application, the ROI's are allowed to overlap). A write to the ROI register in the SysFPGA will generate a 500 ns pulse (high true) on any ROI line corresponding to a set bit in the register. After a pulse, the ROI line must be low for at least 500 ns before processing the next event; this will likely require some buffering of the values written to the ROI register, as the DSP can process events faster than 1 microsecond per event.

To support the ROI outputs, the Mercury will need a single ROI register, while the Mercury4 will require one register per channel (a total of four). These registers will be described in more detail later.

## D.2.2. Trigger and Live Time Outputs

Both the Mercury and Mercury4 will provide trigger and live time information on the auxiliary port along with the ROI pulses. These signals are real-time signals, and will come directly from the Filter FPGA (FiPPI) of the appropriate channel using the SysFip bus. The SysFip bus assignments are given below separately for the Mercury then the Mercury4.

### Mercury

Signal	Assignment
SysFip0	Triggers
SysFip4	Live time

### Mercury-4

Signal	Assignment
SysFip0	Triggers, Channel 0
SysFip1	Triggers, Channel 1
SysFip2	Triggers, Channel 2
SysFip3	Triggers, Channel 3
SysFip4	Live time, Channel 0
SysFip5	Live time, Channel 1
SysFip6	Live time, Channel 2
SysFip7	Live time, Channel 3

There are several choices for the trigger output for each channel; this choice is written to a register in the appropriate FiPPI, which will drive the selected signal onto the appropriate SysFip line. The signal will normally be driven low; when an event is detected, the signal is driven high. The choices are described below:

Trigger Choice	Description
0	Trigger output disabled – drive low
1	Fast filter threshold crossing
2	Baseline filter threshold crossing (decimation 0: defaults back to fast filter)
3	Energy filter threshold crossing

Similarly, there are several settings for the live time output, described below. Note that the live signal is driven high when the system is live; the system is not live whenever the signal is out of range (due to a reset or drift out), or when the selected trigger filter is above threshold.

Live Time Choice	Description
0	Live Time output disabled – drive low
1	Not live when fast filter over threshold
2	Not live when baseline filter over threshold (defaults to same as choice 1 for decimation 0)
3	Not live when energy filter over threshold
4	Not live when PKBUSY=0 (triggered by any active threshold)

## D.3. Register Definitions

This section describes the registers used to control the ROI outputs on the Mercury and Mercury4.

### D.3.1. FiPPI Registers

Registers in the FiPPI will be used to select the source of the Trigger and Live Time outputs. For the Mercury, one register will be used to select the Trigger output and another will be used to select the Live Time output. For the Mercury4, there will be one register in each FiPPI to select the outputs; each register will control the two channels contained in that FiPPI.

### D.3.2. SysFPGA Registers

For the Mercury, only a single target register is needed. The 16 bits in that register are mapped directly to the 16 auxiliary outputs corresponding to the ROI's. Since the output rate of the auxiliary register is limited by the 500 ns pulse widths (and minimum 500 ns period between ROI pulses), some buffering will be necessary between the register input and the auxiliary port output. A 16-deep FIFO should be sufficient.

For the Mercury4, four target registers are needed; one for each channel. Only 8 bits are required for each channel. For the Mercury4, the DSP creates an ROI map, where one 16-bit word contains the ROI definitions for 2 channels; channels 0 (lower byte) and 1 (upper byte) are combined into one array, while channels 2 and 3 (upper and lower respectively) are combined into another. For channels 0 and 2, the lower byte will be used to transfer the ROI pulse, while for channels 1 and 3, the upper byte will be used. Similarly to the Mercury, buffering is required between the ROI register and the auxiliary register outputs. The ROI register connections are summarized below.

ROI Register	Bits	Auxiliary Register Output
Channel 0 ROI Register	0 – 7 (8 – 15 are ignored)	Aux0 – Aux7
Channel 1 ROI Register	8 – 15 (0 – 7 are ignored)	Aux10 – Aux17
Channel 2 ROI Register	0 – 7 (8 – 15 are ignored)	Aux20 – Aux27
Channel 3 ROI Register	8 – 15 (0 – 7 are ignored)	Aux30 – Aux37

Addresses: Channel offset + 0xE

## Appendix E. Mapping Buffer Specification

In mapping mode, the DXP-Mercury uses two completely separate memory buffers, enabling the system to take data into one buffer while the other buffer can be read out by the host. The size of each buffer is 2MB, organized as 1Mword by 16 bits. Several mapping modes will be supported, including mapping with full spectra, mapping with multiple regions of interest (ROI's), and list mode readout.

For all timing modes, the buffer starts out with a buffer header, containing general information about the data contained in the memory block. For all timing modes involving sequential pixels, there is also a pixel header block, typically containing statistics information (used to make pileup corrections on a pixel by pixel basis).

The format of the data contained in the buffers is described in detail in the following sections.

### E.1. Buffer Header

For all timing applications that use the dual buffers, the buffer header will have a fixed, 256-word length (the word size is 16 bits in this mode). The contents of the header are defined below:

Word Number	Contents
0	Tag Word 0: 0x55AA
1	Tag Word 1: 0xAA55
2	Buffer Header Size (=256)
3	Mapping Mode: 1: Full Spectrum 2: Multiple ROI 3: List Mode
4	Run Number
5 to 6	Sequential Buffer Number (low word first)
7	BufferID (0:A, 1:B)
8	Number of Pixels in buffer
9 to 10	Starting Pixel Number (low word first)

11	Module Serial Number?/Module #
Word Number	Contents
12	Detector Channel 0 (set by host in DSP)
13	Det. Element, Ch0
14	Reserved (set to 0)
15	Reserved (set to 0)
16	Reserved (set to 0)
17	Reserved (set to 0)
18	Reserved (set to 0)
19	Reserved (set to 0)
20	Channel 0 Size (number of words)
21	Reserved (set to 0)
22	Reserved (set to 0)
23	Reserved (set to 0)
24	Buffer errors: Buffer overrun 0: No error >0: Number of extra pixels combined with last pixel in buffer
25-31	Reserved (set to 0)
32-63	32 User words (set in USER DSP array)
64-255	Reserved (set to 0)

## E.2. Pixel Data Block

For all mapping modes based upon pixels, the data block for each pixel will start with a pixel header, followed by the data collected for the pixel. The header can differ in size for different mapping applications; in general, the header contains the statistics data required to make pileup corrections on a pixel by pixel basis (livetime, realtime, input triggers, and output events). The full data blocks are described below for the various mapping modes.

### E.2.1. Mapping Mode 1: Full Spectrum Mapping

The pixel header for full spectrum mapping mode is described below; due to the constraint that the spectra sizes are a multiple of 256 and must start on an even multiple of 256, the size of the pixel header is 256 words in this mode.

The data block for full spectrum mapping mode contains four sections; each section holds the spectrum from one of the four detector channels in the module. The length of the spectra are constrained to be a multiple of 256, and must start on a memory location that is a multiple of 256. For (at least) the first version of the mapping firmware, the spectra must be the same size for all channels in a system (and will in general equal  $256 * 2^n$ , ie 256, 512, 1024, 2048, etc). The format for the entire pixel block is described in the table below; please note that the pixel header definition is designed to be consistent with the xMAP, which requires room for three additional channels.

Word Number	Contents
0	Tag Word 0: 0x33CC
1	Tag Word 1: 0xCC33
2	Pixel Header Size (=256)
3	Mapping Mode (=1)
4 to 5	Pixel Number (low word first)  In the case of a mapping error where one pixel record combines data from several pixels, this is the number of the last pixel recorded.
6 to 7	Total Pixel Block size in words (including header) (low word first)
8	Channel 0 Size (K words)
9	Reserved (set to 0)
10	Reserved (set to 0)
11	Reserved (set to 0)
12 to 31	Reserved (set to 0)
Word Number	Contents

32 to 39	Channel 0 Statistics: Realtime (2 words, low word first) Livetime (2 words) Triggers (2 words) Output events (2 words)
40 to 47	Reserved (set to 0)
48 to 55	Reserved (set to 0)
56 to 63	Reserved (set to 0)
64 to 255	Reserved (set to 0)
256 to (256 + K - 1)	Channel 0 Spectrum

### E.2.2. Mapping Mode 2: Multiple SCA Mapping

The pixel header for multiple SCA (or ROI) mapping mode is described below; there is no constraint on the data alignment in the buffer, so the header length is shorter than the 256 words required for full spectrum mapping.

There are four sections in the pixel data block for this mode, containing the ROI totals for each of the four detector channels. Up to 64 ROI's can be defined for each channel. A 16K word array is used to hold the mapping between MCA bins and SCA regions; the user can either select to have all channels use the same SCA definitions for all channels (which supports the full maximum MCA length of 16K channels), or use separate definitions of the SCA regions for each channel (where the maximum supported MCA length is 4K channels). This format specification does allow differences in the number of SCA's between channels. Two words (32 bits total) are used to store the total number of events in each ROI; the low word is stored first in memory.

The format for the entire pixel data block is described in the table below.

Word Number	Contents
0	Tag Word 0: 0x33CC
1	Tag Word 1: 0xCC33
2	Pixel Header Size (=64)

3	Mapping Mode (=2)
4 to 5	Pixel Number (low word first) In the case of a mapping error where one pixel record combines data from several pixels, this is the number of the last pixel recorded.
6 to 7	Total Pixel block size in words (including header) (low word first)
8	Number of ROI, Channel 0 (K ROI's)
9	Reserved (set to 0)
10	Reserved (set to 0)
11	Reserved (set to 0)
12	ROI Size in words (=2)
13 to 31	Reserved (set to 0)
32 to 39	Channel 0 Statistics: Realtime (2 words, low word first) Livetime (2 words) Triggers (2 words) Output events (2 words)
40 to 47	Reserved (set to 0)
48 to 55	Reserved (set to 0)
56 to 63	Reserved (set to 0)
64 to $(64 + 2 * K - 1)$	Channel 0 ROI data

### E.2.3. Mapping Mode 3: List Mode Mapping

**Note: List Mode Mapping is not yet supported.**

For the data block in list mode, each word contains data for a single event. The events are stored in the order they are processed, and so the data are not separated according to channel. The channel number information is embedded into the upper two bits of the data word itself; the lower 14 bits are used to store energy information (typically MCA channel number); for the case of the Mercury, the upper two bits will always be zero.